BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY NOVEMBER 21-22, 2019

THURSDAY, NOVEMBER 21

Reserved parking will be available at the Memorial Bell Tower

10:15 – 11:30 a.m. Buildings and Property Committee

Winslow Hall Conference Room

Jimmy Clark, Chair Andrews, Carter, Harrell, Poole, Prestage

11:30 – 11:45 a.m. IT Security Training

Winslow Hall Conference Room

(All Trustees)

1:15 – 3:00 p.m. Advancement and External Affairs Committee

Chancellor's Conference Room (12 Holladay)

Chip Andrews, Chair

Goodnight, Stack, Murphy, Washington, Weisiger

1:15 – 3:00 p.m. University Affairs Committee

Winslow Hall Conference Room

Stan Kelly, Chair

Carter, Clark, Harrell, Poole, Prestage

3:00 – 3:15 p.m. Break

3:15 – 4:45 p.m. Audit, Risk Management and Finance Committee

Winslow Hall Conference Room

Ed Weisiger, Chair

Goodnight, Kelly, Stack, Murphy, Washington

NORTH CAROLINA STATE UNIVERSITY BOARD of TRUSTEES

FRIDAY, NOVEMBER 22, 2019

DOROTHY AND ROY PARK ALUMNI CENTER

AGENDA

Parking Will Be Available in Dorothy and Roy Park Alumni Center Parking Lot

7:30 – 8:00 a.m. Executive Committee Meeting TAB 11

Eury Room, Park Alumni Center

Tom Cabaniss, Chair

Clark, Kelly, Goodnight and Weisiger

8:30 – 9:15 a.m. DASA Briefing

Hood Board Room

Lisa Zapata, Interim Vice Chancellor

FULL BOARD MEETING

Alumni Center, Hood Board Room

9:00 a.m. Call to Order – Reading of the State Government Ethics Act

Tom Cabaniss Chair of the Board

Roll Call

Approval of Minutes

TAB 1

- ✓ September 13, 2019 Full Board Meeting
- September 13, 2019 Closed Session of the Full Board

Chair's Report

TAB 2

- Tom Cabaniss
- Update of Activities and Topics of Interest to the Board
- Chancellor's Report

TAB 3

- Chancellor W. Randolph Woodson
- Update of Activities and Topics of Interest to the Board

COMMITTEE REPORTS:

Audit, Risk Management and Finance Committee

TAB 4

Ed Weisiger, Chair

On the Agenda: Board, Committee Approvals and Informational Reports

- Informational Items
 - Annual State Auditor Report
 - Internal Audit Update
 - Legislative and Finance/Budget Update
 - Enterprise Risk Management and Compliance Update
- Committee Discussion
- Additional Informational Materials
 - NC State Investment Fund Performance Review
 - NC State Intermediate Term Fund Performance Review

Jimmy Clark, Chair

On the Agenda: Board, Committee Approvals; Informational Reports

- Property Matters
 - Acquisition by Lease: Combine, restructure, and extend two existing strategic space leases for the entirety of 407 Gorman Street into a single long-term strategic space lease. Securing this two-story commercial office building space lease will allow for continued use of the building and associated parking by the NC State TRIO Programs
 - Acquisition by License: NC State University requests to execute a license agreement with HQ Raleigh, LLC to occupy office and classroom space to house its program within the HQ @ Centennial co-working space in Partners I on Centennial Campus
 - Disposition by Easement: Duke Energy Progress has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for enstallation of a new junction box and underground electric service from an existing underground line on Carter-Finley property to a shared property line with PNC Arena
 - Disposition by Easement: Duke Energy Progress has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of underground electric service from the sharted property line with Carter-Finley property to the three new electric vehicle charging stations on PNC Arena property
 - Disposition by Demolition: The NC State University
 Real Estate Office has requested the demolition of
 a residential structure located on Hope Street.
 Demolition is requested due to the vacancy and poor
 condition of the structure, which has created a public
 safety concern for the University
- Designer Selections
 - Outdoor Aquatics Facility
 - Greek Village Phase 4
 - Thermal Utilities Partners II & Toxicology
 - Approval of Designer Selections \$1M or less
- Acceptance of Completed Buildings and Projects
- Property Matters (Received after full board meeting)
- Site and Plan Review / Approval
 - Varsity Drive Parking Lot
 - Fitts Woolard Plaza Design
 - Elevator Additions Tucker and Owen Residence Halls
 - Approval of Plans and Specifications of Formal Projects less than \$2M

[✓] Requires Full Board Approval

Buildings and Property Committee (Continued)

- Non-Appropriated Capital Improvement Projects
 - Approval of Non-Appropriate Capital Improvement projects less than \$750,000
- Informational Reports
 - Capital Projects Update
 - Status of Projects in Planning
 - Centennial Campus Update

Advancement & External Affairs Committee

Chip Andrews, Chair

On the Agenda: Board, Committee Approvals; Informational Reports; and Closed Session

- Consent Agenda
- Informational Reports
 - University Advancement Update
 - Fundraising and Campaign Report
 - Advancement Services Update
- Committee Discussion
 - Watauga Medal Nominations Process
- Closed Session

University Affairs Committee

TAB 7

TAB 6

Stan Kelly, Chair

On the Agenda: Board, Committee Approvals; Discussion and Informational Items; and Closed Session

- Consent Agenda
 - Centers and Institutes Requests
 - Request to Continue NC Institute for Climate Studies (NCICS)
 - Request to Continue Kenan Institute for Engineering, Technology and Science (KIETS)
 - Conferral of Tenure Requests
- Requested Action
 - Consideration of Campus Initiated Tuition Increase and Student Fees
 - Revisions to Policy 05.20.01: Appointment,
 Reappointment, Promotion and Permanent Tenure
 - Revisions to Policy 05.25.01: Faculty Grievance and
 - Non-Reappointment Reviews
- Reports
 - Staff Senate Report
 - Provost Update
- Topic of Interest/Committee Discussion
 - The Experiential Library: A platform for Teaching, Learning And Research
- Closed Session

Chair of the Board of Visitors Report Judi Grainger, Chair of the Board of Visitors

TAB 8

•	Student Government Report Emma Carter, Student Body President	TAB 9
•	Chair of the Faculty Report Hans Kellner, Chair of the Faculty	TAB 10
	Items of Interest to Members of the Board	
	Motion to Go Into Closed Session	
	CLOSED SESSION	
•	Reconvene in OPEN SESSION for Any Additional Items to Come Before the Board	
	Adjourn	

Board of Trustees

North Carolina State University Raleigh, North Carolina September 13, 2019

The North Carolina State University Board of Trustees met in regular session in the Hood Board Room of the Dorothy and Roy Park Alumni Center on Centennial Campus, in Raleigh, NC, on Friday, September 13, 2019.

Members present:

Thomas E. Cabaniss, Chair Robert F. Andrews III Jimmy D. Clark Ann B. Goodnight James A. Harrell, III Stanhope A. Kelly Wendell H. Murphy Ven Poole Ronald W. Prestage, DVM Edwin J. Stack, III Dewayne N. Washington Edward I. Weisiger, Jr. Emma Carter, ex officio

Chair Tom Cabaniss called the meeting to order at 9:30 a.m. He reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the board at this meeting. Chair Cabaniss announced that he would recuse himself from voting on one of the property matters (Disposition by Easement, Duke Energy Progress) on the agenda for Board approval. He then called on Assistant Secretary PJ Teal for the roll call.

ROLL CALL

Assistant Secretary PJ Teal called roll and certified that a quorum was present.

MINUTES

Mr. Clark made the motion, seconded by Mrs. Goodnight to approve the open and closed session minutes of the July 10, 2019 meeting of the full board. The motion passed.

CHAIR'S REPORT- TOM CABANISS

Chair Cabaniss cited Dean Peter Harries' presentation and the groundbreaking celebration of the Plant Sciences Building on Centennial Campus as recent examples of the important work NC State is doing. He thanked the Board and NC State leadership for their assistance in these projects.

Chair Cabaniss encouraged Board members to attend the UNC System Board of Trustees workshop in October as an opportunity to develop working relationships with Trustees from other campuses as well as individuals at the UNC System Office. Chair Cabaniss also reminded the Board that Chancellor Woodson's biennial review will take place this spring and discussed adjustments to the upcoming NC State Board of Trustees receptions.

Chair Cabaniss shared an update from the Board of Trustees of the Endowment Fund. The Endowment Board reviewed the role of the Endowment Fund, its key attributes, and trustee responsibilities. The Endowment Board then accepted new gifts and other additions of \$1.8 million received between January 1 and June 30, 2019 and approved the Endowment Fund's 2019 Annual Report. On June 30, 2019, the Fund had \$372.5 million in investments in the NC State Investment Fund compared with \$349.1 million at June 30, 2018. The Investment Fund returned 7.3% for the 12 months ending June 30, 2019; a performance that places it in the top quartile of U.S. endowment performance. The three and five year average annual returns of 10.3% and 7.6%, respectively, also reflect top quartile performance. The Endowment Board also heard an update on the Investment Fund's asset allocation, and the performance of various asset classes in the portfolio. Overall, the University's total endowment was valued at \$1.4 billion on June 30, 2019.

The Endowment Board heard a report on real estate assets held by the Endowment Fund. The Endowment Fund holds almost 80,000 acres of property state-wide. It receives ground rent income from some of its real estate assets, and other assets are held for programmatic purposes. Most of the acreage owned by the Endowment Fund is the Hofmann Forest; however, 47 acres are currently under ground leases on Centennial Campus with additional plans for ground leases. Additionally, the Endowment Board heard an update on WIN—the Wolfpack Investor Network. WIN, an associated entity of the University, began operations in 2017. It currently has 149 members and continues to grow, with increasing participation by undergraduate and graduate students, and faculty. The Endowment Fund has a \$450,000 commitment to WIN-portfolio companies.

Next, the Endowment Board heard a report on the progress of the "Think and Do the Extraordinary" Campaign for NC State and campaign highlights to date. As of early September, the total raised was at 98% of the campaign goal with gifts and pledges of \$214.2 million for facilities, \$607.2 million for current operations, and \$748.3 million for endowment. The Endowment Board also heard an update on economic activity at Hofmann Forest and approved a resolution to acknowledge Mary Watzin for her work and effort towards Hofmann Forest monetization.

The Endowment Board convened to Executive Session to hear a report on property and financial matters related to development on Centennial Campus.

CHANCELLOR'S REPORT - RANDY WOODSON

Chancellor Woodson provided a brief review of the annual Accomplishments Report. NC State students and faculty received high-level awards last year, including Knight-Hennessy and Goldwater Scholarships, 11 NSF CAREER Awards, and 3 Presidential Early Career Awards for Scientists and Engineers. It was also a strong year for research and partnerships, with research expenditures exceeding \$500 million and several major partnership announcements. Those included a \$1 million grant from North Carolina GlaxoSmithKline to expand our C3 program, a three-year partnerships with Infosys to prepare the workforce of the future in data science, and a masters research agreement with BASF among others.

Chancellor Woodson then spoke about recent rankings the university had received and explained how the methodology for determining rankings differs. US News and World Report ranked NC State #84 nationally. The Wall Street Journal/Times Higher Education College Rankings ranked NC State #104 overall and #20 for best return on investment out of roughly 3,500 universities.

Chancellor Woodson also highlighted several events from around campus. The Plant Sciences Building had an official groundbreaking ceremony on September 6, 2019 that brought together members of the community as well as industry partners. The North Carolina School of Science and Math and NC State hosted an event in Talley Student Union where students from both schools spoke to alumna and astronaut Christina Koch via a downlink to the International Space Station. NC State leadership also met with representatives from the Novo Nordisk Foundation to discuss the foundation's two recent grants to support the Accelerated Innovation in Manufacturing Biologics and the Collaborative Crop Resiliency Program. These projects mark the largest grants awarded by the Novo Nordisk Foundation outside of Denmark and their second collaboration in the United States.

COMMITTEE REPORTS

AUDIT, RISK MANAGEMENT AND FINANCE COMMITTEE - CHAIR ED WEISIGER, JR

Mr. Weisiger reported that the Committee reviewed and discussed its responsibilities as per the bylaws, this year's draft agenda, and the draft plan of work for the year. The four key areas of committee authority are audit, finance, risk management, and policy development for institutional trust funds, campus security and information technology and cybersecurity.

The Committee heard a number of informational reports as well, including a final report on Fiscal 2019 Internal Audit activities and their work to support the university's strategic plan. In fiscal 2019, 22% of the engagements were directly related to the University's top Ten Risks, and the remaining 78% were related to risks identified through Internal Audit's continuous risk assessment process. The Committee heard a brief overview of university budgeting and financial management and an update on fiscal 2019 financial operations based on unaudited results.

Mr. Weisiger shared that the Committee received an update about recent legislative and state budget activity. Finally, the Committee heard a special report on strategic risk management, a framework for thinking about strategic risks, and an overview of strategic risk management at NC State. A new position, Chief Compliance, Enterprise Risk Management, and Ethics Officer, is being created to oversee and coordinate Compliance, Ethics and Risk Management. The individual in this position will report directly to the Chancellor.

BUILDINGS AND PROPERTY COMMITTEE - CHAIR JIMMY CLARK

Mr. Clark reported that the Buildings and Property Committee reviewed and approved nine property matters that require full board approval. They are:

- Disposition by Lease to Tread Happy (or affiliated entity) previously leased retail space at 2010 Hillsborough Street. This property disposition by space lease will provide income for the maintenance and upkeep of the property.
- Acquisition by Lease on behalf of the Small Business and Technology Development Center
 ("SBTDC"), whose existing space lease expires on September 30, 2019, requests a lease for
 strategic office space, preferably in its current or comparable location, to house its regional
 offices in Asheville, NC. SBTDC requires space to meet confidentially with business owners in
 order to counsel and provide entrepreneurs with the tools they need to build and grow
 successful businesses, which positively impacts NC's economy.
- Disposition by easement. The City of Raleigh has requested an Amendment of an existing
 utility easement to allow for the continuing development of Centennial Campus. A portion of
 the existing easement along Main Campus Drive will be realigned in length and route to allow
 the City of Raleigh to operate within existing infrastructure installed by the university. The
 proposed new easement area will be +/- 1,400 sf ~ 0.321 ac.
- Disposition by easement. Duke Energy Progress has requested the Conveyance of a utility easement to install a power line on and for the benefit of the NCSU Butner Beef Cattle Field Lab (BBCFL) property. The power line will run from an existing service line on the BBCFL property to a well located on adjacent property leased by BBCFL. The proposed new easement area will be +/- 5.966 sf ~ 2.74 ac.
- Disposition by easement. AT&T has requested the conveyance of an easement for installation of underground fiber optic cable from an existing manhole on Method Rd to a communication room located at 3121 Ligon Street to provide a redundant connection of the 911 circuit between NC State Campus Police and the Wake County 911 Center. The proposed new easement area will be +/- 120 sf ~ 0.0028 ac.
- Disposition by Demolition. The College of Agriculture and Life Sciences has requested the
 demolition of three structures located on West Campus on Ligon Street, due to poor condition
 of the structures. The structures are as follows the Small Animal Research Building, the
 Modular Dinoflagellate Facility, and the Aquatic Research Fish Lab.
- Disposition by Demolition. The Real Estate Department has requested the demolition of the Centennial Campus Information Booth located on Centennial Campus at Varsity Drive due to poor condition of the structure.
- Acquisition by Purchase of +/- 40.99 ac. to be added to the existing Central Crops Research Station in Wake Co. The College of Agriculture and Life Sciences currently leases the property for the study of weed management.
- Acquisition by Purchase of +/- 12 ac. to be added to the existing Lake Wheeler Field Lab in Wake Co. This property is centrally located within Lake Wheeler Field Lab and will be a strategic acquisition for the university.

Mr. Clark made a motion, seconded by Dr. Prestage, to approve the nine property matters. The motion passed.

Mr. Clark reported that the Committee reviewed and approved one property matter for assent that was received after the Full Board materials were mailed. It was:

 Disposition by reallocation. The subject property is being acquired by the Department of Transportation as part of the I-440 Expansion Project (STIP Project No. U-2719). The proposed reallocation area will be +/- 4.2 ac.

Mr. Clark made a motion, seconded by Dr. Prestage, to approve the one property matter. The motion passed.

Mr. Clark reported that the Committee approved four designer selections and accepted 27 building projects at a combined value of \$25,523,386, which included Albright Sports Medicine Center, Murphy Center Broadcast Studio Phase 2, Carbon Electronics Cluster Lab Renovation, and Designer Selections under \$1 million or less. The Committee also approved five Non-Appropriated Capital Improvement Projects (Equipment Storage Facility at Laker Wheeler, Flooring Renovation-Carroll Residence Hall, Rifle Training Facility, Water Line Modifications at Ligon Street Bridge, Roof Replacement-Partners II) and 19 plans and specifications of Formal Projects costing less than \$2 million.

The Committee also heard an update on the NCDOT I-440 Widening Project and received updates for Capital Projects and Status of Projects in Planning.

UNIVERSITY ADVANCEMENT AND EXTERNAL AFFAIRS COMMITTEE - CHAIR CHIP ANDREWS

The Committee met and reviewed the Committee's annual plan of work. The Committee then heard an update on University Advancement. The fundraising total for fiscal year 2019 was \$220 million, aided in large part by the success of the inaugural Day of Giving. Fundraising success to date strongly suggests NC State will likely reach the campaign goal of \$1.6 billion by Red & White Night in November, 2019. The Committee also received a report on the results of the mid-campaign feasibility study conducted over the past four months.

The Committee heard more about the Advancement five-year Roadmap Project through a report that described the top 10 Roadmap year-one implementation projects that are now underway and overviewed the anticipated organizational structure and the phasing of the organizational changes therein. A University Communications and Marketing update that focused on the newly created Office of Strategic Brand Management was presented to the Committee as well as an Alumni Association update, which highlighted over 250 Alumni events on tap across the state and beyond and the highly successful roll out of Old Tuffy, the NC State branded beer from New Belgium Brewery.

The Committee reviewed two naming opportunity proposals, including one naming opportunity for the Peanut Breeding Program and the other for the Certified Sweet Potato Greenhouses, both in the College of Agriculture and Life Sciences, both of which the Committee approved. The Committee also met in closed session and approved three naming agreements.

UNIVERSITY AFFAIRS COMMITTEE - CHAIR STAN KELLY

Mr. Kelly reported that the Committee met and reviewed the Committee's responsibilities and plan of work for the academic year. The Committee received the annual enrollment report, which highlighted changes in overall graduate and undergraduate enrollment, academic quality of the incoming freshman cohort, and undergraduate student success data.

Student Body President Emma Carter gave a Student Government (SG) update. The Committee was provided information about SG's Executive Officers as well as an update on recent SG initiatives,

including the annual Respect the Pack diversity and inclusion event, improving parking and access for ROTC students who are required to be at Physical Training and ROTC class before 8 a.m., and ongoing work with the Office for Institutional Equity and Diversity to consider ways an online diversity competency training program could be implemented at NC State.

Chair of the Faculty Hans Kellner shared an update from the Faculty Senate. The Committee learned about his principal goals, which include strengthening and supporting the pipeline of faculty leadership. Dr. Kellner reported that activities are in full swing and two meetings of the Faculty Senate have been held. Chancellor Woodson shared with the committee that Dr. William L. Roper, Interim UNC-System President, will deliver the December commencement address.

Provost Arden provided an overview of initiatives for the academic year including an update on leadership searches and transitions; the strategic planning process; construction of the Academic Success Center in D.H. Hill Library, which is planned to open in August 2020; and the five-year report to NC State's accrediting body – Southern Association of Colleges and Schools Commission on Colleges – that is due in March 2020.

In closed session, the committee approved an addendum to a head coach employment agreement, approved the appointment and initial salary of a Dean, and recommended a salary action for approval by the Board of Governors. The Committee also recommended an honorary degree nomination which was considered by the Full Board in closed session.

STAFF SENATE REPORT – JANICE SITZES

Chair Sitzes provided an update on the Staff Senate, in which she outlined the main functions of the Staff Senate as a source of communication between staff members and university administration. Staff Senate members identified three key skills as critical to fulfilling their purpose: leadership, communication and collaboration.

The Committee was informed of important activities from the Staff Senate since the last Trustee meeting. Staff Senate members participated in Employee Appreciation Day, Earth Fair, and Bike at Work during the month of April. In May, the Staff Senate held elections for officers and committee chairs as well as co-hosted the UNC Staff Assembly Chancellor's Cup Golf Tournament, which raised money for the Jane B. Royster Memorial Staff Scholarship Fund.

The Staff Senate bylaw revisions were completed in June after a four-year effort. The updated bylaws are more reflective of who the organization represents, what the organization does, and how the organization operates. The Staff Senate held their kick-off retreat at the McKimmon Center and focused on creating engagement and sharing ideas.

In July, NC State delegates participated in the UNC System Staff Assembly meeting in Boone, where they received updates on the Clear Pricing Project, the budget, and state retirement plans among other items.

Chair Sitzes cited focusing on how the Staff Senate can be more inclusive of those staff whose voices may have been historically under-heard – extension, facilities, and housekeeping staff specifically – as another major goal for the year.

CLOSED SESSION

With no further business in open session, Mr. Clark made the motion, seconded by Mr. Harrell, at 10:29 a.m. to go into closed session to preserve the attorney-client privilege; to prevent the premature disclosure of an honorary award; to consider the qualifications, competence, performance, conditions of appointment of a public officer or employee or prospective public officer or employee. The motion passed.

RECONVENE IN OPEN SESSION

At 12:16 p.m. the board came out of closed session. With no further business in open session, Chair Cabaniss adjourned the meeting at 12:17 p.m.

Respectfully submitted,		
Assistant Secretary	Secretary	
Approved:		
Chair of the Board		



NORTH CAROLINA STATE ETHICS COMMISSION

SAMPLE¹ ETHICS AWARENESS & CONFLICT OF INTEREST REMINDER

(to be read by the Chair or his or her designee at the beginning of each meeting)

In accordance with the State Government Ethics Act, it is the duty of every [Board] member to avoid both conflicts of interest and appearances of conflict.

Does any [Board] member have any known conflict of interest or appearance of conflict with respect to any matters coming before the [Board] today?

If so, please identify the conflict or appearance of conflict and refrain from any undue participation² in the particular matter involved.

Rev. 1-16-07

¹ N.C.G.S. §138A-15 (e): "At the beginning of any meeting of a board, the chair shall remind all members of their duty to avoid conflicts of interest and appearances of conflict under [Chapter 138A]." There is no set language required by the Act. Specific language can and should be tailored to fit the needs of each covered board as necessary.

² "A public servant shall take appropriate steps, under the particular circumstances and considering the type of proceeding involved, to remove himself or herself to the extent necessary, to protect the public interest and comply with this Chapter, from any proceeding in which the public servant's impartiality might reasonably be questioned due to the public servant's familial, personal, or financial relationship with a participant in the proceeding." See N.C.G.S. §138A-36 (c). If necessary, the Chairman or individual member involved should consult with his ethics liaison, legal counsel, or the State Ethics Commission to help determine the appropriate response in a given situation.



The Chancellor's Report ————
November 2019

Community College Collaboration (C3) Expansion

NC State recently announced a gift from the North Carolina GlaxoSmithKline (GSK) Foundation to expand the university's Community College Collaboration (C3), a dual admission program between NC State and eight North Carolina community colleges neighboring Raleigh. The \$1,064,000 investment will bolster the program to allow NC State to expand the yearly student admissions allotment from 120 to 150 students per year. It will also allow us to add Robeson Community College and Sampson Community College to the program, bringing us to ten regional community college partners. The support provided by the C3 program helps student from low- to moderate-income households transition more smoothly to a four-year degree at NC State

Postdoc Receives NIH Award

A NC State Postdoctoral student was awarded the prestigious National Institute of Health (NIH) Directors Early Independence Award, originating from the agency's High-Risk, High Reward Research program. Zach DeVries, a researcher in the entomology lab of Coby Schal, received this outstanding award last month. The award for this achievement will provide \$250,000 per year for five years, and DeVries is one of only 13 individuals selected across the country for this award in 2019. DeVries will use this funding to expand upon his current research and previous findings that bed bug histamine is prevalent in homes infested by these bugs. This achievement epitomizes the caliber of students and researchers that NC State is empowering to pursue their passions and make impactful solutions to complex problems facing our world today.

Student Service

Twelve students from NC State's College of Education Students Advocating for Youth (SAY) Village traveled to Charleston, South Carolina over their Fall Break last month to collaboratively serve the youth in underserved communities. By visiting at-risk youth, these service trips allow students in the College of Education to gain first-hand experience in non-traditional education settings and further their understanding for youth advocacy in underprivileged communities. Community service functions as a major cornerstone here at NC State, and I am proud to see that our student groups and living and learning villages understand the great importance of community service and are dedicated to making a change in the lives of others.

NSF Grant for Artificial Intelligence

Researchers from the College of Engineering and the College of Humanities and Social Sciences were recently awarded a \$980,000 grant from the National Science Foundation to develop new artificial intelligence tools to help job seekers and employers manage the rapidly changing labor market. The project will help tackle the societal challenges of workforce retention and benefit local communities, four-year colleges, as well as school districts and manufacturers of all sizes within North Carolina and beyond.



Design Faculty Success

Multiple Professors of Practice with the College of Design won AIA NC Design Awards. Three received individual awards: Bill Askey received the emerging professional award; Erin Sterling Lewis received the William H. Deitrick Service Medal; and Katherine Peele, a recent professor in practice with the College of Design, received the F. Carter Williams Gold Medal. The late Steven D. Schuster, FAIA received the Legacy Award, which was then renamed in his honor. In the future, it will be the Steven D. Schuster Legacy Award.

Two firms with five of our Professors of Practice were recognized in the residential design awards category as well. The Kimball Residence project by situ studio received a merit award (AIA Professors of Practice: Matt Griffith, Zach Hoffman, and Erin Sterling Lewis). The Haymond Residence project by tonic design received an honor award (AIA Professors of Practice Vincent Petrarca and Katherine Hogan). Ultimately, more than 130 entries were submitted to 22 awards. This is just another demonstration of how our school of architecture is creating innovative spaces and artistic novelties, which are helping to develop a new community image.

Research Initiatives

As a leading research institution, NC State breaks ground and furthers its diverse and cutting-edge research initiatives everyday. Most recently, NC State researchers have made a breakthrough in aircraft wing technology. New research shows a combination of steel composite metal foam (CMF) and epoxy resin has more desirable characteristics compared to the leading-edge and current widespread use of aluminum. The CMF hybrid material is about the same weight as aluminum, but its durability, flight performance, safety, cost and fuel efficiency greatly outweigh that of aluminum. NASA is a key supporter of the CMF research project currently taking place on centennial campus.

The Chancellor's Innovation Fund is also supporting groundbreaking research and scientific innovation. Michael Sano, from NC State and UNC's Joint Biomedical Engineering program, has created a new alternative to the use of chemotherapy and radiation on inoperable tumors. His new method uses electrical pulses and mild heating to selectively kill the dangerous cells, all while only taking a mere seven minutes. The support that the CIF provides Sano will allow him to conduct testing that is needed in order to achieve FDA approval for this revolutionary new approach to fighting cancer.

Athletics

NC State men's and women's cross country teams are ranked in the top 10 on the most recent United States Track and Field and Cross Country Coaches Association National Coaches Poll. The Wolfpack men placed No. 10 and the women placed No. 9 overall nationally. This is the first time since 2015 that both NC State programs are ranked in the top 10, which is a testament to the hard work and passion our men's and women's teams put into their sport.

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY AGENDA

Audit, Risk Management and Finance Committee 3:15 p.m. – 4:45 p.m., November 21, 2019 Winslow Hall Conference Room

Members: Ed Weisiger, Chair, Ann B. Goodnight, Stan Kelly, Ed Stack, Wendell Murphy, Dewayne Washington

CALL TO ORDER

Ed Weisiger, Chair of Committee

ROLL CALL

Ed Weisiger, Chair of Committee

READING OF STATE GOVERNMENT ETHICS ACT CONFLICT OF INTEREST STATEMENTEd Weisiger, Chair of Committee

1. APPROVAL OF MINUTES
Approval of September 12, 2019 Minutes

2. ACTION ITEMS
TAB 4.2
No Action Items

3. INFORMATIONAL REPORTS
TAB 4.3

A. Annual State Auditor Report
(UNC Pol. Ch. 600.3.1.A.1.e) (NC State Policy 01.05.1, Appendix 1, I.a.viii)

Office of State Auditor

Beth Wood, State Auditor

Garrett Davis, Assistant State Auditor

Ray Whitby, Jr., State Audit Manager

Office of Finance and Administration

Mary Peloquin-Dodd, Associate Vice Chancellor, Finance and University Treasurer

B. Internal Audit Update
(NC State Pol 01.05.1, Appendix 1, I.a.i-viii)
Office of Internal Audit
Cecile Hinson, Director, Internal Audit

	C.	Legislative and Finance/Budget Update (UNC Pol, Ch. 100.1, Appendix 1 (V), NC State Pol 01.05.1, Appendix 1, I.b) Office of External Affairs, Partnerships & Economic Development Kevin Howell, Vice Chancellor, External Affairs, Partnerships & Economic Development Office of Finance and Administration Charles Maimone, Vice Chancellor, Finance and Administration Barbara Moses, Associate Vice Chancellor, Budget and Resource Mary Peloquin-Dodd, Associate Vice Chancellor, Finance and Uni	•
	D.	Enterprise Risk Management and Compliance Update UNC Pol, Ch.100.1, Appendix 1 (XV) and UNC Pol., Ch. 1300.9) (NC State Pol 01.05.1, Appendix 1, I.c.i, ii) Campus Securities Update Office of General Counsel Allison Newhart, Vice Chancellor and General Counsel Office of Finance and Administration David Rainer, Associate Vice Chancellor, Environmental Health and	4.3D and Public Safety
4.	COMM	IITTEE DISCUSSION	TAB 4.4
5.	ADDIT	IONAL INFORMATIONAL MATERIALS	TAB 4.5
	A.	NC State Investment Fund Performance Review (NC State Pol 01.05.1, Appendix 1, l.b, d.i)	4.5A
	B.	NC State Intermediate Term Fund Performance Review (NC State Pol 01.05.1, Appendix 1, lb, d.i)	4.5B

ADJOURN

Meeting of Audit, Risk Management and Finance Committee North Carolina State University Board of Trustees September 12, 2019

Chair Weisiger opened the meeting at 3:15 p.m. in Winslow Hall Conference Room. Roll was taken and there was a quorum. Committee members present for the meeting were:

Mr. Ed Weisiger, Chair Ms. Ann Goodnight Mr. Stan Kelly

IVII. Stan Keliy

Mr. Ed Stack

Mr. Wendell Murphy

Mr. Dewayne Washington

All members of the Committee were reminded of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act. It was inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the Committee at this meeting. There being none, the meeting continued.

The committee reviewed and discussed its responsibilities as per the bylaws, this year's agenda, and the draft plan of work for the year. The four key areas of committee authority are audit, finance, risk management, and policy development for institutional trust funds, campus security and information technology and cybersecurity. Changes to this year's calendar include the specific inclusion of the Campus Securities Update in November and the Information Technology Update in February.

The minutes from the April 18, 2019 meeting were presented for approval, and with no changes, they were approved by consent.

The Committee was presented with the Internal Audit Charter for review and approval, as required by state law, BOG policy, and the Institute of Internal Auditors' International Professional Practices Framework. The Charter is a formal document that defines the Internal Audit Division (IAD) mission, scope of work, accountability, authority, and responsibility. The Charter must be reviewed and approved annually by the Board of Trustees. There were no changes from last year. **Mr. Washington made a motion to accept the Charter as presented, Mr. Kelly seconded, the Charter was approved**.

The process for risk assessment and development of the Annual Internal Audit Plan, the Fiscal Year 2019 NCSU IAD Annual Report, and the Fiscal Year 2019 Year End Summary were also reviewed. Topics included: IAD performance metrics, status of engagements against the Audit Plan, the increase in risk assessment interviews conducted, and the increase in hotline allegations received and investigated. In fiscal 2019, 22% of the engagements were directly related to the University's top Ten Risks, and the remaining 78% were related to risks identified through Internal Audit's continuous risk assessment process. The quarterly update on Internal Audit activity since the last meeting was then presented. Current activities include 12 audits, four follow-ups, five consultations and six special assignments. One specific audit resulted in findings of poor business processes, and corrective actions have started.

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Audit, Risk Management and Finance Committee North Carolina State University Board of Trustees September 12, 2019 Page 2

The committee heard a brief overview of the University's budgets and related financial management. NC State operates on a highly decentralized model for budgetary management. The Budget Office assists NC State's colleges and units in the establishment of budgets. The Controller's Office is the primary data steward of the University's financial management system and ensures compliance with laws, regulations and internal and external policies. The University does not borrow for operations, so monitoring payments out is important. The University does external borrowing for capital, and is highly rated by both Moody's and Standard & Poor's. Reference was made to the Moody's report in the pre-materials.

The Committee also heard a year-end update on financial performance and highlights from the University's unaudited financial statements for FY2019. Key revenues and expenses for fiscal 2019 were compared with similar data for fiscal 2009. The largest revenue sources are state appropriations, tuition and fees, grants and contracts, and sales and services. Salaries and benefits continue to be the largest component of operating expenses. For fiscal 2019, a 5.2% increase in total revenues was offset by smaller increases in operating expenses of 1.6%.

The Committee heard and discussed recent legislative activity. While some mini bills were passed, a final budget is still pending for the university system. House Bill 426 addresses UNC System school salaries.

Office of General Counsel presented an informational report on strategic risk management, a framework for thinking and managing strategic risks on a university-wide basis, and an overview of strategic risk management at NC State. Strategic risk management is designed to be broader than traditional risk management and is a continuum. Plans have begun to update the University's current Strategic Risk Management Plan and process. There was also an update on the status of a new position, Chief Compliance, Enterprise Risk Management, and Ethics Officer, which will report directly to the Chancellor.

Chair Weisiger referenced informational materials provided to the Committee.

With no further business, the Committee adjourned at 4:34 p.m.

Submitted by _	
	Secretary to the Committee
Approved	
	Chair of the Committee

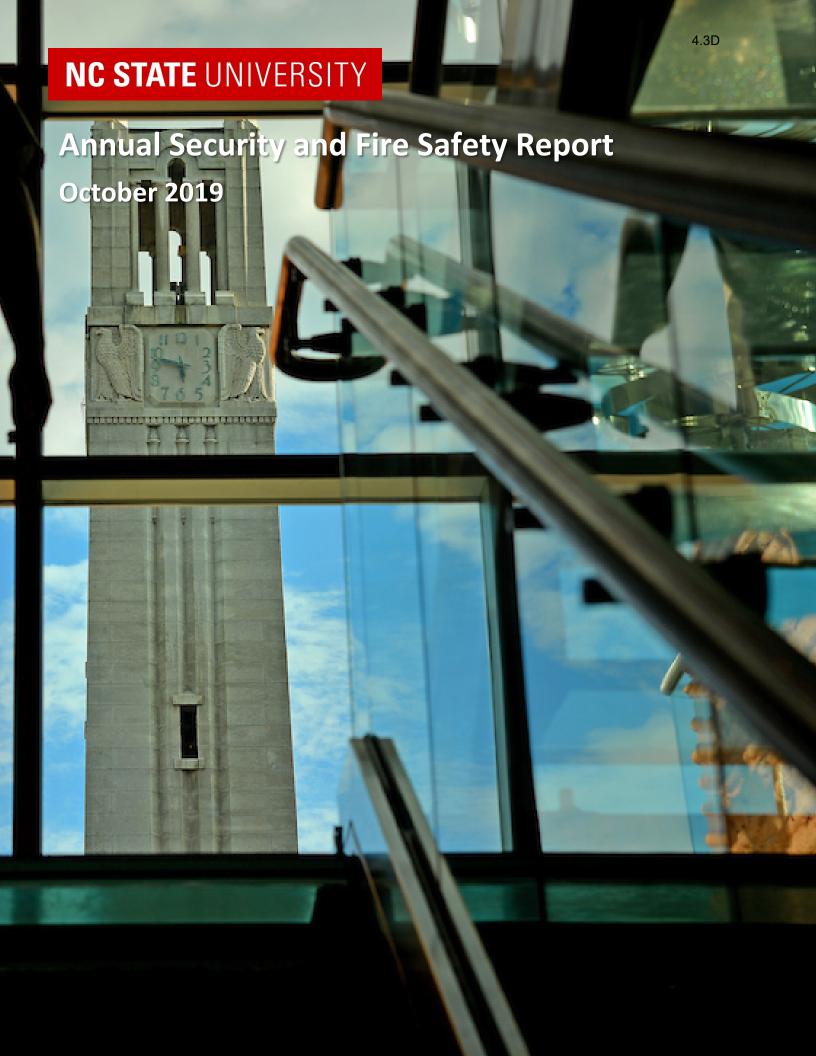


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Introduction

Message from the Chief



North Carolina State University (NC State) is the largest university in North Carolina with a population of over 40,000 and encompasses nearly 2,110 acres in the heart of the capital city of Raleigh. The campus is uniquely composed of offices and classrooms, university residence halls, private housing, research facilities, major athletic facilities, a major veterinary hospital, and a research park located at Centennial Campus. NC State has all of the attributes of a major city in North Carolina.

Studying, living and working in a university environment like NC State can make it easy to forget that crime and other problems can occur just as they do in other cities in North Carolina. The purpose of this report is to provide you with the information you need to stay safe while at NC State.

The NC State Police Department (University Police) is the primary department at the university charged with creating a safe and secure environment. This task, however, is not one we can accomplish alone. Crime prevention, identifying risks, and problem solving are the responsibility of everyone.

Our efforts to maintain a safe and secure environment rely on University Police's ability to develop working relationships with the many communities that make up NC State. We believe that through partnering and problem solving, we can make NC State one of the safest universities in the nation. We have a commitment to community policing and we are dedicated to assisting you in maintaining a safe and secure environment in order to enhance the quality of life here at NC State.

University Police has been awarded "Accreditation with Excellence" by the Commission on Accreditation for Law Enforcement Agencies, Inc. University Police is also accredited by the International Association of Campus Law Enforcement Administrators. These professional milestones ensures that the department is practicing nationally and internationally recognized standards for the delivery of police services to the NC State community. The department provides a full range of services, including 24-hour patrol (by vehicles, on foot, on bikes, on Segways, and on horseback), investigations, a 911 center and a crime prevention unit. In addition, University Police offers a wide range of educational services.

We hope you find this report informative and helpful and that your stay at NC State will be both rewarding and safe.

Jack W. Moorman Chief of Police



Clery Act Requirements

In general, the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act) requires colleges and universities to:

- Publish an annual report every year by October 1 that contains three years of campus crime statistics and certain campus security policy statements;
- Disclose crime statistics for the campus, public areas immediately adjacent to or running through the campus, and certain non-campus properties. The statistics must be gathered from University Police, local law enforcement, and other University officials who have "significant responsibility for student and campus activities";
- Provide "Timely Warning" notices of those crimes that have occurred and pose an "ongoing threat to students and employees";
- Provide "Emergency Notifications" for dangerous or emergency situations; and
- Disclose in a public crime log any crime that occurred on campus and is reported to the university police.
- Provide survivors of sexual assault, domestic violence, dating violence, and stalking with information on reporting, interim arrangement options, resources, and University disciplinary processes.
- Outline and disclose University policies and procedures within their annual security reports, including those related to disseminating timely warnings and emergency notifications, options for survivors of sexual assault, domestic violence, dating violence, and stalking, and campus crime reporting processes.

University Police is required by the Clery Act to report certain types of crimes on an annual basis. As a result, NC State reports all Part 1 Criminal Offenses, as well as Hate Crimes as required by the Clery Act, for crimes occurring on campus and certain non-campus properties. These crimes include:

- Criminal Homicide
- Sexual Assault, including Rape, Fondling, Incest, and Statutory Rape
- Stalking
- Domestic Violence
- Dating Violence
- Rape
- Robbery
- Aggravated assault
- Burglary
- Motor vehicle theft
- Arson
- Hate crimes
- Arrests and Disciplinary Referrals for Violations of Weapons, Drug, and Liquor Laws

The Annual Security Report (ASR) crime statistics are provided below, and are also available on the University Police website at the following link: https://police.ehps.ncsu.edu/annual-security-report/.

University Police is responsible for preparing and distributing the ASR. University Police works with many other departments and units within the campus community to compile this information. Because they have local jurisdiction over some NC State locations, the Raleigh Police Department, Wake County

Sheriff's Office, and other law enforcement agencies are asked to provide Clery Act reportable crime statistics for the required geographical locations.

We encourage members of the NC State community to use this report as a guide for safe practices on and off campus. For a hard copy of this information or alternative formats please contact the NC State University Police at (919) 515-3000.



Disclosure of Crime Statistics

NC State crime statistics include those crimes reported to University Police, Campus Security Authorities (CSAs), and local law enforcement agencies. These statistics may include crimes that have occurred in private residences, including those maintained by University student organizations, and on certain other non-campus property. The statistics for NC State's off-campus facilities at the NC State European Center in Prague, and Center for Marine Sciences and Technology (CMAST) in Morehead City, North Carolina (collectively, "Off-Campus Facilities") are provided separately.

Each year, an e-mail notification is made to all enrolled students, faculty and staff that provides the website to access the ASR. Prospective students can obtain a copy by visiting NC State's undergraduate and graduate admissions websites. All prospective employees can obtain a copy from the NC State Human Resources department by visiting https://jobs.ncsu.edu; the website address is part of the online employment application. Individuals with disabilities can request the ASR in an alternative format by contacting University Police.

OFFENSE	OFFENSE ON CAMPUS					IAL ES	NON-CAMPUS			PIJRI	IC PROP	ERTV	TOTAL							
OTTENSE	2016	2017	2018	2016	2017	2018	2016	2017	2018	2016	2017	2018	2016	Unfounded	2017	Unfounded	2018	Unfounded		
MURDER/NON- NEGLIGENT MANSLAUGHTER	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
MANSLAUGHTER BY NEGLIGENCE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
RAPE	30*	15	9	28*	12	8	2	3	2	0	0	0	32*	2	18	0	11	0		
FONDLING	4	17	16	2	8	6	1	3	3	0	2	2	5	1	22	0	21	0		
INCEST	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
STATUTORY RAPE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
ROBBERY	4	3	1	0	0	0	1	1	0	2	3	3	7	1	7	0	4	0		
AGGRAVATED ASSAULT	6	14	5	2	7	2	0	3	1	1	0	0	7	0	17	0	6	0		
BURGLARY	30	30	13	4	7	5	4	2	1	0	0	0	34	0	32	2	14	2		
MOTOR VEHICLE THEFT	6	6	2	0	0	0	0	1	1	0	1	4	6	4	8	2	7	6		
ARSON	2	1	2	1	0	1	0	0	0	1	0	1	3	0	1	0	3	0		
ARRESTS: ILLEGAL WEAPONS	4	0	4	0	0	0	0	3	0	0	2	0	4	NA	5	NA	4	NA		
DISCIPLINARY REFERRALS: ILLEGAL WEAPONS	0	0	10	0	0	9	0	1	0	0	0	0	0	NA	1	NA	10	NA		
ARRESTS: DRUG ABUSE VIOLATIONS	73	32	60	27	23	24	3	4	3	2	8	5	78	NA	44	NA	68	NA		
DISCIPLINARY REFERRALS: DRUG ABUSE VIOLATIONS	62	73	114	41	50	77	4	2	1	1	0	3	67	NA	75	NA	118	NA		
ARRESTS: LIQUOR LAW VIOLATIONS	27	22	9	8	6	1	48	4	3	17	1	2	92	NA	27	NA	14	NA		
DISCIPLINARY REFERRALS: LIQUOR LAW VIOLATIONS	340	274	292	315	225	273	31	21	14	0	0	2	371	NA	295	NA	308	NA		
STALKING	21	28	32	6	5	17	0	0	0	1	1	0	22	0	29	1	32	0		
DOMESTIC VIOLENCE	7	7	9	4	3	8	0	1	0	0	0	0	7	0	8	0	9	0		
DATING VIOLENCE	33*	18	11	28	12	6	1	0	0	0	2	0	34*	0	20	1	11	0		
HATE CRIMES	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

^{*}In 2016, an individual reported to University Police that they had been raped approximately 20 separate times, over a period from 2014 to 2016, by another individual with whom they were involved in a dating relationship. Pursuant to the Clery Act, and its implementing regulations and guidelines, the university must include these reported incidents in the year in which they were reported, not in the year in which they occurred. In addition, while these incidents must be reported in the 2016 rape statistics, they must also be included as separate incidents of dating violence.

NC State European Center in Prague Crime Statistics

OFFENCE	ON CAMPUS			RESIDENTIAL FACILITIES			NON-CAMPUS			DUDI	IC DDOD	EDTX	TOTAL					
OFFENSE	2016	2017	2018	2016	2017	2018	2016	N-CAMIP 2017	2018	2016	IC PROP	2018	2016	Unfounded	2017	Unfounded	2018	Unfounded
MURDER/NON- NEGLIGENT	2010	2017	2010	2010	2017	2010	2010	2017	2016	2010	2017	2016	2010	Omounded	2017	Cinounueu	2010	Cinounueu
MANSLAUGHTER	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
MANSLAUGHTER BY NEGLIGENCE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
RAPE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
FONDLING	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
INCEST	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
STATUTORY RAPE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
ROBBERY	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
AGGRAVATED ASSAULT	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
BURGLARY	N/A	N/A	0	N/A	N/A	0	N/A	N/A	2	N/A	N/A	0	N/A	N/A	N/A	N/A	2	0
MOTOR VEHICLE THEFT	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
ARSON	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
ARRESTS: ILLEGAL WEAPONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
DISCIPLINARY REFERRALS: ILLEGAL WEAPONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
ARRESTS: DRUG ABUSE VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
DISCIPLINARY REFERRALS: DRUG ABUSE VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
ARRESTS: LIQUOR LAW VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
DISCIPLINARY REFERRALS: LIQUOR LAW VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
STALKING	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
DOMESTIC VIOLENCE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
DATING VIOLENCE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0
HATE CRIMES	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0

Center for Marine Sciences and Technology Crime Statistics

OFFENSE	OFFENSE ON CAMPUS					TAL ES	NON-CAMPUS			PI RI	IC PROP	ERTV	TOTAL							
OTTERISE	2016	2017	2018	2016	2017	2018	2016	2017	2018	2016	2017	2018	2016	Unfounded	2017	Unfounded	2018	Unfounded		
MURDER/NON- NEGLIGENT MANSLAUGHTER	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
MANSLAUGHTER BY NEGLIGENCE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
RAPE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
FONDLING	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
INCEST	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
STATUTORY RAPE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
ROBBERY	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
AGGRAVATED ASSAULT	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
BURGLARY	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
MOTOR VEHICLE THEFT	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
ARSON	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
ARRESTS: ILLEGAL WEAPONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
DISCIPLINARY REFERRALS: ILLEGAL WEAPONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
ARRESTS: DRUG ABUSE VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
DISCIPLINARY REFERRALS: DRUG ABUSE VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
ARRESTS: LIQUOR LAW VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
DISCIPLINARY REFERRALS: LIQUOR LAW VIOLATIONS	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
STALKING	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
DOMESTIC VIOLENCE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
DATING VIOLENCE	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		
HATE CRIMES	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A	N/A	N/A	0	0		

Emergency Communication and Response

Generally, University Police is responsible for providing immediate emergency response, and will respond and confirm if there is a significant emergency on campus. University Police's response may be in conjunction with others, such as the university's Fire and Life Safety Office, University hazardous material officials, or the City of Raleigh Fire Department. In some cases, other University officials (such as members of NC State's Environmental Health and Safety Office) may recognize and confirm a dangerous or emergency situation involving an immediate threat to the health and safety of the campus.

Upon confirmation of an emergency, University Police supervisory personnel, in consultation with University administrators, as appropriate, will determine whether there is a significant emergency that requires notification through NC State's emergency notification system, which segment(s) of the campus community, including Off-Campus Facilities, will be notified, and the content of the emergency notification. The Staff Duty Officer for University Police has the authority and capability to activate the university's emergency notification systems and decide which communications tools to use. When time allows, this will be done in consultation with the Chief of University Police, the Associate Vice Chancellor for Environmental Health and Public Safety, and the university's Emergency Operations Group.

However, if, in the professional judgment of University Police, issuing a notification potentially compromises efforts to assist a victim, investigate a crime, or to contain, respond to, or otherwise mitigate



the emergency, NC State may elect to delay issuing an emergency notification. As soon as the condition that may compromise efforts is no longer present, the university will issue the emergency notification to the campus community.

Alerting the NC State Community

NC State has an Emergency Notification System known as WolfAlert with multi-channel communication capabilities. WolfAlert is primarily intended to rapidly disseminate emergency information about an incident or emerging situation and provide instructions to the NC State campus community in Raleigh, NC. WolfAlert's uses include notifications for emergencies as well as non-emergency situations causing a significant and real or

perceived threat to the campus community. Additional information on the WolfAlert system is available at www.ncsu.edu/emergency-information.

WolfAlert System Methods:

Broadcast email: A broadcast email goes to everyone who has a University email account and any affiliated individual who has provided an email address.

Billboard: Notifications can be delivered to electronic billboards located in common areas across campus.

Desktop Notification: Desktop alerting software allows NC State to relay critical emergency notifications and communications. The alert appears on an individual's laptop or personal computer as a pop-up window overlaying all other open windows on the computer. This software is available to all University classroom, lab, faculty and staff PC's logged onto the campus network. It is also available for download to personal laptops for all students, faculty and staff; but will only be activated when logged onto the campus network via Wi-Fi or Ethernet connection.



Text Messaging: NC State sends students, staff, and faculty a text message to their cell phone in the event of a campus emergency, emergency at an off-campus facility, University closing, and for information on the occurrence of certain crimes. An alert will be sent to everyone who has provided his or her text-enabled cell phone number in MyPack Portal. Messages will contain brief safety instructions and/or brief details on where to get more information about the alert, i.e. NC State's emergency website. This service is not intended for family member registration.

WolfAlert Audible Alert System: The WolfAlert Audible Alert System is an audible warning system which consists of speaker arrays mounted on poles or building roofs at various locations across campus. In the event of certain emergencies, either tones or brief messages will be broadcast over these speakers to notify persons located outside of buildings to take action. Typically, this action will be to seek shelter in a nearby building and tune in to the various media described above for further information.

Home Page: NC State University Communications will post a black WolfAlert banner at the top of the university home page that will alert home page visitors of the situation and link to the NC State WolfAlert site for additional information regarding significant incidents impacting campus.

Adverse Conditions Hotline: NC State uses 919-513-8888 for a wide range of announcements, including adverse weather and emergency situations.



Social Media: NC State may use various forms of social media (Twitter, Facebook, Google+, etc.) to alert the NC State community about emergencies as well as non-emergency situations causing a significant and real or perceived threat. In addition, WolfAlert Twitter and Facebook pages are maintained by University Communications and only populated during an emergency.

On Campus App: NC State's "On Campus" app is available to individuals who are Apple or Android phone users. Anyone who has access to the app is capable of receiving WolfAlert notifications through their phones or other mobile device.

The Staff Duty Officer for University Police will determine which communications tool to use and when to inform the campus community of a significant emergency, a serious or continuing threat, or dangerous situation. When time allows, this will be done in consultation with the Chief of Police, Associate Vice

Chancellor for Environmental Health and Public Safety, and the university's Emergency Operations Group.

The WolfAlert System, campus electronic billboards, text messaging, and e-mail are tested on the first Monday of every month. Records are kept on file of the results of the testing process.

Types of Notifications

Timely Warnings

WolfAlert Crime Warnings are issued to notify the campus community, or individuals at off-campus facilities, for Clery crimes occurring anywhere on NC State's Clery geography that are considered to be, in the judgment of the University Police Chief or his designee, a serious or continuing threat to students and employees. Examples of crimes where crime warnings may be issued include, but are not limited to: sexual assaults, burglary, robbery, or aggravated assaults. Distribution of a WolfAlert Crime Warning is generally by broadcast email or text message from University Police to all students, faculty, and staff and may include social media by University Communications.

An example of a WolfAlert Crime Warning e-mail is:

Wolf Alert - Burglary at North Hall

At approximately 3:15 AM a resident of North Hall reported to University Police that they were awoken by a black male that had entered their room and was going through a dresser drawer. The suspect fled the area in an unknown direction after being confronted by the resident of the room. The suspect is described as being in his 20's and wearing an orange shirt and khaki pants.

The campus community is always encouraged to take the following steps to keep themselves safe:

- Assailants target residences where doors and windows are left unlocked or unsecured. Always lock residence doors and windows when at home and when you leave.
- Assailants may try to gain access into a residence by using force or deception. Don't open your door for or let someone in your residence that you don't know.
- If you feel unsafe in any situation, trust your instinct and contact University Police immediately to report suspicious activities or crimes on campus by calling 911 or 919-515-3000.

In compliance with the Timely Notice provisions of the Federal Jeanne Clery Disclosure of Campus Security Police and Campus crime Statistics Act of 1998, Campus Police are giving notice of a disturbing act of violence. Please share the information contained in this crime warning with other people on campus who may not have seen it.

Emergency Notifications

WolfAlert Emergency Notifications are issued to immediately notify the campus community, or individuals at off-campus facilities, upon confirmation of a significant emergency or dangerous situation involving an immediate threat to the health or safety of students or employees occurring on campus. Examples of such significant emergencies or dangerous situations include, but are not limited to, shooters on campus or tornado warnings. Emergency Notifications are issued by University Police or Emergency Management and Mission Continuity through a combination of methods that may include broadcast email, audible alert, public media, campus bill boards, desktop notifications, or text message. In addition, University Communications may issue emergency information via the university home page and social

media. Follow up communications are directed by the Crisis Communications Team and the university's Chief Communications and Marketing Officer.

Students may also receive communications from University Housing staff, such as Resident Advisors (RAs). Students receive an annual email message highlighting the methods of emergency communication, with special emphasis on registering to receive emergency text messages and to review the information and resources found at www.ncsu.edu/emergency-information.

Safety Notifications

WolfAlert Safety Notices are communications to the campus community for crimes that do not occur on NC State's Clery geography but are determined to require the awareness of campus for safety purposes, or for situations that are not deemed an emergency or dangerous situation, but are determined to require the awareness of campus for notification reasons. Distribution of a WolfAlert Safety Notice is generally by broadcast e-mail by University Police or University Communications, but could also include text message, social media, and/or notification through the On Campus app.

Testing Emergency Response and Evacuation Procedures

NC State conducts numerous announced drills and exercises each year and conducts follow-through activities designed for assessment and evaluation of emergency plans and capabilities. These drills and exercises simulate emergency scenarios that affect individual operating units or the campus community as a whole. Examples of drills and exercises conducted by NC State include live or tabletop exercises simulating: a tornado or other severe weather event, active shooter on campus, utility disruption, and hazardous material release. The university coordinates on average four or more announced drills or exercises each year, to test, assess, and evaluate the emergency response and evacuation procedures as well as decision-making capabilities. Emergency procedures and evacuation plans are publicized in conjunction with at least one of these tests. Emergency response drills and tabletop exercises are monitored by NC State's Department of Emergency Management and Mission Continuity, University Police, University Housing, and/or by other third party assessors where appropriate. Recommendations for improvements are submitted to the appropriate departments/offices for consideration. For each test, the university documents a description of the exercise, the date, and the time.

In the event of an emergency, NC State's Emergency Operations Plan will be activated. This plan is coordinated with other Wake County emergency response agencies and has been tested in joint training drills and exercises with these agencies. The university also constantly receives the most up-to-date information available on risks as well as threats, and prepares accordingly. NC State continues to evaluate its Emergency Operations Plan as part of an ongoing evaluation of best practices and the use of new technologies.

Campus or Off-Campus Facility Evacuations

In a campus-wide emergency, the decision to implement evacuation procedures rests with the Incident Commander. When time permits, the decision to evacuate rests with the Chancellor or designee in consultation with the Associate Vice Chancellor for Environmental Health and Public Safety. The NC State campus encompasses approximately 2,500 acres and 15,000,000 square feet under roof. Depending on circumstances "evacuation" may or may not be practicable and would likely take in excess of two (2) hours.

Information concerning campus-wide evacuations are communicated through WolfAlert Emergency Notifications

In an emergency at one of NC State's Off-Campus Facilities (NC State's European Center in Prague or CMAST), the decision to implement evacuation procedures rests with the program or facility director, after consultation with University Police or local law enforcement authorities when possible.

Building Evacuations

Building evacuations are coordinated through NC State's Fire and Life Safety and University Police. A building may be evacuated by order of First Responders or due to the activation of a building fire evacuation system. Other than the sounding of a fire alarm, First Responders may perform a scene assessment to determine if an evacuation or shelter-in-place order is appropriate. If the decision to evacuate is made, occupants will be moved to a safe area coordinated by First Responders.

Evacuation procedures for specific buildings are prepared with assistance from the Fire and Life Safety Office, are disseminated by building liaisons to building occupants, and included in unit Pack Ready Emergency Plans. Evacuation diagrams are posted near all elevators and in common areas. In general, evacuations follow the procedures set forth on p. 50 of this report.

When directed to evacuate your building:

- Assist any person in immediate danger to safety, if it can be accomplished without risk to yourself
- Use prescribed evacuation routes
- Do not use elevators
- Do not re-enter the building until directed by first responders

Please contact your building liaison or the University Fire and Life Safety Office at 919-515-2568 for more information.

Shelter-in-Place

Shelter-in-Place means selecting a small, interior room if possible, with no or few windows, and taking refuge there. It does not mean sealing off your entire building. If you are told to shelter-in-place, follow the instructions provided.

Why You Might Need to Shelter-in-Place:

Adverse weather and other significant safety emergencies may occur when evacuation of a building or location is not recommended or possible. Should this occur, information will be provided by University authorities through WolfAlert Emergency Notifications. The important thing is for you to follow instructions of University authorities and know what to do if they advise you to shelter-in-place.

Missing Student Notification

NC State RUL 11.31.02, Missing Student Notification, (http://policies.ncsu.edu/rule/rul-11-31-02) establishes the procedures for the NC State community regarding the reporting, investigation and required

emergency notification when a student residing in on-campus housing is determined to be missing. A student shall be deemed missing when he or she is reported absent from the University for more than 24 hours without any known reason.

Identifying a Contact Person

All NC State students residing in on-campus housing (including residence halls, Greek Village and university-owned apartments – E.S. King Village, Western Manor, Wolf Village, and Wolf Ridge) must register a contact person to be notified by NC State if NC State determines that the student is missing for more than 24 hours. This contact information will be registered confidentially and will not be disclosed except to authorized University officials and law enforcement personnel in furtherance of a missing person investigation. If a student is under 18 years of age and not an emancipated minor, NC State is required to notify a custodial parent or guardian, in addition to notifying any additional contact person designated by the student.

Procedure for Identifying a Missing Student

Any person who believes that a student who resides in on-campus housing is missing should immediately report this information to University Housing or Fraternity and Sorority Life staff, or to University Police at 911 or 919-515-3000. Any missing student report received by University Housing or Fraternity and Sorority Life staff will be immediately referred to the University Police. In the event another university officer or employee other than a member of University Housing, Fraternity and Sorority Life or University Police receives a report of a missing student, that person shall immediately notify University Police of the report received.

Upon the report of a possible missing student, the University Police will immediately initiate a missing person investigation in accordance with North Carolina law and University Police General Order 500-13 (Missing Persons). If University Policy determines that a student is missing, University Police will take the following actions:

- a. Notify the student's contact person within 24 hours of the determination that the student is missing;
- b. If the student is under 18 years of age and is not an emancipated minor, University Police will notify the student's custodial parent or guardian and any other designated contact person within 24 hours of the determination that the student is missing;
- c. Inform any other appropriate law enforcement agencies within 24 hours of the determination that the student is missing;
- d. University Police and any other appropriate law enforcement agencies will continue to investigate the missing person report.

Nothing in this rule prevents NC State from making notifications earlier than noted above if deemed appropriate under the circumstances. In addition, nothing in this rule shall restrict NC State from contacting other individuals if deemed necessary to prevent harm to a student or others, necessary to the investigation, or otherwise appropriate under the circumstances.

Reporting of Criminal Offenses

Who to Report To

All students, employees, and visitors should promptly report criminal incidents, accidents and other emergencies to University Police by dialing 911. For non-emergencies you may contact University Police at (919) 515-3000 or in person at 2610 Wolf Village Way. University Police is available 24 hours a day year round. Students, employees, and visitors at NC State's Off-Campus Facilities should promptly report criminal incidents to local law enforcement authorities.

The university has installed emergency call boxes (blue light phones) throughout campus for use when police assistance is needed. By pressing the red button on the phone, users can communicate directly with our Emergency Communications Center. The location of the emergency call box is digitally displayed to the Emergency Communications Officer.

Campus Security Authorities at NC State

In accordance with the Clery Act, all university "campus security authorities" (CSAs) – those officials who have significant responsibility for student and campus activities – must report certain crimes to University Police.



CSAs are required to report the following crimes, occurring in certain geographic locations associated with NC State, in a timely manner to University Police:

- -Criminal Homicide
- -Sexual Assault (Rape, Fondling, Incest,
- Statutory Rape)
- -Robbery
- -Burglary
- -Arson
- -Stalking

- -Dating Violence
- -Domestic Violence
- -Motor Vehicle Theft
- -Aggravated Assault
- -Liquor, drug and weapons arrests and disciplinary referrals

CSAs are also required to report whether the crimes listed above, or any other criminal offense such as larceny, simple assault, ethnic intimidation, and destruction, damage, or vandalism of property, were motivated by bias related to race, gender or gender identity, religion, sexual orientation, ethnicity, national origin or disability.

Given the nature of these reporting obligations, NC State recognizes that it would be unrealistic to expect all CSAs to be able to differentiate between similar crimes without significant legal and/or law enforcement training (e.g. knowing the difference between aggravated assault and simple assault or burglary and larceny) or even when a crime is motivated by bias. Therefore, the university believes that the most reasonable and effective way to manage NC State's federal reporting requirement is to designate University Police as the central data collection unit for all crimes.

If someone reveals to a CSA that they have been the victim or perpetrator of, or witness to, any incident that might involve a crime (reportable or otherwise), CSAs must immediately contact University Police at (919) 515-3000 or complete the online CSA Incident Report Form found at:

https://campuspolice.ehps.ncsu.edu/clery/csa-incident-reporting-form/. NC State's CSAs complete annual training so that they are aware of their Clery reporting obligations. This training is delivered in two formats: in-person presentations facilitated jointly by University Police and the Office of General Counsel, and online through NC State's REPORTER system. Completion of in-person or online CSA training is tracked and reported to University Police by a responsible administrator within each University division or unit.

The <u>following</u> personnel have been identified as CSAs and are required to receive training and notify University Police of incidents or offenses occurring in certain geographic locations associated with NC State:

• Environmental Health & Public Safety:

- O University Police: All Personnel
- o Fire and Life Safety: All Personnel
- O Violence Prevention and Threat Management: All Personnel
 - Minors on Campus: Program Coordinators

• Division of Academic and Student Affairs:

- Student Development, Health & Wellness: Selected personnel as identified by the Senior Associate Vice Chancellor for Academic and Student Affairs (but does not include select personnel in Student Health Services and Counseling Center licensed professional staff if these individuals receive a report in the performance of their job duties)
- <u>University Housing and Living/Learning Initiatives</u>: All professional staff, residence directors/assistants, community assistants, administrative assistants, project coordinators, and non-police security personnel
- ARTS NC State: Selected personnel as identified by the Executive Director for ARTS NC State
- <u>University College</u>: Selected personnel as identified by the Senior Associate Dean for Academic and Student Affairs
- Service and Leadership: All faculty/staff advisors to registered/recognized student organizations, and selected personnel as identified by the Assistant Vice Chancellor for Academic and Student Affairs
- Academic Success: Selected personnel as identified by the Associate Vice Chancellor for Academic and Student Affairs
- o <u>Academic Support Program for Student Athletes</u>: Selected personnel as identified by the Assistant Dean for Academic and Student Affairs
- Other personnel as identified by the Vice Chancellor and Dean for Academic and Student Affairs
- Park Scholarships: All professional staff
- Goodnight Scholars Program: All professional staff
- Caldwell Fellows: All professional staff
- <u>Enrollment Management and Services</u>: Selected personnel as identified by Vice Provost for Enrollment Management & Services and University Registrar
- Office of Global Engagement: All professional staff
- <u>Institutional Equity and Diversity</u>: Selected personnel as identified by Vice Provost for Institutional Equity and Diversity
- <u>Colleges and the Graduate School</u>: All Deans, Associate and Assistant Deans, Department Heads, Program Directors (including programs hosting minors), Student Career Counselors, and Directors of Graduate Programs
- Athletics: All Coaches, Directors, Managers, and Trainers



Voluntary and Anonymous Reporting

Occasionally, victims of crime wish to report a crime but do not want to give their name and/or do not want to pursue action through the criminal justice or the university conduct procedures. Anonymous reporting can be conducted online through the University Police website at https://police.ehps.ncsu.edu/forms/

For those designated as CSAs, anonymous reports can be sent electronically to University Police through the Campus Security Authority Incident Report form. The web-based report form can be accessed via University Police's website at: https://campuspolice.ehps.ncsu.edu/clery/csa-incident-reporting-form/. Before a report can be submitted, the CSA must provide certain information including: the date the incident occurred and actual date reported, the type of crime involved, the general location of the crime (campus building, non-campus property, etc.), and a description of the incident. The CSA must identify the individual who received the report and contact phone number. CSAs are trained annually on their duty to report and the reporting requirements.

University Police follows up on each anonymous report as appropriate, based upon the timeliness and substantiation of information provided, to determine if a reported incident represents an on-going threat to the campus community. If the investigating officer determines that the reported incident occurred and that it has not previously been reported, the officer will complete an incident report, and the crime will be included in NC State's crime log and, if applicable, the crime statistics recorded in the university's ASR.

Pursuant to the Clery Act, pastoral counselors and professional counselors are not required to report crimes to University Police for inclusion into the annual disclosure of crime statistics or for the purpose of a timely warning. A pastoral counselor is a person who is associated with a religious order or denomination, is recognized by that religious order or denomination as someone who provides confidential counseling, and is functioning within the scope of that recognition as a pastoral counselor. A professional counselor is a person whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of his/her license or certification.

Certain other University departments may also accept reports from a victim. Such departments include the Office of Student Conduct, Women's Center (including the 24-hour Response Line), Office for Institutional Equity and Diversity, University Housing, Student Legal Services, Student Health Services,

and GLBT Center. The Clery Act requires these departments to report the crime to University Police. This reporting allows the university to maintain accurate records on the number of incidents, determine if there is a pattern of crime with regard to a particular location, method or assailant, and alert the campus community of an ongoing threat if needed.

University Police will investigate crimes that are reported.

Security and Access

Residence Halls

Residence hall entrance doors are locked at all times. Residents have keys to enable them to gain access to their hall. In accordance with the university's Residence Hall Visitation Regulation, REG 11.30.06, a visitor in the residence halls must be escorted at all times by the hosting student. Some university employees, such as housekeepers, maintenance staff and employees of University Housing, also have access to the residence halls to perform their job responsibilities.

During Winter Break - between Fall and Spring semesters - and Spring Break, most residence halls are closed and residents must vacate their rooms. The locks on the entrance doors of closed halls are changed during the two break times to decrease the possibility of unauthorized entrance. In halls remaining open during the Winter and Spring Breaks, the entrance door locks are also changed, and only those students who are registered to remain in the buildings during the breaks receive a key for the new lock.

Campus and Off-Campus Facility Buildings

NC State is a public institution and is generally open to the public. The university is research intensive and may restrict access to certain areas as needed. In order to maintain the safety of the campus community, the university has the ability to restrict, withhold, or remove a person's access to or presence on University property due to safety considerations relating to the university community.

Maintenance

NC State is committed to campus safety and security. Exterior lighting and landscape control is a critical part of that commitment. Representatives from various departments continually conduct security surveys to ensure campus lighting is adequate and that the landscape is appropriately controlled. University Police officers conduct routine checks of lighting on campus during regularly assigned patrol duties. If lights are out or dim, officers will initiate a work order. We encourage community members to report any deficiency in lighting or physical security to the Facilities Customer Service Center at (919) 515-2991, or the safety hotline at (919) 515-5445.

The department and representatives from the university lock shops work together to identify inoperative locking mechanisms. We encourage community members to promptly report any locking mechanism deficiency to the facilities customer service center at (919) 515-2991 or to University Police at (919) 515-3000.

Maintenance staff persons are available to respond to calls for service regarding unsafe facility conditions or for personal safety and property protection. These conditions also may include unsafe steps or handrails, unsafe roadways on campus and unsecured equipment.

University Police

Authority

University Police operates 365 days a year, 24 hours a day. The office is located at 2610 Wolf Village Way. N.C.G.S. § 116-40.5 grants University Police officers full law enforcement powers upon completion of state mandated training. Each officer must meet the same standards and training as all other statewide police agencies in order to obtain certification. University Police's territorial jurisdiction includes all property owned or leased by the university and that portion of any public road or highway passing through such property and immediately adjoining it, wherever located within the State of North Carolina.

University Police officers are granted by the City of Raleigh expanded jurisdiction within the City subject to certain limitations identified in the following agreement:

AGREEMENT FOR EXPANSION OF TERRITORIAL JURISDICTION OF NORTH CAROLINA STATE UNIVERSITY POLICE DEPARTMENT

THIS AGREEMENT ("Agreement") made and entered into on the date as of the last signature below, between by and between North Carolina State University ("University") and the City of Raleigh ("City").

WHEREAS, University has established a law enforcement agency on its campus pursuant to N.C.G.S.§ 116-40.5(a) and Chapter 17C of the North Carolina General Statutes ("University Police"); and

WHEREAS, University Police is accredited by the Commission of Accreditation for Law Enforcement, and intends to maintain its accredited status; and

WHEREAS, pursuant to N.C.G.S. § 116-40.5(b) and 160A-288, University may enter into agreements that allow its law enforcement agency to extend the territorial jurisdiction beyond the perimeter of the campus; and

WHEREAS, University has authorized the Chief of University Police to enter into mutual aid agreements with other law enforcement agencies, and City has authorized the Chief of the Raleigh Police Department ("Raleigh Police") to enter into mutual aid agreements with other law enforcement agencies; and

WHEREAS, there has been a close working relationship between University and City in the function of law enforcement, which University and City wish to continue; and

WHEREAS, University and City desire to allow for expanded territorial jurisdiction of University Police officers in certain situations and locations as set forth in this agreement, but not to allow University officers to have general powers to conduct patrol outside of their original territorial jurisdiction under N.C.G.S. § 116-40.5(a).

THEREFORE, in consideration of these mutual interests, this Agreement, along with any and all incorporated attachments, shall define the working relationship between the University Police and the Raleigh Police and the parties agree as follows:

- 1. <u>Expansion of Territorial Jurisdiction.</u> University Police shall have expanded territorial jurisdiction beyond all real property owned by University subject to the following conditions and locations:
 - (a) When University Police officers are conducting an investigation offense alleged to have been committed by a University student in certain off-campus buildings occupied by students by virtue of association with an organization given formal recognition or registration by University. A list of these buildings is provided in Attachment A to this agreement and is hereby incorporated into this Agreement. This list may be modified or updated at any time upon joint written agreement by the University or its designee and the Chief of Raleigh Police.
 - (b) When on-duty, University Police officers will have expended territorial jurisdiction beyond real property owned by the University and within the City limits of the City of Raleigh in the following circumstances:
 - (i) When a University Police officer has in his/her possession an arrest warrant, or has knowledge of an outstanding warrant, charging the individual with an offense that was committed on University-owned property. Prior to serving such a warrant, the University Police officer must contact the on duty Watch Commander with Raleigh Police, who will evaluate the situation and determine the need for assistance of the Raleigh Police. The University Police officers may proceed with the service of the warrant only after receiving the express consent of the Watch Commander. This request may be made verbally, but shall be followed up in written form.
 - (ii) When the University Police officer has in his/her possession a valid search warrant issued pursuant to a crime committed on University owned property. Prior to serving or executing such a warrant, the University Police Officer must contact the on duty Watch Commander with Raleigh Police, who will evaluate the situation and determine the need for assistance of the Raleigh Police. The University Police officers may proceed with the service of the warrant only after receiving the express consent of the Watch Commander. This request may be made verbally, but shall be followed up in written form.
 - (iii) When the University Police officer has probable cause to believe an individual has committed a misdemeanor or felony in the University Police officer's presence.
 - (c) Except as provided for under paragraph 2 this Agreement, University Police officers shall not conduct routine patrol or take enforcement action based on

patrol that occurs outside of University-owned property, or any area in which they have original territorial jurisdiction pursuant to N.C.G.S. § 116-40.5.

- (d) In addition to the provisions outlined above, University shall have general expanded territorial jurisdiction as outlined and agreed to by both University Police and the Raleigh Police. This general expanded territorial jurisdiction is detailed on Attachment B, which is hereby incorporated into this agreement.
- (e) University Police shall establish guidelines, procedures, or rules, in consultation with Raleigh Police, in order to implement the provisions of this Agreement.
- 2. <u>Assistance to Raleigh Police.</u> University Police shall assist Raleigh Police in the following circumstances:
 - (a) Upon the request of a supervising official (watch commander, major, deputy chief or chief) with Raleigh Police, University Police officers may assist Raleigh Police in controlling disturbances, affecting an arrest, investigating or apprehending suspects for crimes that involve a breach of the peace, physical injury, theft of or damage to property.
 - (b) When acting upon the request of Raleigh Police, pursuant to this section, University Police officers shall have the same territorial and subject matter jurisdiction of a Raleigh Police officer, in accordance with N.C.G.S. § 116-50.4(b).
 - (c) City assumes no liability for any actions taken by University Police officers while acting outside their ordinary territorial jurisdiction pursuant to any and all parts of this agreement.
 - (d) University assumes no liability for any actions taken by Raleigh Police officers while operating under this agreement.
- 3. <u>Term.</u> The "Term" of the Agreement shall begin on the Effective Date and terminate on December 31, 2016. Thereafter, the Agreement shall be automatically renewed for one (1)-year successive terms, unless terminated by either party.
- 4. <u>Termination.</u> Either party may terminate this agreement with 30 days advance written notice to the other party
- 5. <u>Entire Agreement.</u> This Agreement constitutes the entire agreement between the parties hereto and no other representations, warranties or agreements whether written or oral shall be binding on either of the parties. All changes, additions or deletions to this Agreement shall be in writing and can only be amended by the mutual consent of both parties' authorized representatives.

IN WITNESS THEREOF, the parties have executed this Agreement in duplicate originals, one of which is retained by each of the parties, as of the Effective Date below.

NORTH CAROLINA STATE UNIVERSITY

CITY OF RALEIGH

Name: Charles D. Leffler

Title: Vice Chancellor
For Finance and Business

Date: 1 7 14

Name: Ruffin L. Hall

and manage

University Police responds to all emergency calls and requests for assistance. Officers are responsible for a full range of public safety services including crime reports, traffic accidents, investigations, medical and fire emergencies and enforcement of all state and local laws, as well as University policies. A daily activity log is available at https://police.ehps.ncsu.edu/daily-crime-log/.

All University Police officers can be easily identified. Police officers wear a standard uniform with navy pants and shirt. The uniform shirt is also identified with a police badge and the departmental patch on each shoulder. Criminal investigators and administrative staff, who do not wear uniforms, are required to display an identification tag at all times while on duty. The identification tag includes a color photo of the employee and their departmental affiliation.

If there is ever a doubt as to whether a person is affiliated with University Police, one is encouraged to inquire for one's own safety. All University Police employees will gladly provide proof of their association with the department. In most instances, when officers are working in a plain-clothes capacity, they will have their identification tag displayed on their outer clothing.

Contract Security

University Police may employ contracted security agencies to assist as needed.

Relationship with Local Agencies

University Police recognizes that it is vital to maintain a close working relationship with all local police, state agencies and other emergency response agencies, specifically those with joint or mutual jurisdiction considerations and responsibilities. As a result, the department has mutual aid agreements with various local police and emergency response agencies. Anyone interested in additional information regarding these agreements are encouraged to contact University Police at (919) 515-3000.

Crime Prevention and Security Awareness

During orientation, students are informed of services offered by University Police. Video and slide presentations outline ways to maintain personal safety and residence hall security. Students are told about crime on-campus and in surrounding neighborhoods. Similar information is presented to new employees

at new employee orientation. Crime prevention programs, including Interpersonal Violence and Workplace Violence Assault Prevention programs, are offered on a continual basis.

Periodically during the academic year, University Police, in cooperation with other university organizations and departments, presents crime prevention awareness sessions on sexual assault, date rape drugs, theft and vandalism, as well as educational sessions on personal safety and residence hall security. In addition to seminars, information is disseminated to students and employees through various campus media, crime prevention awareness literature, posters and displays. When time is of the essence, information is released to the university community through the university's electronic mail system.

A common theme of all awareness and crime prevention programs is to encourage students and employees to be aware of their responsibility for their own security and the security of others.

Off-Campus Crime

University Police may assist other law enforcement agencies when requested at off-campus locations, including NC State's Off Campus Facilities. Local law enforcement are the primary responders to criminal activity occurring off campus. However, local law enforcement routinely work and communicate with University Police on incidents involving NC State students or in the immediate neighborhood and business areas surrounding campus.

The City of Raleigh Police monitor, respond to, and document criminal activity occurring at off-campus residences maintained by student organizations. Upon responding, Raleigh Police will notify University Police of any criminal incident, and may refer University students for discipline through NC State's Office of Student Conduct.



Alcohol and Illegal Drugs

Chancellor's Statement



Office of the Chancellor

ncsu edu/chancellor

Campus Box 7001 Holladay Hall, Suite A Raleigh, North Carolina 27695-7001 P: 919.515.2191

MEMORANDUM

TO: All University Faculty, Staff, and Students Ranky Wood

W. Randolph Woodson, Chancellor FROM:

SUBJECT: The Drug-Free Schools and Communities Act

The Drug-Free Workplace Act

DATE: August 30, 2019

Illegal or misuse of drugs or alcohol by university faculty, staff, or students can adversely affect the educational environment and interfere with maximum achievement of personal, social, and educational goals. Therefore, it is the policy of North Carolina State University to maintain a drug-free workplace and campus. The unlawful manufacture, distribution, possession and/or use of controlled substances or the unlawful possession, use or distribution of alcohol is prohibited on NC State's campus, in the workplace, or as part of any of the university's activities. The workplace and campus include all NC State premises where the activities of the university are conducted. The information below provides NC State's policies, as well as the applicable state and federal laws, on illegal drugs and alcohol, and is intended to assist you in gathering information about alcohol and other drugs and the problems and concerns associated with their misuse. In addition, the below information is to make you aware of the many resources available if you, or a friend or family member, are needing help for a substance use problem. Furthermore, in compliance with the Drug-Free Schools and Communities Act and The Drug-Free Workplace Act, the university is providing you with this information as part of its annual notification designed to reduce drug and alcohol misuse, and to promote the health and safety of our students and employees.

UNIVERSITY POLICIES

NC State expects its students and employees to maintain an environment that is safe and healthy. The university shall take actions necessary, consistent with state and federal law and applicable university policies, to eliminate illegal drugs from the university community and promote responsible alcohol use. As part of NC State's awareness of possible drug and alcohol misuse in the university community, policies have been adopted on the use of alcohol and other drugs. NC State holds its students and employees responsible for the consequences of their decisions, and students or employees who violate

these policies will be subject to sanctions by the university in accordance with procedural safeguards of the applicable student or employee disciplinary procedures.

Policy on Illegal Drugs

Pursuant to the direction of the Board of Governors, NC State's Board of Trustees adopted a Policy on Illegal Drugs. Every student and employee of the university is responsible for being familiar with and complying with the terms of this policy. Under the policy, students and employees at NC State are held responsible as citizens for knowing and complying with federal and North Carolina laws that make it a crime to possess, sell, deliver, or manufacture any illegal drug. Any member of the university community who violates these laws may be subject both to criminal prosecution and punishment by the Justice System and to disciplinary proceedings by the university. The penalties imposed by the university for students or employees found to have violated applicable law or university policies concerning illegal drugs will vary depending upon the nature and seriousness of the offense and may include a range of disciplinary actions up to and including expulsion from enrollment or discharge from employment. Copies of the full text of the policy are available on the University's Policies, Regulations & Rules website at http://policies.ncsu.edu/policy/pol-04-20-05.

Alcohol Policy

NC State's Alcohol Policy establishes the university policy on the sale, use and consumption of alcoholic beverages on campus and at NC State-sponsored events, as well as University enforcement responses for violations of this policy. Under state law, it is unlawful for any person less than twenty-one (21) years of age to purchase or possess any alcoholic beverage and further that it is against the law for anyone to sell or give any alcoholic beverage to a person under 21 or to aid or abet such person in selling, purchasing or possessing any alcoholic beverage. Any student or employee in violation of NC State's alcohol policy or North Carolina law may be subject to disciplinary measures by the university. The Alcohol Policy can be found on the University's Policies, Regulations & Rules website at http://policies.ncsu.edu/policy/pol-04-20-02.

The university also has certain procedures and guidelines for serving alcohol at University-sponsored events. These procedures may be found at http://policies.ncsu.edu/regulation/reg-04-20-01. Alcohol served at events held at certain University facilities shall be provided in accordance with the procedures referenced above and consistent with the rules of those facilities.

Howl for Help

NC State recognizes there may be a time when the consumption of alcohol or other drugs leads to a situation where medical intervention is necessary to ensure the health and safety of a student or others. Students are strongly encouraged to call for medical assistance (911) for themselves or for a friend/acquaintance who is dangerously intoxicated. NC State's "Howl for Help" program encourages students to make responsible decisions when faced with such emergencies and promotes safety as a top university priority.

Under Howl for Help, a student seeking medical treatment for an alcohol or other drug overdose will not be charged with specific violations of the Code of Student Conduct related to the possession and/or consumption of alcoholic beverages or any other drug. This provision also applies to students seeking help for the intoxicated student. In addition, a student organization, such as a fraternity or sorority, which seeks medical assistance for a guest attending an event it hosts will also not be subject to such charges.

For more information on Howl for Help, see https://alcohol.dasa.ncsu.edu/howl/.

DRUG-FREE WORKPLACE

As a precondition for receiving any federally funded grants or contracts, NC State is required to certify that it is providing a drug-free workplace. Any employee reporting to work under the influence of alcohol or illegal drugs or using alcohol or illegal drugs on the job is subject to appropriate disciplinary action. In addition to the NC State's Policy on Illegal Drugs, the university is required to adhere to all federal policies. As a condition of employment any faculty, staff, or student must notify the university of any criminal drug conviction for a violation occurring in the workplace no later than five (5) days after such conviction. It is extremely important that you comply with the policies on illegal drugs and alcohol, which have been implemented by the federal government and the university's governing bodies. Maintaining an alcohol and drug-free workplace will benefit us all.

HEALTH CONCERNS

The use of illegal drugs and the misuse of alcohol or prescription drugs are potentially harmful to a person's health. Health risks of using illegal drugs and misusing alcohol or prescription drugs include physical, emotional and psychological effects. In particular, synthetically-produced drugs often have unpredictable emotional and physical side effects that constitute an extreme health hazard. Frequent use of alcohol and illegal drugs may lead to:

- relationship problems with friends, family, and coworkers
- substance use disorders
- impaired learning ability, memory, ability to solve complex problems
- hindrance of neurological development
- increased risk of sexually transmitted infections (STI's)
- complications due to the combination of prescription medication and other drugs/alcohol
- death, coma or toxic reactions, especially when combining alcohol with any other drug, including over-the-counter medicine or prescriptions
- guilt/regret over activities performed while under the influence of alcohol/drugs, i.e., regretting sexual encounters, fighting, excessive risk-taking, legal and employment difficulties
- damage to brain, cardiovascular system, liver, and other organs
- increased risk of cancer
- fetal alcohol spectrum disorder, birth or genetic defects
- psychosis (hallucinations, loss of contact with reality, extreme changes in personality)
- other physiological, psychological or interpersonal problems

Members of the university community are encouraged to research the health effects of drugs and alcohol abuse through reputable scientific sources, such as the National Institute on Drug Abuse www.nida.nih.gov, the National Institute on Alcohol Abuse and Alcoholism www.niaaa.nih.gov, and the Centers for Disease Control (www.cdc.gov). In addition, information about the health risks associated with drug and alcohol misuse is available from the Student Health Center, NC State's Alcohol and Other Drug Prevention Education Program, and the Department of Human Resources.

AVAILABLE SUBSTANCE USE PROGRAMS

Because of the strong potential of unwanted consequences involved in drug and alcohol misuse, administrative, medical, and psychiatric help for students and employees having alcohol or other drug problems are available on a confidential basis. NC State Student Health Services (919-515-2563) and Counseling Center (919-515-2423) provides treatment, counseling, and referrals for students seeking help with substance use concerns. Students can also contact Prevention Services for a free confidential

screening at http://alcohol.dasa.ncsu.edu or call 919-515-4405. In addition, students can access "Pack Recovery", which helps students in recovery from substance abuse disorders by providing various support services and resources. Information for Pack Recovery be https://prevention.dasa.ncsu.edu/packrecovery/ or calling 919-513-0222. The university's Faculty and Staff Assistance Program (FASAP), 866-467-0467, provides support, resources, information, and referrals for employees and their dependents. Persons who are experiencing problems with substance or alcohol use, either themselves or through their families, are encouraged to contact these resources within the university or use other resources such as family physicians, county mental health centers, Alcoholics or Narcotics Anonymous, and/or Al-Anon Family Groups. The university hopes that through our education and referral efforts we will be able to provide an effective means of dealing with the difficulties substance use can bring.

LAWS RELATED TO UNLAWFUL POSSESSION OR DISTRIBUTION OF ILLEGAL DRUGS AND ALCOHOL

The unlawful manufacture, distribution, disposition, possession, and/or use of a controlled substance or alcohol is regulated by a number of federal, state, and local laws. These laws impose legal sanctions for both misdemeanor and felony convictions. Criminal penalties for convictions can range from fines and probation to denial or revocation of federal benefits (such as financial aid) to imprisonment and forfeiture of personal and real property. A summary of North Carolina alcohol and drug laws is available below. The information provided below is illustrative, not exhaustive or a definitive statement of all applicable laws, but rather it indicates the types of conduct that are against the law and the range of legal sanctions that can be imposed for such conduct. More detailed and current information is available from University Police and the North Carolina General Statutes.

A further overview of federal laws governing the manufacture, possession, use and distribution of alcohol and illegal drugs is available at https://www.dea.gov/sites/default/files/drug of abuse.pdf.

NC DRUG LAWS

Types of Drugs	Possession	Possession With Intent to Sell or Deliver; To Manufacture; or to Sell and/or Deliver	North Carolina Statute
Schedule I: Heroin, LSD, Peyote, Mescaline, Psilocybin (Shrooms), other Hallucinogens, Methaqualone (Quaaludes), Phencyclidine (PCP), and MDA	Maximum Penalty: Five (5) years in prison and/or fine (felony)	Maximum Penalty: Ten (10) years in prison and/or fine (felony)	§90-89
Schedule II: Morphine, Demerol, Codeine, Percodan, Percocet, Fentanyl, Dilaudid, Secondal, Nembutal, Cocaine, Amphetamines and other opium and opium extracts and narcotics	Maximum Penalty: Two (2) years in prison and/or \$2,000 fine (misdemeanor) –UNLESS- 1. Exceeds 4 tablets, capsules, other dosage units or equivalent quantity of Hydromorphone. 2. Exceeds 100 tablets, capsules, other dosage units or equivalent quantity. 3. One gram or more of Cocaine Maximum Penalty: Five (5) years in prison and/or fine	Maximum Penalty: Ten (10) years in prison and/or fine (felony)	§90-90

	(felony)		
Schedule III: Certain barbiturates such as amobarbitol and codeine containing medicine such as Fiorinal #3, Doriden, Tylenol #3, Empirin #3, and codeine-based cough suppressants such as Tussionex and Hycomine, and all anabolic steroids	Maximum Penalty: Possession of less than 100 tablets, capsules, other dosage units or equivalent quantity: Two (2) years in prison and/or fine (misdemeanor) To possess more than 100 tablets, capsules, other dosage units or equivalent quantity: Five (5) years in prison and/or fine (felony)	Maximum Penalty: Five (5) years in prison and/or fine (felony)	§90-91
Schedule IV: Barbiturates, narcotics, and stimulants including Valium, Talwin, Librium, Equanil, Darvon, Darvocet, Placidyl, Tranzene, Serax, Ionamin (yellow jackets)	Maximum Penalty: Same as Schedule III	Maximum Penalty: Five (5) years in prison and/or fine (felony)	§90-92
Schedule V: Compounds that contain very limited amounts of codeine, dihydrocodeine, ethylmorphine, opium, and atropine, such as Terpine Hydrate with codeine, Robitussin AC	Maximum Penalty: Six (6) months in prison and/or fine (misdemeanor)	Maximum Penalty: Five (5) years in prison and/or fine (felony)	§90-93
Schedule VI: Marijuana, THC, Hashish, Hash Oil, Tetrahydrocannobinol	Maximum Penalty: Possession of less than ½ ounce of Marijuana or 1/20 ounce Hashish: 20 days in prison and/or \$200 fine (misdemeanor). If Marijuana, the sentence must be suspended. Possession of more than ½ ounce of Marijuana or 1/20 ounce Hashish: 120 days in prison and/or fine up to \$500 (misdemeanor) Possession of more than 1½ ounce of Marijuana or 3/20 ounce of Hashish or consists of any quantity of synthetic Tetrahydrocannabinols or Tetrahydrocannabinols isolated from the resin of marijuana: Twelve (12) months in prison and/or fine (felony)	Maximum Penalty Delivery of less than 5 grams of marijuana for no compensation is not considered sale or delivery, but may still be prosecuted as possession Less than 10 pounds: a Class H felony punishable by up to 8 months in prison and a discretionary fine for the first offense In excess of 10 pounds, but less than 50 pounds: a Class H felony and shall be sentenced up to a maximum of 39 months in prison, and fined \$5,000 50 pounds but less than 2,000 pounds: a Class G felony and shall be sentenced up to a maximum term of 51 months in prison, and fined \$25,000	§90-94

Drug Paraphernalia	Maximum Penalty: One hundred twenty (120) days in prison and/or fine. (misdemeanor)	2,000 pounds but less than 10,000 pounds: a Class F felony and shall be sentenced up to a maximum term of 93 months in prison, and fined \$50,000 10,000 pounds or more: a Class D felon and shall be sentenced up to a maximum term of 222 months in prison, and fined not less than \$200,000 Maximum Penalty: One hundred twenty (120) days in prison and/or fine. (misdemeanor) However, delivery of drug paraphernalia by a person over 18 years of age to someone under 18 years of age who is at least three years younger: One (1) year in prison and/or fine. (felony) It is unlawful for any person to purchase or otherwise procure an advertisement in any newspaper, magazine, handbill, or other publication, or purchase or otherwise procure an advertisement on a billboard, sign, or other outdoor display, when he knows that the purpose of the advertisement, in whole or in part, is to promote the sale of objects designed or intended for use as drug paraphernalia. Sixty (60) days in prison and/or fine. (misdemeanor)	\$90- 113.22- \$90-113.24
Schedule I: Heroin, LSD, Peyote, Mescaline, Psilocybin (Shrooms), other Hallucinogens, Methaqualone (Quaaludes), Phencyclidine (PCP), and MDA	Maximum Penalty: Five (5) years in prison and/or fine (felony)	Maximum Penalty: Ten (10) years in prison and/or fine (felony)	§90-89
Schedule II: Morphine,	Maximum Penalty: Two (2)	Maximum Penalty: Ten	§90-90

			1
Demerol, Codeine,	years in prison and/or \$2,000	(10) years in prison	
Percodan, Percocet,	fine (misdemeanor) –	and/or fine (felony)	
Fentanyl, Dilaudid,	UNLESS-		
Secondal, Nembutal,	1. Exceeds 4 tablets, capsules,		
Cocaine, Amphetamines	other dosage units or		
and other opium and opium	equivalent quantity of		
extracts and narcotics	Hydromorphone.		
	2. Exceeds 100 tablets,		
	capsules, other dosage units or		
	equivalent quantity.		
	3. One gram or more of		
	Cocaine		
	Maximum Penalty: Five (5)		
	years in prison and/or fine		
	(felony)		
Schedule III: Certain	Maximum Penalty:	Maximum Penalty: Five	§90-91
barbiturates such as	Possession of less than 100	(5) years in prison and/or	3
amobarbitol and codeine	tablets, capsules, other dosage	fine (felony)	
containing medicine such	units or equivalent quantity:		
as Fiorinal #3, Doriden,	Two (2) years in prison and/or		
Tylenol #3, Empirin #3,	fine (misdemeanor)		
and codeine-based cough	inic (misdemeanor)		
suppressants such as	To possess more than 100		
Tussinex and Hycomine,	tablets, capsules, other dosage		
and all anabolic steroids	units or equivalent quantity:		
and an anabone steroids	Five (5) years in prison and/or		
	fine (felony)		
Schedule IV: Barbiturates,	Maximum Penalty: Same as	Maximum Penalty: Five	§90-92
narcotics, and stimulants	Schedule III	(5) years in prison and/or	870-72
including Valium, Talwin,	Schedule III	fine (felony)	
Librium, Equanil, Darvon,		Time (reforty)	
Darvocet, Placidyl,			
Tranzene, Serax, Ionamin			
(yellow jackets)	Maximum Baratta Sir (C)	Maximum Danaltas Eise	800.02
Schedule V: Compounds	Maximum Penalty: Six (6)	Maximum Penalty: Five	§90-93
that contain very limited	months in prison and/or fine	(5) years in prison and/or	
amounts of codeine,	(misdemeanor)	fine (felony)	
dihydrocodeine,			
ethylmorphine, opium, and			
atropine, such as Terpine			
Hydrate with codeine,			
Robitussin AC			
Schedule VI: Marijuana,	Maximum Penalty:	Maximum Penalty: Five	§90-94
THC, Hashish, Hash Oil,	Possession of less than ½	(5) years in prison and/or	
Tetrahydrocannobinol	ounce of Marijuana or 1/20	fine (felony)	
	ounce Hashish: Thirty (30)		
	days in prison and/or \$100 fine		
	(misdemeanor)		
1	1		1

	Possession of more than ½ ounce of Marijuana or 1/20 ounce Hashish: Two (2) years in prison and/or fine (misdemeanor)		
	Possession of more than 1½ ounce of Marijuana or 3/20 ounce of Hashish or consists of any quantity of synthetic Tetrahydrocannabinols or Tetrahydrocannabinols isolated from the resin of marijuana: Five (5) years in		
Drug Paraphernalia	prison and/or fine (felony) Maximum Penalty: One	Maximum Penalty: One	§90-
	hundred twenty (120) days in prison and/or fine. (misdemeanor)	hundred twenty (120) days in prison and/or fine. (misdemeanor) However, delivery of drug paraphernalia by a person over 18 years of age to someone under 18 years of age who is at least three years younger: One (1) year in prison and/or fine. (felony) It is unlawful for any person to purchase or otherwise procure an advertisement in any newspaper, magazine, handbill, or other publication, or purchase or otherwise procure an advertisement on a billboard, sign, or other outdoor display, when he knows that the purpose of	113.22- §90- 113.24
		the advertisement, in whole or in part, is to promote the sale of objects designed or intended for use as drug paraphernalia. Sixty (60) days in prison and/or fine. (misdemeanor)	

NC ALCOHOL LAWS

State Law	Penalty	North
State Law	Tenany	Carolina Statute
To possess, attempt to purchase or purchase, sell or give beer, wine, liquor, or mixed beverages to anyone under the age of 21.	Maximum Penalty: Imprisonment for a term up to 120 days and/or community service and fines up to \$1,000 (Class 1 misdemeanor)	§18B-302- 18B302.1
A person under 21 years of age who aids and abets to purchase or to attempt to purchase, purchase or to possess; sell or give, alcohol to a person who is under 21 years of age	Maximum Penalty: Imprisonment for a term up to 60 days and/or community service and fines (Class 2 misdemeanor)	§18B-302 - 18B-302.1
A person over 21 years of age who aids and abets to purchase or to attempt to purchase, purchase or to possess; sell or give, alcohol to a person who is under 21 years of age	Maximum Penalty: Imprisonment for a term up to 120 days and/or community service and fines up to \$1,000 (Class 1 misdemeanor)	§18B-302- 18B302.1
Operating a motor vehicle upon any highway, any street, or any public vehicular area within this State: while under the influence of an impairing substance; after having consumed sufficient alcohol that he has, at any relevant time after the driving, an alcohol concentration of 0.08 or more; or with any amount of a Schedule I controlled substance.	1st Offense: Jail - 24 hours; Fine - \$200; License Suspension - 60 days to 1 year; 2d Offense: Jail - 4 days; Fine - varies; License Suspension - 1 to 4 years; 3d Offense: Jail - 14 days to 2 years; Fine - varies; License Suspension - 1 year to permanent	§20-138.1
Operating a motor vehicle on a highway or public vehicular area by a person less than 21 years old while consuming alcohol or at any time while he has remaining in his body any alcohol or controlled substance previously consumed.	Maximum of 20 days in jail and \$200. If driving while impaired offense is also charged then: 1st Offense: Jail - 24 hours; Fine - \$200; License Suspension - 60 days to 1 year; 2d Offense: Jail - 4 days; Fine - varies; License Suspension - 1 to 4 years; 3d Offense: Jail - 14 days to 2 years; Fine - varies; License Suspension - 1 year to permanent	§20-138.1 & 20-138.3
Possessing an alcoholic beverage other than in the unopened manufacturer's original container, or consume an alcoholic beverage, in the passenger area of a motor vehicle while the motor vehicle is on a highway or the right-of-way of a highway.	Maximum Penalty: Imprisonment for a term up to 60 days and/or community service and fines up to \$1,000 (Class 2 or 3 misdemeanor based on number of offenses).	§18B-301; §18B-401; §20- 138.7

Relationship and Interpersonal Violence

Chancellor's Statement



Office of the Chancellor

ncsu.edu/chancellor

Campus Box 7001 Holladay Hall, Suite A Raleigh, North Carolina 27695-7001 P: 919.515.2191

MEMORANDUM

All NC State University Students, Faculty and Staff TO: Roady Wood

W. Randolph Woodson, Chancellor FROM:

SUBJECT: Relationship and Interpersonal Violence

DATE: August 30, 2019

NC State University seeks to provide a campus community that promotes a safe and welcoming environment where all students and employees may succeed. This university takes very seriously our desire and expectation to have an environment free of relationship and interpersonal violence, which includes all forms of sexual harassment and discrimination, sexual misconduct, stalking, and interpersonal, and relationship violence. Respect is one of this university's core values, and respect for the rights and dignity of all people is the duty of every member of this community.

Violations of this nature are serious acts that threaten individuals' ability to pursue or fulfill their educational or career goals. As Chancellor, I assure you that NC State will not tolerate these offenses by any member of the campus community.

The Code of Student Conduct, sections 10.14 and 10.15, found at http://policies.ncsu.edu/policy/pol-11-35-01, and the university's regulation on Campus/Workplace Violence Prevention and Management, found at http://policies.ncsu.edu/regulation/reg-04-05-02, identify what conduct constitutes relationship or interpersonal violence (dating violence, domestic violence, and stalking), sexual misconduct, and other forms of violent behavior that are prohibited at this University. The university's Policy on Equal Opportunity, Discrimination and Affirmative Action, found at https://policies.ncsu.edu/policy/pol-04-25-05/, describes our policy against such behaviors, particularly under Title IX.

Where an individual is found responsible for violating one of these policies, disciplinary action will be pursued in accordance with applicable student or employee disciplinary procedures. The University may also implement interim corrective measures, including interim suspension, against any student who poses a risk of violence or to protect a victim of sexual misconduct or interpersonal violence, pending a disciplinary hearing. Employees alleged to be in violation of one of these policies may be placed on investigatory leave or subjected to other interim corrective measures of a non-disciplinary nature until an investigation into their conduct is concluded. Possible sanctions for being found responsible of sexual misconduct, relationship or interpersonal violence include expulsion or dismissal by the University as well as potential criminal prosecution.

I want to reiterate that NC State prohibits any form of sexual misconduct, relationship or interpersonal violence, as well as unlawful harassment or discrimination, and these acts will not be tolerated at this University. It is my hope that victims will have confidence in our duty and desire to respond to such incidents and they will promptly report these acts to University Police (by calling 911) or to any of our various reporting officials. However, I fully recognize this is a deeply personal decision that affected individuals must come to on their own and in their own time; we support those in these circumstances to do what is right for them. We are a better-informed University and can strive to better address the safety of our campus when we are aware of what is happening. Therefore, certain university officials, who by virtue of their positions have significant responsibility for student and campus activities and are identified as Campus Security Authorities and/or Responsible Employees, are required to report to University Police when they are notified of crimes involving relationship and interpersonal violence. These reports can be made while protecting the victim's identity and preserving their right to pursue any further action.

Regardless of whether victims pursue criminal prosecution, they can still seek support and remedies through the University. Any person may report relationship or interpersonal violence to the Title IX Coordinator within the Office for Institutional Equity and Diversity (OIED) (919-513-0574) or online at https://oied.ncsu.edu/divweb/safe/file-a-report/, to Employee Relations or to the Office of Student Conduct. OIED is responsible for prompt, fair and impartial investigation of such matters. University investigators are trained to investigate in a manner that supports individual and community safety and promotes accountability.

Regardless of one's decision to report an incident, individuals may utilize campus resources and/or contact an advocate at the Sexual Assault Help Line by calling 919-515-4444. Available 24 hours a day and 365 days a year, the Help Line provides on-call services, including crisis intervention, support, resources and referrals to survivors of interpersonal violence -- particularly those in the campus community.

As Chancellor, I encourage any member of the NC State University community—staff, faculty, and students—who needs support services, wants to report an incident, or has questions regarding sexual misconduct, stalking, domestic violence, dating violence, workplace harassment or workplace violence, to please contact the resources listed in this memorandum. They are equipped with trained professionals to provide support and assistance to you.

Finally, I reiterate my expectation that each of you take the prevention of such acts very seriously. I ask that you do your part in creating and ensuring that this university community is one that respects one another, values the inherent safety and security of each member, and holds ourselves to the highest levels of integrity.

The following is a list of resources designed to address instances and/or concerns of interpersonal violence, prohibited harassment and/or workplace violence.

CAMPUS RESOURCES

Confidential Resources

- Counseling Center (919) 515-2423
 Confidential counseling services; on call 24/7/365 by calling 919-515-2423, University Police, or walking in during normal business hours.
- Student Health Center (919) 515-7017

Comprehensive health care and emotional support for students who have experienced relationship or interpersonal violence and referral to a local medical facility for collection of evidence, if desired.

• Student Legal Services (919) 515-7091

Provides free education, advice, referrals and limited representation to students.

• Faculty and Staff Assistance Program 866-467-0467 or 800-697-0353

A 24-hour confidential counseling resource that is provided at no charge to employees and their dependents.

Additional Campus Resources

University Police 911

Responds to all reported interpersonal violence and provides assistance during the legal process.

• Title IX Coordinator (919) 515-3148

Sheri Schwab is NC State's Title IX Coordinator, who is charged with overseeing the university's compliance with Title IX.

• Sexual Assault Help Line (919) 515-4444

A 24-hour private, crisis intervention and support line for survivors of rape, sexual assault, and relationship violence in the NC State community.

• Office for Institutional Equity & Diversity (OIED) (919) 515-3148

Investigates complaints of discrimination, harassment, and retaliation and provides resources, information and educational opportunities to students and employees with concerns regarding sexual harassment and Title IX compliance including sex discrimination, sexual misconduct, domestic violence, dating violence and stalking. Manages the University's compliance with Title IX.

Office of Student Conduct (919) 515-2963

Conducts disciplinary procedures for violations of the Code of Student Conduct allegedly perpetrated by students including sexual misconduct and relationship or interpersonal violence.

Violence Prevention and Threat Management (919) 513-4224

Ensures the university community is aware of how to identify and share concerns regarding students, staff and faculty, facilitates the university's Behavior Assessment Teams, and ensures that effective intervention and management is provided in situations that pose or may pose a threat of harm to others or to the safety or well-being of the university community.

• Women's Center (919) 515-2012

Advocates trained to provide support for students who have experienced interpersonal violence. These advocates answer questions about processes and procedures, help survivors access medical care, report the incident to University Police or OIED, provide assistance with securing accommodations for such students, and provide referrals to other resources on and off campus. In partnership with the NC State Counseling Center, the Women's Center responds to needs identified through the Relationship & Sexual Violence Phone Line. The Women's Center also offers a course on interpersonal violence and provides a variety of education programs.

• GLBT Center (919) 513-9742

Provides assistance and referrals to members of the gay, lesbian, bisexual and transgender communities.

• Student Behavioral Case Manager (919) 515-2963

Provides support to students who are exhibiting concerning or worrisome behaviors and that need additional attention in order to ensure they are safe and continue to be successful at NC State.

Student Ombuds Services (919) 513-0235

Assists students in resolving problems related to their university working, learning, or living experience.

Faculty Ombuds Services (919) 935-0922

Assists faculty in resolving problems related to their university work experiences and understanding university polices and procedures

• Employee Relations, Human Resources (919) 515-6575

Provides assistance, resources, information and educational opportunities to faculty and staff with regard to complaints or concerns of workplace violence, relationship violence, and/or non-discriminatory workplace harassment.

• Office of Scholarships and Financial Aid (919) 515-2421

Provides assistance, information and advice on financial aid, scholarships, and loan repayment.

Office of International Services (919) 515-2961

Provides assistance, information and advice on immigration and visa issues related to students' academic studies or work experiences.

• Cashier's Office (919) 515-2986

Provides assistance and information on payment options, refunds, and billing to students.

• Multicultural Student Affairs (919) 515-3835

Provides assistance and referrals for multicultural students at NC State with an emphasis on students who self-identify as African American, Native American, and Hispanic/Latino.

• African American Cultural Center (919) 515-5210

Provides assistance and referrals for students at NC State with an emphasis on students who are African American.

OFF-CAMPUS RESOURCES

Interact of Wake County (919) 828-3005

Rape crisis intervention, including forensic exams and post-rape care, community education and shelter for women who have experienced sexual or relationship violence.

• Wake County District Attorney Victim Services Program (919) 792-5000

A Wake County program designed to ensure that victims receive information, assistance, and support as their cases progress through the criminal justice system. The program can provide information to individuals pertaining to their court cases, serving as liaison between the victim and the prosecutor, and keep individuals notified of all court proceedings.

• WakeMed Sexual Assault Forensic Exam (SAFE) Center/Solace Center (919) 828-3067

The SAFE Center is a victim-sensitive program designed to coordinate community resources to provide a team approach for survivors of sexual assault. The team consists of certified nurse examiners to provide the examination and medical support; law enforcement officers to conduct an investigation and provide emergency assistance; victim advocates to provide emotional support; and attorneys to sensitively handle the prosecution of sexual assault cases.

University Response to Sexual Misconduct, Relationship and Interpersonal Violence

Reporting Options:

If you have experienced sexual misconduct or relationship or interpersonal violence (including stalking, dating and domestic violence) you can report the incident(s) to law enforcement and/or university personnel. Descriptions of sexual misconduct, relationship and interpersonal violence can be found here: https://oied.ncsu.edu/divweb/safe/resources/.

Filing a Criminal Complaint:

Due to the importance of evidence collection and preservation, it is critical that reports of any type of violence are made as soon as possible. Filing a police report does not mean that prosecution of the offender is automatic. Filing a police report will:

- Ensure that a victim receives appropriate medical treatment and tests;
- Provide the opportunity for the collection of evidence; and
- Assure the victim has access to important resources such as confidential counseling.

University Police recognizes the importance of providing medical assistance, emotional support, and protection in addition to conducting thorough criminal investigations for all reported incidents of relationship or interpersonal violence and sexual misconduct. To file a criminal complaint, contact University Police at (919) 515-3000. For emergencies, dial 911.

Filing a University Complaint:

Any person may report alleged misconduct involving acts of sexual misconduct, relationship or interpersonal violence to the Office for Institutional Equity and Diversity (OIED) (919-515-3148 or online at http://oied.ncsu.edu/titleix/), Employee Relations in Human Resources, the Office of Student Conduct, or Campus Police. Reports will be referred to OIED for investigation and response, which may involve the Office of Student Conduct or Human Resources.

Reports can be made to or through the following groups or forms:

All University Police and OIED forms:

https://oied.ncsu.edu/home/reporting-resources/

Campus Security Authority Incident Report Form

https://police.ehps.ncsu.edu/campus-security-authority-incident-report-form/

Campus Online Welfare Report

https://ncsu.qualtrics.com/jfe/form/SV 2uf1BnK8WShJaIJ

Responsible Employees

https://oied.ncsu.edu/divweb/safe/responsible-employees/

The OIED, the Office of Student Conduct, and University Police will provide individuals who have experienced sexual misconduct or relationship or interpersonal violence with referrals to appropriate resources (e.g., advocacy, counseling, medical treatment, interim measures, etc.).

Confidentiality:

Confidentiality can be particularly important to individuals reporting acts of sexual misconduct or relationship or interpersonal violence. Confidentiality is protected in accordance with university policies to the extent possible (including any accommodations or protective measures provided to the reporting individual and record-keeping that excludes personally-identifiable information). While complete confidentiality cannot be guaranteed, every effort will be made to maintain confidentiality on a "need to know" basis. In certain circumstances, the university may override the request for confidentiality in order to meet its Title IX obligations.

Non-Retaliation

The university, including any officer, employee or agent of the institution, or student may not retaliate, intimidate, threaten, coerce, or otherwise discriminate against any individual for exercising his or her rights or to utilize the institution's procedures for reporting sexual misconduct or relationship or interpersonal violence.

Preservation of Evidence

Regardless of whether an incident of sexual misconduct or relationship or interpersonal violence is reported to the police or University personnel, you are encouraged to preserve evidence to the greatest extent possible, as this will best maintain all legal options for you in the future. Preservation of evidence is essential for both law enforcement and University investigations.

Below are suggestions for preserving evidence related to an incident of sexual misconduct, relationship or interpersonal violence. It is important to keep in mind that each suggestion may not apply in every incident:

- Because some evidence, particularly evidence that may be located on the body, dissipates quickly (within 48-96 hours), if you wish to preserve evidence you should go to a hospital or medical facility immediately to seek a medical examination and/or evidence collection.
- If possible, you should not shower, bathe, wash, douche, brush hair, drink, eat, or change clothes or bedding before a forensic medical exam.
- Even if you do not want evidence collection, you are still encouraged to seek prompt medical care. A health care provider can still treat injuries and take steps to address concerns of pregnancy and/or sexually transmitted diseases.
- If you decide to change clothes or bedding, you should not wash the clothes worn or bedding used during the incident, and should bring them to a hospital, medical facility or the police in a non-plastic bag (e.g., paper bag). However, even if you have already done these things, a forensic exam may still be able to collect valuable evidence.
- If there is suspicion that a drink may have been drugged, inform a medical assistance provider and/or law enforcement as soon as possible so they can attempt to collect possible evidence (e.g., from the drink, through urine or blood sample).
- Write down as much as you can remember about the circumstances, including a description of the assailant.
- Preserve evidence of electronic communications by saving them and/or by taking screen shots of text messages, instant messages, social networking pages, or other electronic communications, and by keeping pictures, logs, or copies of documents that relate to the incident.

University Complaint Process:

Reports of sexual misconduct or relationship or interpersonal violence will be investigated promptly, fairly, and impartially. University investigators and administrators receive regular training on how to investigate and conduct hearings in a manner that protects your safety and promotes accountability. Throughout the university process, both the complainant/survivor and respondent/alleged individual are allowed the same opportunities to present information and be notified of the outcome.

Students:

The Office of Student Conduct determines whether formal charges will be filed against a student. Formal charges will be initiated after the OIED investigates the report. Representation by an attorney or other non-attorney advocate is allowed in student disciplinary proceedings when the respondent is charged with sexual misconduct, or relationship or interpersonal violence. The burden of proof at the hearing is "preponderance of the evidence" (more likely than not). Possible sanctions for a finding of responsibility may include a warning, restriction, service hours, fines, special programs, counseling, restriction of privileges, disciplinary probation, disciplinary eviction, suspension and expulsion. Student Conduct officials determine the appropriate sanctions for findings of misconduct. For more information on the disciplinary procedures applicable to charges sexual misconduct and/or relationship or interpersonal violence, see the Student Discipline Procedures, http://policies.ncsu.edu/regulation/reg-11-35-02, Appendix G.

Employees:

Complaints of sexual misconduct, relationship and/or interpersonal violence against employees or outside parties are processed in accordance with the university's Discrimination, Harassment and Retaliation Complaint Procedure (REG 4.25.02) and in conjunction with the university's regulation on Campus/Workplace Violence Prevention and Management (REG 04.05.02). Such complaints are investigated by the OIED. Employees may be placed on investigatory leave or subjected to other interim corrective measures of a non-disciplinary nature until an investigation is concluded. Where allegations are substantiated, employees are subject to disciplinary action up to and including dismissal/discharge under the applicable employee procedures. For more information, contact the OIED and see http://policies.ncsu.edu/regulation/reg-04-25-02.

Your Rights:

You have the following rights:

- To be informed of all reporting options;
- To receive an explanation of the procedures for making reports of misconduct against a student or another university employee;
- To pursue criminal charges or a university action, or both;
- To receive reasonable interim protective measures, including but not limited to "no contact orders," as well as changes to academic, living, transportation, and working situations;
- To receive information on all available resources;
- To have all reports of sexual misconduct, relationship or interpersonal reviewed by University Police or the Office for Institutional Equity and Diversity (or other University officials as may be appropriate);
- To be free from retaliation, harassment and intimidation from respondents and others;
- To be a witness in the disciplinary process; and
- To be free of irrelevant questions about your sexual history during the investigation and hearing;

Complainants and respondents also have these rights:

- To receive an explanation of applicable charges from University Police and/or Office of Student Conduct:
- To question witnesses through a hearing officer;
- To have an individual at the hearing for support;

- To have the same access to the proceedings as complainant and respondent;
- To remain present at a hearing, except during deliberation;
- To make a statement prior to imposition of sanctions if the respondent is found responsible;
- To have an attorney, at your own expense, present at the hearing; and
- To be informed of the outcome of the investigation and any related hearing to the extent allowed under state and federal law and University policies; and
- To appeal a decision based upon the applicable grounds for appeal.

Interim Protective Measures:

Criminal Protection

If you had a personal relationship with the perpetrator, you may be able to seek a domestic violence protective order (also known as a 50B order). A domestic violence protective order can help you by ordering the assailant not to assault, threaten, abuse, follow, harass, or interfere with you or your children either in person, at work, on the telephone, or by other means. For further information, students may contact Student Legal Services or the NC State Women's Center; employees may contact the Faculty and Staff Assistance Program.

Civil Protection

You may seek a civil "no-contact" protective order (known as a 50C order). These orders are for a determined period of time, and you may have to petition the court to have the time extended. A civil no-contact order (also known as a 50C order), is a court order that aims to protect you from unwanted sexual conduct or stalking by someone with whom you do not have an intimate or familial relationship with (such as an acquaintance, co-worker, neighbor, or stranger). For further information, students may contact Student Legal Services or the NC State Women's Center; employees may contact the Faculty and Staff Assistance Program.

University Measures:

Students

Regardless of whether you choose to report an incident of sexual misconduct or relationship or interpersonal violence to law enforcement, the university will work with you to provide reasonable adjustments to address issues of concern, for example:

- If you live on campus and desire a change in rooming assignment, you should contact University Housing for information concerning the room change process;
- The university may issue "no contact" orders and adjust class schedules for you or the accused student. If you need these protective measures, contact the Office of Student Conduct for assistance;
- The university may suspend a student accused of sexual misconduct and/or relationship or interpersonal violence on an interim basis if there is a need to separate that student from campus; and
- The university may issue or enforce other protective measures as necessary.

NC State's OIED is available to assist you with seeking interim measures and adjustments.

The Student Legal Services Office is available to inform you about your legal options free of charge. In cases involving criminal charges, the office will review the charges, the sentencing scheme and tell you what you should expect from the investigation, trial and sentencing. Student Legal Services can represent you in civil proceedings (restraining and no contact orders) as long as the opposing party is not another NC State student. If the opposing party is another NC State student, the office will refer you to other university resources. Student Legal Services also maintains a referral list of local attorneys.

Employees

- If you are an employee who experiences interpersonal violence, you may contact your relevant department or unit to request a change in your transportation or working situation. Employees may contact Violence Prevention and Threat Management at NC State for additional information, or the Title IX Coordinator in OIED.
- The university is committed to complying with all judicial no-contact, restraining and protective orders and will assist parties in this process.

Anonymous Reporting of Sexual Misconduct, Relationship or Interpersonal Violence

University Police is charged by state law to investigate any crime; however, state law prohibits the police from taking a blind or anonymous report.

The university recognizes the need for anonymous reporting for those individuals who do not want a criminal investigation. If an individual wishes to remain anonymous and make a report, the university encourages the use of the following departments:

- Office of Student Conduct: 919-515-2963, http://studentconduct.ncsu.edu/
- Women's Center: 919-515-2012, http://oied.ncsu.edu/divweb/womenscenter/
- 24-Hour Sexual Assault Help Line: 919-515-4444
- Office for Institutional Equity and Diversity (OIED): 919-515-3148, https://oied.ncsu.edu/divweb/safe/file-a-report/
- GLBT (Gay, Lesbian, Bisexual, and Transgendered) Center: 919-513-9742, http://oied.ncsu.edu/divweb/glbt/
- University Housing: 919-515-2440, http://www.ncsu.edu/housing/

Although the individual making the allegations of sexual misconduct, relationship or interpersonal violence may remain anonymous for reports to University Police, the name of the individual must be identified or provided to OIED in order for NC State to meet its Title IX obligations.

Prevention of Sexual Misconduct, Relationship and Interpersonal Violence

The university provides various programming to promote the awareness of and response to sexual misconduct and relationship or interpersonal violence. New students and employees are provided primary prevention and awareness education as part of orientation. The programs reinforce that NC State prohibits these offenses and any other form of violence, and give new students and employees the definitions of prohibited actions as well as definitions and examples of consent. The programs train attendees on safe and positive options for bystander intervention and how individuals may take action to prevent harm or intervene in risky situations. The programs train new students and employees to recognize the signs of abusive behavior and how to avoid potential attacks. The programs are also offered to current students, student organizations, and employees as part of an ongoing prevention and awareness campaign.

Additionally, University Police, the Women's Center, and Student Health Services offer sexual misconduct, relationship and interpersonal violence education and information programs to University students and employees upon request. Literature on is also provided to survivors of sexual misconduct and relationship or interpersonal violence. The literature includes information about additional on and off-campus resources, as well as information on:

- The importance of preserving evidence;
- The rights of victims and the institution's responsibilities regarding orders of protection including, no contact orders, restraining orders, and other lawful orders issued by criminal or civil courts;
- The procedures for institutional; and
- The access and options victims have for University interim measures.

Role & Responsibility of Student Health Services

You are encouraged to go to a medical center following a sexual assault in order to check for internal injuries, sexual transmitted infections, and/or pregnancy. If a student seeks assistance at Student Health Services following an act of sexual misconduct or relationship or interpersonal violence, the student will be provided information on available sexual assault forensic nurses and referred to a local hospital or InterAct of Wake County. These are designated facilities where trained sexual assault forensic nurse examiners can complete an examination and evidence collection. Student Health Services will provide any follow-up medical care. Female students may contact the Student Health Service's Women's Health Center and male students can contact the Student Health Services mainline to make an appointment for assistance.

Role & Responsibility of the Counseling Center

The Counseling Center offers confidential services for students who experience sexual misconduct or relationship or interpersonal violence. Counselors can help students by working with them to develop coping skills, informing them of resources on- and off-campus and providing support to help them navigate their path to recovery. Whether the misconduct happened recently or several years ago, it is never too late to seek help. The Counseling Center also provides after hours on-call services for mental health emergencies by calling 919-515-2423 and selecting the option to speak with the on-call counselor.

North Carolina Sex Offender and Public Protection Registry

All convicted sex offenders coming to or residing in North Carolina, including students, are required to register with the local county sheriff's department for inclusion in the North Carolina Sex Offender and Public Protection Registry. This Registry may be viewed locally at the county sheriff's department or online at: http://sexoffender.ncsbi.gov/.

Definitions

"Consent" means an affirmative decision to engage in an activity given by clear action or words. It is an informed decision made freely, willingly, and actively by all parties. Behavior will be considered "without consent" if no clear consent, verbal or nonverbal, is given. Consent cannot be procured by physical force, compelling threats, intimidating behavior, or coercion. A person cannot give consent if they are incapacitated as a result of alcohol or drug consumption (voluntary or otherwise), is unconscious, unaware or asleep during the act, is under the legal age to provide consent, or otherwise lacks the capacity to consent. In determining whether a person is incapacitated, the analysis must include whether the accused individual knew or should reasonably have known that the person was incapacitated. A lack of protest or resistance is not a valid form of consent. Silence is not a valid form of consent. A prior relationship or prior sexual activity is not sufficient to demonstrate consent for sexual activity. Consent can be revoked or withdrawn at any time, even during a sexual act. If consent is withdrawn, the sexual act is no longer consensual.

"Relationship or Interpersonal Violence" includes Dating Violence, Domestic Violence, and Stalking. Relationship or interpersonal violence occurs when one person in a social relationship of a romantic or an intimate nature uses abuse to maintain power over a partner. Abusive behaviors can be physical or emotional, including but not limited to, threats of self-harm or harm to others, pervasive and derogatory name calling, belittling, isolation, engaging in sexual acts while in a dating or domestic relationship when one of the individuals does not consent.

- Dating Violence is conduct arising out of a social, personal, romantic or intimate relationship or a dating relationship that: inflicts physical injury upon another person or is a pattern of coercive behavior that is used by one person to gain power and control over another. It may include the use of physical and sexual violence, verbal and emotional abuse, or any conduct that places another in fear of, or at risk of, physical injury or danger.
- Domestic Violence is conduct that arises out of a personal, romantic or intimate relationship where the parties are current or former spouses, persons who live together or have lived together, persons who have one or more children in common, or are current or former household members, and inflicts physical injury upon a party to the relationship or shows a pattern of coercive behavior that is used by one person to gain power and control over another. It may include the use of physical and sexual violence, verbal and emotional abuse, or any conduct that places another in fear of, or at risk of, physical injury or danger.
- Stalking is engaging in a course of conduct directed at a specific person, whether in the context of a social or intimate relationship or otherwise, that would cause a reasonable person to feel fear for their safety or safety of others or suffer substantial emotional distress. Stalking, including cyber stalking, may include, for example, non-consensual communication, including in-person communication or contact, surveillance, telephone calls, voice messages, text messages, email messages, social networking site postings, instant messages, postings of pictures or information on websites, written letters, gifts or any other communications that are undesired and/or place another person in fear of, or at risk of, physical injury or danger.

"Sexual Assault" means an offense that meets the definition of rape, fondling, incest or statutory rape as used in the FBI's Uniform Crime Reporting (UCR) program. A sex offense is any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent.

- Rape: The penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim. In North Carolina, a person is guilty of rape if the person engages in vaginal intercourse with another person: (1) By force and against the will of the other person; or (2) Who is mentally disabled, mentally incapacitated, or physically helpless, and the person performing the act knows or should reasonably know the other person is mentally disabled, mentally incapacitated, or physically helpless.
- Fondling: The touching of the private parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity. In North Carolina, fondling is included in the crime of "sexual battery", which occurs if the person, for the purpose of sexual arousal, sexual gratification, or sexual abuse, engages in sexual contact with another person: (1) by force and against the will of the other person; or who is mentally disabled, mentally incapacitated, or physically helpless, and the person performing the act knows or should reasonably know that the other person is mentally disabled, mentally incapacitated, or physically helpless.
- Incest: Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law. In North Carolina, a person commits the offense of incest if the person engages in intercourse with the person's (i) grandparent or grandchild, (ii) parent or child or stepchild or legally adopted child, (iii) brother or sister of the half or whole blood, or (iv) uncle, aunt, nephew, or niece.
- Statutory Rape: Sexual intercourse with a person who is under the statutory age of consent. In North Carolina, a person is guilty of statutory rape if the defendant engages in vaginal intercourse with another person who is 15 years of age or younger and the defendant is at least 12 years old and at least six years older than the person, except when the defendant is lawfully married to the person.

"Sexual Misconduct" includes sexual assault, sexual harassment, sexual exploitation and retaliation against a person because she or he filed a complaint of sexual misconduct, relationship or interpersonal violence or participated in an investigation or procedure involving sexual misconduct, relationship or interpersonal violence.

Workplace Violence

Nearly 2 million American workers are impacted by some form of workplace violence each year. Homicide is the leading cause of work-related fatality for women in the workplace. Six out of ten incidents of workplace violence occurred in private companies. While government employees make up approximately 14% of the total U.S. workforce, 30% of known victims of violence were federal, state, or local government employees.

Though it is impossible to predict if or when a workplace violence incident may occur there are some potential warning signs that a person may commit an act of workplace violence. Those warning signs may include, but are not limited to: communicating threats, suspected drug and/or alcohol abuse, noticeable extreme changes in behavior, escalating aggression, history of intimidating others, chronic unsubstantiated complaints about persecution or injustice (victim mindset), erratic and/or bizarre behavior, impulsivity, difficulty letting things go (holds a grudge), concerns regarding paranoia, and a history of violence (especially towards people and animals).

The NC State Department of Risk Assessment offers training on several topics including Workplace Violence Prevention. Contact (919) 513-4224 or (919)-513-4315 for additional information or to schedule a large group. For more information on training opportunities you may also go to https://vptm.ehps.ncsu.edu/training/

Crime Victim Rights

On July 1, 1999, the Crime Victims' Rights law took effect, which established specific responsibilities for many different criminal justice agencies in regards to the notification of victims of certain crimes. This law was passed in an effort to educate the victim and keep them informed of court proceedings, victim restitution, information of the crime itself and how the criminal justice system works, conviction or final disposition and sentencing, notification of escape, release, or proposed parole proceedings, and many other rights.

For certain crimes, University Police is required to provide the victim with information concerning the availability of medical services, crime victims' compensation funds, the address and telephone number of the district attorney's office, name and telephone number of the investigating law enforcement officer whom the victim may contact, information about an accused's opportunity for pretrial release, and the name and telephone number of the investigating law enforcement officer whom the victim may contact to find out whether the accused has been released from custody.

There are also notification requirements placed upon the District Attorney's office, correctional facilities, Attorney General's office, as well as Probation and Parole.

Crimes covered under this law include many serious felonies such as murder, voluntary manslaughter, assault with a deadly weapon, rape, and armed robbery.

To obtain more information about your rights as a crime victim or a complete list of the crimes covered under the law, contact University Police Investigations at (919) 515-2498.

Keeping Our University Safe and Secure

Shared Responsibility

Safety is a shared responsibility. University Police makes a difference, but we all have a role in making NC State a safe campus. Do your part, and you can reduce the likelihood that you will be a crime victim. If you ever need assistance, do not hesitate to call us at 911 or (919) 515-3000. We are here to help!

Personal Safety Tips

Learn and follow all the NC State safety and security procedures. They have been created out of concern for your welfare and in consideration for everyone's rights in the campus community. If you feel unsafe in any situation, trust your instinct and contact University Police immediately. If in a NC State Off-Campus Facility, contact local law enforcement authorities.

In residence halls:

• Assailants target residences where doors and windows are left unlocked or unsecured. Always lock residence doors and windows when at home and when you leave.

- Communicate with your roommate on safety issues.
- Report suspicious persons or activity immediately.
- Report doors, windows, lights or locks in need of repair.
- Keep valuables out of sight.
- Don't prop open exterior doors or allow someone to "piggy back" behind you into the residence hall.

When walking or jogging:

- Stay away from isolated areas.
- Assailants are more likely to target individuals walking alone, on darkened or unlit paths, or who are distracted by headphones or activities such as texting.
- Walk in groups whenever possible, especially at night.
- Safety Escort Services and public transportation are available as alternatives to walking. Individuals can contact Safety Escort Services at 919-515-3000.

If you think you are being followed:

- Cross the street or change directions.
- Keep looking back so the person will know you cannot be surprised.
- Go to a brightly lit area. Enter a store, house, residence hall or any populated building.
- Remember as much as possible about the person so you can describe them later.

If you are held up:

- Do not resist. No amount of money is worth the risk of your life.
- Notify University Police or Raleigh Police immediately.
- Try to give a specific description of the person: height, weight, approximate age, hair color and length, clothing, jewelry, scars, etc.

Where you live:

- Keep all doors locked, day and night. This is the single best deterrent to the would-be thief.
- Assailants may try to gain access into a residence by using force or deception. Don't open your door for or let someone in your residence that you don't know.
- Do not leave a door unlocked to anticipate a roommate or friend's arrival.
- Many thefts occur while the occupant is on the same floor, having left for a minute to visit a restroom or another room.
- Alcohol and drugs are often used by assailants to make someone vulnerable to sexual assault. Be alert to people who pressure you or others to use a drug or consume alcohol. Drinks should not be consumed if they are not from a trusted source or have been left unattended.

To protect your property:

- Lock your door every time you leave.
- Engrave expensive equipment and valuables with an ID number.
- Do not store your purse or wallet in an unlocked desk drawer.
- Do not leave your belongings unattended in the library, cafeteria, locker room, classroom or any public area.

To protect your car:

- Always lock your car and take the keys.
- Don't leave valuables in your vehicle.
- Park in well-lit areas.

Keep your keys:

• Do not loan your keys to anyone. Someone else's carelessness may lead to your key loss.

When you park:

- Close and lock windows and doors.
- When returning to your car, have the keys ready in hand and check underneath the vehicle and the interior before entering.

When working late in academic buildings:

- Avoid working or studying alone at night in a building.
- Keep the work area door locked.
- Do not take shortcuts when walking alone at night.
- Avoid walking alone at night. Use the "buddy system" or Call (919) 515-3000 for a safety escort during hours of darkness.
- Note locations of the nearest telephone and fire extinguisher in case of an emergency.
- Be aware of your personal surroundings and report suspicious persons or activity to the police immediately.
- Don't leave personal items unattended.

The various colleges on campus determine if or when buildings will be locked after hours. When working after hours, note if the building entrances have been secured and take individual safety precautions as well.



FIRE SAFETY REPORT

This annual report is submitted to meet the federal requirements of the Campus Fire Safety Right to Know Act, an amendment to the Higher Education Opportunity Act. It includes information about NC State's fire safety policies and procedures as well as reported campus fire statistics.

Reporting a Fire

- Call 911 upon discovery of a fire of any kind. If on NC State's campus, you should be connected to the university's Emergency Communications Center.
- Provide your name, location of the fire, type of fire and a contact number to call you back if necessary. If possible stay around to talk to emergency responders.
- If the fire was small and you or someone else put it out, you still need to report it. You may use 515-3000 to report an incident of this nature.
- All fires, including any signs of a fire, must be reported to the Office of Fire and Life Safety for investigation.

University Housing Rules on Appliances, Smoking, and Open Flames

- Due to fire and safety standards concerns, extension cords, personal air conditioners, lava lamps, toasters, burners or hot plates, heaters, and fireworks are not permitted in University Housing facilities.
- Halogen lamps present significant risk of starting fires and are not permitted in residential rooms.
- All residential facilities (including E.S. King Village and Western Manor Apartments) are smoke-free. Smoking is prohibited within 25-feet of any residence hall/apartment building.
- Candles, gas/oil lanterns, or any device producing open flames (such as incense and Sterno) are not permitted. Students who wish to burn incense for religious reasons need to get approval from their Assistant Director or Residence Director.

Emergency Evacuation Policy

Introduction

Emergency events that threaten the welfare, safety, or health of staff, faculty, students or visitors, though unforeseeable situations may be dealt with in such a way as to minimize the likelihood of injury or loss of life. NC State has developed procedures to help its personnel respond to a variety of emergencies. In the event of fire or potential emergency events such as severe medical situations, bomb threats, extreme weather conditions and power loss, the procedure for evacuation outlined in this policy shall be followed. Following this plan will assure a safe and orderly evacuation of the building in the shortest time. It should be noted that all buildings are equipped with fire extinguishers, emergency lighting and lighted EXIT signs, as required by law.

The university requires the cooperation of all staff, faculty, guests, and students in conducting training and drills and responding according to established procedures if such events arise. Training will be provided to assure that all employees follow appropriate emergency procedures.

The university relies upon the coordinated action of an extensive emergency response team to evacuate campus buildings quickly and effectively in emergencies. This team includes, but is not limited to, NC

State's Fire and Life Safety, University Police, Raleigh Fire Department, and the Raleigh Police Department. NC State relies on the help of local law enforcement and fire departments to evacuate its Off-Campus Facilities.

Fire Protection and Command Procedures

In the event of an emergency necessitating the evacuation of a building on campus, the University Fire Marshal and/or University Police shall be in command. Fire Protection officers should receive information about the nature of the emergency, ensure that the Raleigh Fire Department (911) has been called, communicate with the Fire Department, the Police Department and any other emergency organization, as required, coordinate the operations of the emergency team, and organize the evacuation of the area. Local fire departments and law enforcement will be in command for any evacuation of Off-Campus Facilities.

Upon the sounding of a fire alarm or an indication of a pre-signal on the monitor, a search will be conducted of the area from which the signal originates. If a fire or other emergency situation is confirmed, Fire and Life Safety shall activate the general alarm and initiate emergency evacuation. Upon the sounding of a general alarm, a search of the building will be conducted to ensure that no one has been left in the building, to direct anyone found to an emergency exit, and assist in critical situations such as firefighting, medical care or disabled evacuation, when requested. Faculty, employees and students shall familiarize themselves with the location of emergency exits, the locations of fire alarm pull-stations and emergency telephones nearest the areas where they work or study.

Immediate Response Procedures

In the event of a fire, any employee or student discovering smoke and/or fire should perform the following activities as appropriate:

If fire is small:

- Report the findings at once to his/her supervisor if time permits;
- Attempt to extinguish the fire with a fire extinguisher; and
- Activate the nearest alarm by pulling a fire alarm pull-station or, in buildings where there is no fire alarm system, alert building occupants by word of mouth.

If fire is severe and threatening dial 911 for University Police, and provide the following information:

- Your name and location;
- Location of fire; and
- Details as requested

After reporting the fire, leave the building as quickly as possible. In case of electrical or other serious fire, no attempt should be made to deal with the fire unless such action is compatible with the safety of all concerned.

Evacuation Procedures

In the event that an emergency situation requires the complete evacuation of the floor or building, the following procedures will apply to all departments, employees, students, and visitors:

- 1. An employee discovering a fire or other emergency necessitating a general alarm shall activate the fire or necessary alarm and call University Police, 515-3000 or 9ll and give appropriate information.
- 2. Everyone must leave the building immediately, in an orderly fashion, by the closest possible exit. Exit from emergency stairwells where indicated. Do not use elevators.
- 3. The first person to reach any exit door should touch it to determine if it is hot. If the door feels hot, direct evacuating persons to an alternate exit route.
- 4. In smoky areas, stay low (out of highest concentration of smoke).
- 5. Maintain single file and keep to the right on stairways so that persons entering the stairwell from lower floors can merge safely into the line and emergency response personnel can use the opposite side.
- 6. Once outside, report to the designated assembly area or move far away from the building. Do not obstruct the exit doors at street level. Clear the area.
- 7. Remain in the assigned assembly area until instructed by the appropriate authority to return to the building or to move to a safer location.
- 8. Do not re-enter the building until the Fire Marshal/Deputy Fire Marshal, Raleigh Fire, University Police, or local fire department or law enforcement agencies (for Off-Campus Facilities) give an "All-Clear" signal.
- 9. Obey the directions of public safety officers at all times.

Evacuation Procedures for People with Disabilities

At the beginning of each semester, the Disability Resource Office provides the Environmental Health and Public Safety Division a comprehensive list of disabled students and the type of disability to enable the division and these students to plan for possible evacuation. The division also maintains a list of university employees who self-identify a disability with the division. University employees and students are encouraged to communicate to University Police or Fire and Life Safety, or to local fire department or law enforcement agencies (for Off-Campus Facilities) the location of any disabled person.

In the event of a fire:

- Elevators may be used for wheelchair access.
- Disabled persons are to be evacuated by the stairwells with the assistance of Marshals or Raleigh Fire.
- Generally, wheelchairs should not be carried down the stairs; a person who, for reasons of
 personal health or safety, may not be removed from his or her wheelchair must so inform the
 Marshals
- Evac-chairs, if available, should be brought to assist the non-ambulatory

In the case of fire or any other emergency, the staff duty police officer decides if a public announcement shall be made through one of the university's emergency notification methods. All inquiries from the

media shall be referred to NC State's Executive Director of University Relations in University Communications.

Fire Statistics Regarding Fires in University Residential Facilities

2016 S	tatistics and Relate	ed Information Regarding	g Fires in Residen	tial Facilities	
	Number of	Cause of	Number of	Number of	Estimated Property
Residential Facility	Fires	Fire	Injuries	Deaths	Damage
Alexander Hall – 2700 Cates Avenue	0	0	0	0	0
Avent Ferry Residence Hall A/B –	0	0	0	0	0
2110 Avent Ferry Rd.					
Avent Ferry Residence Hall D/E/F –	0	0	0	0	0
2112 Avent Ferry Rd.	-				
Bagwell Hall – 2201 Dunn Ave.	0	0	0	0	0
Becton Hall – 2231 Dunn Ave.	0	0	0	0	0
Berry Hall – 2211 Dunn Ave.	0	0	0	0	0
Bowen Hall – 2821 Thurman Dr.	0	0	0	0	0
Bragaw Hall – 210 Dan Allen Dr.	0	0	0	0	0
Carroll Hall – 2801 Thurman Dr.	0	0	0	0	0
ES King Commons	0	0	0	0	0
ES King Village – Beaufort Hall –	0	0	0	0	0
3820 Jackson St.	V	O .	V	V	V
ES King Village – Bertie Hall –	0	0	0	0	0
3840 Jackson St.	V	Ü	V	U	U
ES King Village - Bladen Hall –	0	0	0	0	0
3920 Jackson St.	V	Ü	V	U	U
ES King Village - Carteret Hall –	0	0	0	0	0
3830 Jackson St.	V	Ü	V	U	U
ES King Village - Chowan Hall –	0	0	0	0	0
2911 Ligon St.	U	O	U	U	U
ES King Village - Craven Hall –	0	0	0	0	0
3810 Jackson St.	U	O	U	U	U
ES King Village - Currituck Hall –	0	0	0	0	0
2921 Ligon St.	U	U	U	U	U
ES King Village - Edgecombe Hall	0	0	0	0	0
- 3930 Jackson St.	V	Ü	V	U	U
ES King Village - Granville Hall –	0	0	0	0	0
3011 Ligon St.	V	Ü	V	U	U
ES King Village - Hyde Hall –	0	0	0	0	0
2931 Ligon St.	U	U	U	U	U
ES King Village - Johnston Hall –	0	0	0	0	0
3950 Jackson St.	V	Ü	V	U	U
ES King Village - New Hanover	0	0	0	0	0
Hall – 3910 Jackson St.	U	O	U	U	U
ES King Village - Northampton	0	0	0	0	0
Hall – 3001 Ligon St.	U	O	U	U	U
ES King Village - Onslow Hall –	0	0	0	0	0
2951 Ligon St.	V	Ü	V	U	U
ES King Village - Pasquotank Hall	0	0	0	0	0
- 740 Gorman St.	V	Ü	V	U	U
ES King Village - Perquimans Hall	0	0	0	0	0
- 720 Gorman St.	U	U	· ·	U	U
ES King Village - Tyrrell Hall –	0	0	0	0	0
2941 Ligon St.	U	U	U	U	U
Gold Hall – 70 Pullen Dr.	0	0	0	0	0
Lee Hall – 2530 Sullivan Dr.	0	0	0	0	0
Metcalf Hall – 2811 Thurman Dr.	0	0	0	0	0
		· ·			
North Hall – 2200 Hillsborough St.	0	0	0	0	0
Owen Hall – 2720 Cates Ave.	0	0	0	0	0
Sullivan Hall – 2921 Thurman Dr.	0	0	0	0	0
Syme Hall – 2210 Baver Dr.	0	0	0	0	0
Tucker Hall – 2800 Cates Ave.	0	0	0	0	0
Turlington Hall – 2710 Cates Ave.	0	0	0	0	0

Waturn Manor Def - 150 Lilley Ct. O O O O O O O O O						
Wokeh Hall = 80 Pattlers Dr. 0 0 0 0 0 Ct. Western Manor D/EF = 1531 0 0 0 0 0 Western Manor D/EF = 1531 0 0 0 0 0 0 Western Manor O/H = 1521 Lilley 0 0 0 0 0 0 Ct. Western Manor F = 1500 Lilley Ct. 0 0 0 0 0 0 Ct. Western Manor F = 1500 Lilley Ct. 0 <td>Watauga Hall – 2200 Katharine</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td>	Watauga Hall – 2200 Katharine	0	0	0	0	0
Western Manor DEF 1531 Lilley C						
CL. Western Manor D/E/F - 1531 Lilley Ct. O O O O O O O O O O O O O		0	0	0	0	0
Western Manor DE/F = 1531 Liley Ct. Description Ct. Ct. Description Ct.	Western Manor A/B/C – 1551 Lilley	0	0	0	0	0
Lilley Ct.						
Western Manor R/I - 1521 Lilley Ct.	Western Manor D/E/F – 1531	0	0	0	0	0
Ct.						
Western Manor		0	0	0	0	0
Ct.						
Western Manor K - 1510 Lilley Ct. 0		0	0	0	0	0
Western Manor L - 1520 Lilley Ct. 0						
Western Manor NM - 1530 Lilley Ct.					, and the second	
Western Manor N/O - 1540 Lilley		-				-
Ct.	Western Manor M – 1530 Lilley Ct.					-
Western Manor P/Q - 1550 Lilley C. C. C. C. C. C. C. C	-	0	0	0	0	0
Ct.						
Wolf Ridge - Grove Hall - 371		0	0	0	0	0
Initiative Way				^	^	^
Wolf Ridge - Innovation Hall - 381		0	0	0	0	0
Initiative Way						
Wolf Kidge - Lakeview Hall -		0	0	0	0	0
1930 Entrepreneur Dr.		^	^	^	0	^
Wolf Ridge - Plaza Hall - 1940 Description		0	0	U	Ü	0
Entrepreneur Dr.	1950 Entrepreneur Dr.	^	^	^	0	^
Wolf Ridge - Tower Hall - 1900		0	0	0	0	0
Entrepreneur Dr.	W-16 D: 1 T H-11 1000	0	0	0	0	0
Wolf Ridge - Valley Hall - 351		0	U	0	0	0
Initiative Way	Entrepreneur Dr.	0	0	0	0	0
Wolf Village		U	U	U	0	U
Wolf Village Way	Welf Village America Hell 2770	0	0	0	0	0
Wolf Village Baffin Hall - 2780 0 0 0 0 0 0 0 0 0		U	U	U	U	U
Wolf Village Way						
Wolf Village - Caspian Hall - 2750 O O O O O O		0	0	0	0	0
Wolf Village Gray Hall	Wolf Village Carrier Hall 2750	0	0	0	0	0
Wolf Village Hauson Hall - 2730 O O O O O O		U	U	U	U	U
Wolf Village Hudson Hall - 2760 O	Wolf Village - Gray Hall - 2730	0	0	0	0	0
Wolf Village Hudson Hall - 2760 0 0 0 0 0 0 0 0 0	Wolf Village Way	U	U	U	U	U
Wolf Village Way	Wolf Village – Hudson Hall – 2760					
Wolf Village -Mackenzie Hall - 2710 Wolf Village Way		0	0	0	0	0
2710 Wolf Village Way 0	Wolf Village –Mackenzie Hall –	0	0	0	0	0
Wolf Village Red Hall - 2740 Wolf Village Way Wolf Village Way Wolf Village Timber Hall - 2720 Wolf Village Way Wood Hall A - 2521 Warren Carroll Dr. Wood Hall B - 2531 Warren Carroll Dr. Wood Hall B - 2531 Warren Carroll O		V	· ·	· ·	v	· ·
Wolf Village Way 0 0 0 0 0 Wolf Village Way 0 0 0 0 0 0 Wood Hall A - 2521 Warren Carroll Dr. 0 0 0 0 0 0 0 Wood Hall C - 2541 Warren Carroll Dr. 0<	Wolf Village – Red Hall – 2740	0	0	0	0	0
Wolf Village - Timber Hall - 2720 0		Ů	· ·		v	· ·
Wolf Village Way 0 0 0 0 0 Dr. Wood Hall B – 2531 Warren Carroll Dr. 0	Wolf Village – Timber Hall – 2720	0	0	0	0	0
Wood Hall A - 2521 Warren Carroll Dr. 0		-		-	Ţ.	•
Dr. Wood Hall B – 2531 Warren Carroll Dr. 0 0 0 0 0 Wood Hall C – 2541 Warren Carroll Dr. 0		0	0	0	0	0
Dr. Wood Hall C – 2541 Warren Carroll 0 0 0 0 0 Dr. Greek 1 - 2613 Fraternity Ct. 0 0 0 0 0 Greek 2 - 2601 Fraternity Ct. 0 0 0 0 0 Greek 3 - 2511 Fraternity Ct. 0 0 0 0 0 Greek 4 - 2501 Fraternity Ct. 0 0 0 0 0 Greek 5 - 2409 Fraternity Ct. 0 0 0 0 0 Greek 7 - 2313 Fraternity Ct. 0 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 0 0 0 0 0 0 Greek 12 - 2619 Fraternity Ct. 0 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>						
Wood Hall C – 2541 Warren Carroll Dr. 0	Wood Hall B – 2531 Warren Carroll	0	0	0	0	0
Dr. Greek 1 - 2613 Fraternity Ct. 0 0 0 0 0 Greek 2 - 2601 Fraternity Ct. 0 0 0 0 0 0 Greek 3 - 2511 Fraternity Ct. 0 0 0 0 0 0 Greek 4 - 2501 Fraternity Ct. 0 0 0 0 0 0 Greek 5 - 2409 Fraternity Ct. 0						
Greek 1 - 2613 Fraternity Ct. 0 0 0 0 Greek 2 - 2601 Fraternity Ct. 0 0 0 0 Greek 3 - 2511 Fraternity Ct. 0 0 0 0 Greek 4 - 2501 Fraternity Ct. 0 0 0 0 Greek 5 - 2409 Fraternity Ct. 0 0 0 0 Greek 7 - 2313 Fraternity Ct. 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0	Wood Hall C – 2541 Warren Carroll	0	0	0	0	0
Greek 2 - 2601 Fraternity Ct. 0 0 0 0 Greek 3 - 2511 Fraternity Ct. 0 0 0 0 Greek 4 - 2501 Fraternity Ct. 0 0 0 0 Greek 5 - 2409 Fraternity Ct. 0 0 0 0 Greek 7 - 2313 Fraternity Ct. 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0						
Greek 3 - 2511 Fraternity Ct. 0 0 0 0 Greek 4 - 2501 Fraternity Ct. 0 0 0 0 Greek 5 - 2409 Fraternity Ct. 0 0 0 0 Greek 7 - 2313 Fraternity Ct. 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0	Greek 1 - 2613 Fraternity Ct.	0	0	0	0	0
Greek 4 - 2501 Fraternity Ct. 0 0 0 0 Greek 5 - 2409 Fraternity Ct. 0 0 0 0 0 Greek 7 - 2313 Fraternity Ct. 0 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0	Greek 2 - 2601 Fraternity Ct.	0	0	0	0	0
Greek 5 - 2409 Fraternity Ct. 0 0 0 0 Greek 7 - 2313 Fraternity Ct. 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0	Greek 3 - 2511 Fraternity Ct.	0	0	0	0	0
Greek 7 - 2313 Fraternity Ct. 0 0 0 0 Greek 8 - 2309 Fraternity Ct. 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0		0	0	0	0	0
Greek 8 - 2309 Fraternity Ct. 0 0 0 0 Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0		0	0			0
Greek 11 - 2701 Fraternity Ct. 1 Intentional 0 0 \$0-99 Greek 12 - 2619 Fraternity Ct. 0 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0		0	0	0	0	0
Greek 12- 2619 Fraternity Ct. 0 0 0 0 Greek 13 - 2709A Fraternity Ct. 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0	,	-				
Greek 13 - 2709A Fraternity Ct. 0 0 0 0 Greek 14 - 2709B Fraternity Ct. 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0			Intentional			\$0-99
Greek 14 - 2709B Fraternity Ct. 0 0 0 0 Greek 15 - 1402 Varsity Dr. 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0		0	0	0	0	0
Greek 15 - 1402 Varsity Dr. 0 0 0 0 0 KD 2304 Greek Village Dr. 0 0 0 0 0	Greek 13 - 2709A Fraternity Ct.	0	0	0	0	0
KD 2304 Greek Village Dr. 0 0 0 0		0	0	0	0	0
KD 2304 Greek Village Dr. 0 0 0 0 SN 2312 Greek Village Dr. 0 0 0 0		0	0	0	0	0
SN 2312 Greek Village Dr. 0 0 0 0	KD 2304 Greek Village Dr.	0	0	0	0	0
	SN 2312 Greek Village Dr.	0	0	0	0	0

2017 Sta	tistics and Related	Information Regardin	g Fires in Resident	ial Facilities	
2017 54	Number of	Cause of	Number of	Number of	Estimated Property
Residential Facility	Fires	Fire	Injuries	Deaths	Damage
Alexander Hall – 2700 Cates Avenue	0	0	0	0	0
Avent Ferry Residence Hall A/B –	0	0	0	0	0
	U	U	U	U	U
2110 Avent Ferry Rd.	0				•
Avent Ferry Residence Hall D/E/F –	0	0	0	0	0
2112 Avent Ferry Rd.					
Bagwell Hall – 2201 Dunn Ave.	0	0	0	0	0
Becton Hall – 2231 Dunn Ave.	0	0	0	0	0
Berry Hall – 2211 Dunn Ave.	0	0	0	0	0
Bowen Hall – 2821 Thurman Dr.	0	0	0	0	0
Bragaw Hall – 210 Dan Allen Dr.	0	0	0	0	0
Carroll Hall – 2801 Thurman Dr.	0	0	0	0	0
ES King Commons	0	0	0	0	0
ES King Village – Beaufort Hall –	0	0	0	0	0
3820 Jackson St.	U	U	U	U	U
ES King Village – Bertie Hall –	0	0	0	0	0
	U	U	U	U	0
3840 Jackson St.					
ES King Village - Bladen Hall -	0	0	0	0	0
3920 Jackson St.					
ES King Village - Carteret Hall -	0	0	0	0	0
3830 Jackson St.					
ES King Village - Chowan Hall -	0	0	0	0	0
2911 Ligon St.					
ES King Village - Craven Hall -	0	0	0	0	0
3810 Jackson St.					
ES King Village - Currituck Hall -	0	0	0	0	0
2921 Ligon St.		v		v	v
ES King Village - Edgecombe Hall	0	0	0	0	0
- 3930 Jackson St.	O	V	· ·	U	V
ES King Village - Granville Hall –	0	0	0	0	0
	U	U	U	U	U
3011 Ligon St. ES King Village - Hyde Hall –	0	0	0	0	0
	0	0	0	0	0
2931 Ligon St.	0				^
ES King Village - Johnston Hall -	0	0	0	0	0
3950 Jackson St.					
ES King Village - New Hanover	0	0	0	0	0
Hall – 3910 Jackson St.					
ES King Village - Northampton	0	0	0	0	0
Hall – 3001 Ligon St.					
ES King Village - Onslow Hall -	0	0	0	0	0
2951 Ligon St.					
ES King Village - Pasquotank Hall	0	0	0	0	0
– 740 Gorman St.		, and the second		ų.	*
ES King Village - Perquimans Hall	0	0	0	0	0
- 720 Gorman St.	O	V		O	V
ES King Village - Tyrrell Hall –	0	0	0	0	0
2941 Ligon St.	U	U	U	U	U
Gold Hall – 70 Pullen Dr.	0	0	0	0	0
	0	0	0	0	0
Lee Hall – 2530 Sullivan Dr.	0	0	0	0	0
Metcalf Hall – 2811 Thurman Dr.	0	0	0	0	0
North Hall – 2200 Hillsborough St.	0	0	0	0	0
Owen Hall – 2720 Cates Ave.	0	0	0	0	0
Sullivan Hall – 2921 Thurman Dr.	0	0	0	0	0
Syme Hall – 2210 Baver Dr.	0	0	0	0	0
Tucker Hall – 2800 Cates Ave.	0	0	0	0	0
Turlington Hall – 2710 Cates Ave.	0	0	0	0	0
Watauga Hall – 2200 Katharine	0	0	0	0	0
Stinson Dr.	U	U	0	U	U
Welch Hall – 80 Pullen Dr.	0	0		0	0
		0	0	0	0
Western Manor A/B/C – 1551 Lilley	0	0	0	0	0
Ct.					

Western Manor D/E/F – 1531	0	0	0	0	0
Lilley Ct. Western Manor G/H – 1521 Lilley	0	0	0	0	0
Ct.	o l	O .	· ·	Ü	· ·
Western Manor I/J – 1500 Lilley	0	0	0	0	0
Ct.					
Western Manor K – 1510 Lilley Ct. Western Manor L – 1520 Lilley Ct.	0	0	0	0	0
Western Manor M – 1530 Lilley Ct.	0	0	0	0	0
Western Manor N/O – 1540 Lilley	0	0	0	0	0
Ct.	Ü	v	Ü	v	
Western Manor P/Q – 1550 Lilley Ct.	0	0	0	0	0
Wolf Ridge – Grove Hall – 371 Initiative Way	0	0	0	0	0
Wolf Ridge – Innovation Hall – 381 Initiative Way	0	0	0	0	0
Wolf Ridge – Lakeview Hall –	0	0	0	0	0
1930 Entrepreneur Dr. Wolf Ridge – Plaza Hall – 1940	0	0	0	0	0
Entrepreneur Dr. Wolf Ridge – Tower Hall – 1900	0	0	0	0	0
Entrepreneur Dr.	-	U			U
Wolf Ridge – Valley Hall – 351 Initiative Way	0	0	0	0	0
Wolf Village – Arctic Hall – 2770 Wolf Village Way	0	0	0	0	0
Wolf Village – Baffin Hall – 2780	0	0	0	0	0
Wolf Village Way Wolf Village – Caspian Hall – 2750	0	0	0	0	0
Wolf Village Way Wolf Village – Gray Hall – 2730	0	0	0	0	0
Wolf Village Way	U	0	0	0	0
Wolf Village – Hudson Hall – 2760 Wolf Village Way	0	0	0	0	0
Wolf Village –Mackenzie Hall – 2710 Wolf Village Way	0	0	0	0	0
Wolf Village – Red Hall – 2740	0	0	0	0	0
Wolf Village Way Wolf Village – Timber Hall – 2720	0	0	0	0	0
Wolf Village Way	Ü	· ·	Ů	Ů	· ·
Wood Hall A – 2521 Warren Carroll Dr.	0	0	0	0	0
Wood Hall B – 2531 Warren Carroll	0	0	0	0	0
Dr. Wood Hall C – 2541 Warren Carroll	0	0	0	0	0
Dr.					
Greek 1 - 2613 Fraternity Ct.	0	0	0	0	0
Greek 2 - 2601 Fraternity Ct.	0	0	0	0	0
Greek 3 - 2511 Fraternity Ct. Greek 4 - 2501 Fraternity Ct.	0	0	0	0	0
Greek 7 - 2313 Fraternity Ct.	0	0	0	0	0
Greek 8 - 2309 Fraternity Ct.	0	0	0	0	0
Greek 11 - 2701 Fraternity Ct.	0	0	0	0	0
Greek 12-2619 Fraternity Ct.	0	0	0	0	0
Greek 13 - 2709A Fraternity Ct.	0	0	0	0	0
Greek 14 - 2709B Fraternity Ct.	0	0	0	0	0
Greek 15 - 1402 Varsity Dr.	0	0	0	0	0
KD 2304 Greek Village Dr.	0	0	0	0	0
SN 2312 Greek Village Dr.	0	0	0	0	0
DG 2300 Greek Village Dr.	0	0	0	0	0
KKG 3501 Avent Ferry Rd.	0	0	0	0	0
	-	<u> </u>	· · · · · · · · · · · · · · · · · · ·	-	

Ī	2018 Statistics and Related Information Regarding Fires in Residential Facilities					
Ī	Residential Facility	Number of	Cause of	Number of	Number of	Estimated Property

	Fires	Fire	Injuries	Deaths	Damage
Alexander Hall – 2700 Cates Avenue	0	0	0	0	0
Avent Ferry Residence Hall A/B –	0	0	0	0	0
2110 Avent Ferry Rd.					
Avent Ferry Residence Hall D/E/F –	0	0	0	0	0
2112 Avent Ferry Rd.					
Bagwell Hall – 2201 Dunn Ave.	0	0	0	0	0
Becton Hall – 2231 Dunn Ave.	0	0	0	0	0
Berry Hall – 2211 Dunn Ave.	0	0	0	0	0
Bowen Hall – 2821 Thurman Dr.	0	0	0	0	0
Bragaw Hall – 210 Dan Allen Dr.	0	0	0	0	0
Carroll Hall – 2801 Thurman Dr.	1	Intentional	0	0	0
ES King Commons	0	0	0	0	0
ES King Village – Beaufort Hall – 3820 Jackson St.	0	0	0	0	0
ES King Village – Bertie Hall – 3840 Jackson St.	0	0	0	0	0
ES King Village - Bladen Hall – 3920 Jackson St.	0	0	0	0	0
ES King Village - Carteret Hall -	0	0	0	0	0
3830 Jackson St. ES King Village - Chowan Hall –	0	0	0	0	0
2911 Ligon St. ES King Village - Craven Hall –	0	0	0	0	0
3810 Jackson St. ES King Village - Currituck Hall –	0	0	0	0	0
2921 Ligon St. ES King Village - Edgecombe Hall	0	0	0	0	0
- 3930 Jackson St.	, and the second		-		
ES King Village - Granville Hall – 3011 Ligon St.	0	0	0	0	0
ES King Village - Hyde Hall – 2931 Ligon St.	0	0	0	0	0
ES King Village - Johnston Hall – 3950 Jackson St.	0	0	0	0	0
ES King Village - New Hanover Hall – 3910 Jackson St.	0	0	0	0	0
ES King Village - Northampton Hall – 3001 Ligon St.	0	0	0	0	0
ES King Village - Onslow Hall – 2951 Ligon St.	0	0	0	0	0
ES King Village - Pasquotank Hall	0	0	0	0	0
- 740 Gorman St. ES King Village - Perquimans Hall	0	0	0	0	0
- 720 Gorman St. ES King Village - Tyrrell Hall -	0	0	0	0	0
2941 Ligon St.					
Gold Hall – 70 Pullen Dr.	0	0	0	0	0
Lee Hall – 2530 Sullivan Dr.	0	0	0	0	0
Metcalf Hall – 2811 Thurman Dr.	0	0	0	0	0
North Hall – 2200 Hillsborough St.	0	0	0	0	0
Owen Hall – 2720 Cates Ave.	0	0	0	0	0
Sullivan Hall – 2921 Thurman Dr.	0	0	0	0	0
Syme Hall – 2210 Baver Dr.	0	0	0	0	0
Tucker Hall – 2800 Cates Ave.	0	0	0	0	0
Turlington Hall – 2710 Cates Ave. Watauga Hall – 2200 Katharine	0	0	0	0	0
Stinson Dr.	-		·		
Welch Hall – 80 Pullen Dr.	0	0	0	0	0
Western Manor A/B/C – 1551 Lilley Ct.	0	0	0	0	0
Western Manor D/E/F – 1531 Lilley Ct.	0	0	0	0	0
Western Manor G/H – 1521 Lilley Ct.	0	0	0	0	0
Western Manor I/J – 1500 Lilley	0	0	0	0	0
TOSTETH MAHOL 113 - 1300 Liney	U	U	U	U	<u> </u>

C+					
Ct.	0	0	0	0	0
Western Manor K – 1510 Lilley Ct.	0	0	0	0	0
Western Manor L – 1520 Lilley Ct.	0	0	0	0	0
Western Manor M – 1530 Lilley Ct.	0	0	0	0	0
Western Manor N/O – 1540 Lilley	0	0	0	0	0
Ct.					
Western Manor P/Q – 1550 Lilley	0	0	0	0	0
Ct.					
Wolf Ridge – Grove Hall – 371	0	0	0	0	0
Initiative Way					
Wolf Ridge – Innovation Hall – 381	0	0	0	0	0
Initiative Way	V	Ů		O O	V
Wolf Ridge – Lakeview Hall –	0	0	0	0	0
1930 Entrepreneur Dr.	U	U	U	U	U
		**		^	
Wolf Ridge – Plaza Hall – 1940	1	Unintentional	0	0	0
Entrepreneur Dr.					
Wolf Ridge – Tower Hall – 1900	0	0	0	0	0
Entrepreneur Dr.					
Wolf Ridge – Valley Hall – 351	0	0	0	0	0
Initiative Way					
Wolf Village – Arctic Hall – 2770	0	0	0	0	0
Wolf Village Way					
Wolf Village – Baffin Hall – 2780					
Wolf Village Way	0	0	0	0	0
Wolf Village – Caspian Hall – 2750	0	0	0	0	0
	U	0	U	U	U
Wolf Village Way					
Wolf Village – Gray Hall – 2730	0	0	0	0	0
Wolf Village Way					
Wolf Village – Hudson Hall – 2760	0	0	0	0	0
Wolf Village Way	U	O	U	U	U
Wolf Village –Mackenzie Hall –	0	0	0	0	0
2710 Wolf Village Way					
Wolf Village – Red Hall – 2740	1	Unintentional	0	0	0
Wolf Village Way					
Wolf Village – Timber Hall – 2720	0	0	0	0	0
Wolf Village Way	V	Ů		O O	V
Wood Hall A – 2521 Warren Carroll	0	0	0	0	0
	U	Ü	U	U	U
Dr.				^	^
Wood Hall B – 2531 Warren Carroll	0	0	0	0	0
Dr.					
Wood Hall C – 2541 Warren Carroll	0	0	0	0	0
Dr.					
Greek 1 - 2613 Fraternity Ct.	0	0	0	0	0
Greek 2 - 2601 Fraternity Ct.	0	0	0	0	0
Greek 3 - 2511 Fraternity Ct.	0	0	0	0	0
Greek 4 - 2501 Fraternity Ct.	0	0	0	0	0
Greek 7 - 2313 Fraternity Ct.	0	0	0	0	0
Greek 8 - 2309 Fraternity Ct.	0	0	0	0	0
	·				
Greek 11 - 2701 Fraternity Ct.	0	0	0	0	0
Greek 12- 2619 Fraternity Ct.	0	0	0	0	0
Greek 13 - 2709A Fraternity Ct.	0	0	0	0	0
Greek 14 - 2709B Fraternity Ct.	0	0	0	0	0
Greek 15 - 1402 Varsity Dr.	0	0	0	0	0
KD 2304 Greek Village Dr.	0	0	0	0	0
SN 2312 Greek Village Dr.	0	0	0	0	0
DG 2300 Greek Village Dr.	0	0	0	0	0
					·
KKG 3501 Avent Ferry Rd.	0	0	0	0	0

Fire Systems in University Residential Facilities

	Fire Safety Systems in Residential Facilities					
			Smoke	Fire	Evacuation Plans	Number of
Residential Facility	Sprinkler	Fire Alarm	Detection	Extinguisher	& Placards	Fire Drills
Alexander Hall	Yes	Yes	Yes	Yes	Yes	4
Avent Ferry Center	Yes	Yes	Yes	Yes	Yes	4
Bagwell Hall	Yes	Yes	Yes	Yes	Yes	4
Becton Hall	Yes	Yes	Yes	Yes	Yes	4
Berry Hall	Yes	Yes	Yes	Yes	Yes	4
Bowen Hall	Yes	Yes	Yes	Yes	Yes	4
Bragaw Hall	Yes	Yes	Yes	Yes	Yes	4
Carroll Hall	Yes	Yes	Yes	Yes	Yes	4
ES King Village	Yes	Yes	Yes	Yes	Yes	4
Gold Hall	Yes	Yes	Yes	Yes	Yes	4
Lee Hall	Yes	Yes	Yes	Yes	Yes	4
Metcalf Hall	Yes	Yes	Yes	Yes	Yes	4
North Hall	Yes	Yes	Yes	Yes	Yes	4
Owen Hall	Yes	Yes	Yes	Yes	Yes	4
Sullivan Hall	Yes	Yes	Yes	Yes	Yes	4
Syme Hall	Yes	Yes	Yes	Yes	Yes	4
Tucker Hall	Yes	Yes	Yes	Yes	Yes	4
Turlington Hall	Yes	Yes	Yes	Yes	Yes	4
Watauga Hall	Yes	Yes	Yes	Yes	Yes	4
Welch Hall	Yes	Yes	Yes	Yes	Yes	4
Western Manor	Yes	Yes	Yes	Yes	Yes	4
Wolf Ridge	Yes	Yes	Yes	Yes	Yes	4
Wolf Village	Yes	Yes	Yes	Yes	Yes	4
Wood Hall	Yes	Yes	Yes	Yes	Yes	4
Greek 1 - 2613 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 2 - 2601 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 3 - 2511 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 4 - 2501 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 5 - 2409 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 7 - 2313 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 8 - 2309 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 11 - 2701 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 12- 2619 Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 13 - 2709A Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 14 - 2709B Fraternity Ct.	Yes	Yes	Yes	Yes	Yes	4
Greek 15 - 1402 Varsity Dr.	Yes	Yes	Yes	Yes	Yes	4
KD 2304 Greek Village Dr.	Yes	Yes	Yes	Yes	Yes	4
SN 2312 Greek Village Dr.	Yes	Yes	Yes	Yes	Yes	4
DG 2300 Greek Village Dr.	Yes	Yes	Yes	Yes	Yes	4
KKG 3501 Avent Ferry Rd.	Yes	Yes	Yes	Yes	Yes	4

Number of Emergency Residential Evacuation Drills

NC State conducts a minimum of one emergency evacuation drill per quarter in all University Housing buildings. The university evaluates the following areas on drills based on the 2009 North Carolina Fire Code, Section 405, Emergency Evacuation Drills and Table 405.2, Group R – 2d:

- Identity of the person conducting the drill
- Date and time of the drill
- Notification method used
- Staff members on duty and participating
- Number of occupants evacuated
- Special conditions simulated

- Problems encountered
- Weather conditions when occupants were evacuated
- Time required to accomplished complete evacuation

Fire and Life Safety Education

A fire in a University building can endanger lives and destroy property. Every member of the NC State community is responsible for preventing and properly handling common fire hazards, and for familiarity with the university's Fire and Life Safety Education Program.

The purpose of this program is to promote the safety of the university community, prevent damage to University property, and to comply with Occupational Safety and Health Administration (OSHA) regulations.

Training Programs

Prevention is the most effective tool against fires on campus. The university community should be aware of common fire hazards in their respective places of work and residence. The leading fire hazards in University buildings include smoking materials, open flames, combustible decorations, flammable liquids, furniture, and trash. In pursuit of effective fire prevention and education, Fire and Life Safety offers training classes for all employees and students. These classes cover basic fire behavior, fire extinguisher use, basic medical care, evacuation procedures, and disability assistance. These classes include power point presentations as well as hands on training in all fields. The classes are offered before the start of the academic year to new employees, as well as University Housing resident advisors and resident directors. Training is also offered to other employees and students upon request.

Fire and Life Safety Education brochures and informational materials include the following:







Cause and Prevention



can be FUN and FIRE

College living can undoubtedly be exciting. For most students attending schools outside of their home town or state, it's their first opportunity to be independent.

For many students, this is the first time they are away from their homes,

families and friends for any signifi-

While college provides new and exciting opportunities, it also introduces a myriad of new safety hazards. especially to students living in dormi-tories, apartments and other commu-nity locations.

Although a student may have been the safest person in their school, house or neighborhood, an impeccable safety record doesn't safeguard someone against the actions of other residents in shared college housing facilities.

Therefore, it is extremely important to practice evacuating your build-ing in a controlled manner. This will prove invaluable in the event of a cooking fire or other fire. Please take drills seriously, they are for your

Cooking Safety Tips







Use a pot lid or baking soda on a cooking fire



Turn pot handles away from the front of the stove to avoid burn injuries.



Have and know an evacuation plan in case of fire



Always have an extinguisher on hand when cooking on a grill. Don't forget your Hot Work Permit!



able smoke detectors. You can damage the Fire Alarm system and endanger other occupants of the building..



Cook Fire Safe! There's an app for that Common

DIAL 911 for emergencies on Campus

The Campus phone system is designed to also 'capture' cell phone signals to route your emergency calls directly to the University Police Department. They can also be reached at 515-3000



If you hear a fire alarm, leave immediately. Close doors behind you as you go. Take your room keys; if you can't escape you may have to return to your room to wait for assistance from the Fire Department. Use stairs, never use the elevator during an emergency.



Tips for Parents of Students choosing to live off Campus

- > Look for housing with automatic fire sprinklers
- Make sure there are working smoke detectors on each level
- > Ensure your student knows two ways out of their Residence or building
- > Encourage them to check the cushions of furniture for smoldering cigarette butts after parties
- >'Candle' with care
- Invest in an escape ladder for 2nd or 3rd floor bedrooms

>Use only UL listed power Buy and teach them how to use a fire extinguisher NC STATE UNIVERSITY University Housing 1112 Pullen Hall Raleigh, NC 27695 (919)515-2440 housing@ncsu.edu University Fire Marshal's Office

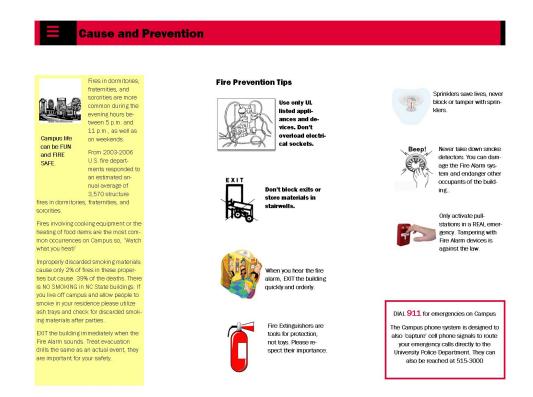
NC STATE UNIVERSITY

Student Housing Fire Safety Tips

Living away from home involves a lot of new responsibilities-including keeping your dorm, fraternity house, sorority house or off campus apart-ment safe from fire.



niversity Fire Marshal's Office 919-515-2568 firesale the diponesuledu University Housing 919-515-2440 housing diponesuledu



Fire Safety Improvements

Depending on the availability of funds, NC State's Fire and Life Safety Office plans to develop safety online training videos and tutorials. These videos and tutorials would include demonstrations of the university's emergency evacuation procedures, including how to respond in the event of encountering smoke, how to prevent kitchen fires when cooking, as well videos showing the proper use of fire extinguishers.

APPENDIX G

Relationship or Interpersonal Violence and Sexual Misconduct

In order to comply with Title IX of the Higher Education Amendments of 1972 (20 U.S.C. § 1681 *et seq.* ("Title IX")) and the Violence Against Women Reauthorization Act of 2013, reports of conduct involving alleged violations of sections 10.14 (Relationship or Interpersonal Violence, including Dating Violence, Domestic Violence and Stalking) or 10.15 (Sexual Misconduct) of the Code are subject to specialized and/or additional processes and procedures. These processes and procedures are designed to provide a prompt and equitable resolution for both the Complainant and Respondent.

General Provisions for Processing Reports of Relationship or Interpersonal Violence or Sexual Misconduct.

- 1. Reports of misconduct involving allegations of Relationship or Interpersonal Violence or Sexual Misconduct pursuant to sections 10.14 or 10.15 of the Code ("reports") may be filed directly with the Office for Institutional Equity and Diversity ("OIED"), the Office of Student Conduct, or University Police. Upon receiving notification of allegations, the receiving office shall immediately notify the other offices of the report to ensure Clery and Title IX obligations are met. The office who first interacts with the Complainant and/or Respondent shall provide them with resources and notification of their rights.
- 2. Reports will be reviewed by OIED to determine whether the alleged actions warrant a Title IX investigation and/or whether a Complainant's request for confidentially can be maintained. If the Complainant wishes to remain anonymous, OIED will assess whether a request for confidentiality should be maintained under Title IX and inform the Complainant. This assessment will be consistent with REG 04.25.02 Discrimination, Harassment and Retaliation Complaint Procedure. If the report does not fall within Title IX, OIED will inform the Office of Student Conduct, which will then promptly, thoroughly and impartially investigate and resolve the matter consistent with the Student Discipline Procedures (REG 11.35.02).
- 3. A Complainant has the right to file a criminal complaint with University Police or other appropriate law enforcement authority. The processing of a report pursuant to the Student Discipline Procedures is independent of any criminal investigation. The University will not wait until the conclusion of a criminal investigation or criminal proceeding to investigate a report of Relationship or Interpersonal Violence or Sexual Misconduct and, if needed, will take interim action to protect the Complainant within the educational setting. In cases involving potential criminal conduct where the Complainant has not pressed criminal charges, the University will determine, consistent with federal and state law, whether appropriate law enforcement should be notified.
- 4. If the report falls within Title IX, OIED will conduct a review and investigation using its standard operating procedures for Title IX investigations. The OIED investigator will prepare a draft report which summarizes the information gathered and outlines the contested and uncontested facts, but does not include an analysis of the facts. OIED will provide the draft report to the Complainant and Respondent to review and provide the parties with an opportunity to submit additional comments or information to the investigator for consideration. The OIED investigator will then review any additional information submitted and finalize the report, including an analysis of the facts presented. The OIED investigator will provide a draft report to OIED's Title IX Coordinator or Deputy Coordinator for review of the Title IX components and compliance, including whether

the alleged conduct could be considered Sexual Harassment, as defined in NCSU POL 04.25.05. OIED will then create a final report.

- 5. Upon completion of the investigation and final report, OIED will provide the report to the Office of Student Conduct. The report will be treated as confidential, to the extent allowable under applicable law. An Office of Student Conduct representative will determine whether information presented supports a charge under the Code relating to Relationship or Interpersonal Violence, including Dating Violence, Domestic Violence and Stalking, Sexual Misconduct, or any other provision of the Code. If no charge is brought, the matter will be closed and the Office of Student Conduct will notify the Complainant and Respondent and inform them of their rights.
- 6. If Code charges are brought against the Respondent, the Office of Student Conduct representative will notify the Complainant and Respondent of their rights under the Code and this Regulation.
- 7. At any point following the filing of a report of Relationship or Interpersonal Violence or Sexual Misconduct the university may take interim action to separate the Respondent and the Complainant. Such interim actions include, but are not limited to: issuing a "no contact" order, altering the student(s)' academic schedule, changing University housing assignment, etc. In considering interim actions, the Director in consultation with the Title IX Coordinator will seek to minimize unnecessary or unreasonable burdens on either party, but will make reasonable efforts to take into account the wishes of the Complainant with respect to interim actions. In cases where the Director determines that the interim action should be the extraordinary intervention of suspension, the procedure in Appendix F will be followed.
- 8. If a Complainant requests that his or her report remain confidential, he or she will be notified that the university may still be obligated to investigate and take reasonable steps in response to the report (though any response may be limited by the Complainant's request to keep the report confidential). Even when disciplinary action cannot be imposed against a Respondent because the Complainant insists on confidentiality, the university may still implement interim action(s) to separate the Respondent and Complainant.
- 9. It is a separate violation of sections 10.14 or 10.15 of the Code for any Student, Student Group or Student Organization to retaliate against any person making a report of Interpersonal or Relationship Violence or Sexual Misconduct, or against any person participating in the investigation procedure involving these reports. Retaliation includes threats, harassment, intimidation, and/or coercion and should be reported promptly to the Office of Student Conduct.
- 10. It is not the practice of the university to pursue disciplinary action against a Complainant or witness for his or her improper use of alcohol or drugs provided that such student is acting in good faith as a Complainant or witness to the alleged Interpersonal or Relationship Violence or Sexual Misconduct.

Hearing Procedures

- 1. The procedures for an Administrative Hearing (Appendix D) will be followed except with the following adjustments as noted below.
- 2. Both the Complainant and Respondent may have a single Observer, in accordance with section 6.3 of the Student Discipline Procedures (REG 11.35.02, as support present during the hearing. An Observer may not serve as a witness in the hearing.
- 3. Both the Respondent and Complainant may be represented by an Attorney/Non-Attorney Advocate in accordance with sections 3.1.10, 3.2.11 and 6 of the Procedures.

- 4. An Office of Student Conduct Hearing Officer will facilitate the Administrative Hearing. A University Representative (typically a person from Student Conduct) will present the information supporting the charges and a summary of the Respondent's position. The Complainant and Respondent will be provided the opportunity to present their case. The OIED investigator for the case and/or University Police may be witnesses and may provide witness testimony as allowed regarding their investigatory fact findings.
- 5. Where the Respondent is found to be "responsible," for the charges, the Complainant may present an impact statement (either verbally or in writing) prior to sanctioning. The impact statement may include a request for a specific sanction, though the Hearing Officer is not bound by such request. The Respondent will be allowed to respond to the impact statement.
- 6. At the same time that the Respondent is provided with the final written decision, including findings of fact and sanction(s), a copy of the final written decision and sanction(s) shall be provided to the Complainant. The Office of Student Conduct shall consult with OIED throughout this process as needed and inform OIED of the final outcome.
- 7. A Complainant or Respondent may file an appeal as provided in pursuant to section 7 of the Procedures. The time limit for filing an appeal begins upon delivery or attempted delivery of the written notification of the final decision to the Complainant or Respondent.

NC STATE UNIVERSITY

Policies, Regulations and Rules

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Discrimination, Harassment and Retaliation Compl	aint
Procedu	ures
lassification	
REG04.2	5.02
RR Subject	
Non-Discrimina	tion
ontact Info	
Vice Provost for Equal Opportunity and Equity (9	919-
515-49	559)

History: First Issued: August 30, 1999. Last Revised: August 12, 2014.

Related Policies:

NCSU POL04.25.05 – Equal Opportunity and Non-Discrimination Policy

NCSU POL05.25.01 – Faculty Grievance and Non-Reappointment Review Policy

NCSU POL11.35.01 - Code of Student Conduct

NCSU REG11.35.02 -- Student Discipline Procedures

NCSU POL05.25.03 -- Review and Appeal Processes for EPA Non-Faculty Employees

NCSU REG11.40.02 – Grievance Procedure for Graduate Students

NCSU REG11.40.01 – Grievance Procedure for Undergraduate Students

NCSU REG04.25.06 - Discrimination and Harassment Prevention and Response Training

UNC System SPA Employee Grievance Policy

Additional References:

Title IX Website

Contact Info: Vice Provost for Equal Opportunity and Equity (919) 515-4559

Additional Contact Info:

Title IX Coordinator jgwoodar@ncsu.edu (919) 515-4559

<u>Deputy Title IX Coordinator</u> (Complaints/Investigations/Training) <u>accircos@ncsu.edu</u> (919) 513-1234

Deputy Title IX Coordinator (Athletics) swmlee2@ncsu.edu (919) 515-5076

Deputy Title IX Coordinator (Student Development, Health &

Wellness) lpzapata@ncsu.edu (919) 513-3403

1. INTRODUCTION

North Carolina State University (NC State) prohibits discrimination, harassment and retaliation as defined by NCSU POL 04.25.05 - Equal Opportunity and Non-Discrimination Policy. NC State will investigate allegations of discrimination, harassment and retaliation in a prompt, thorough and impartial manner. NC State will take appropriate steps to address policy violations

whenever substantiated to stop the discrimination, harassment or retaliation, to remedy its effects and to prevent its recurrence. This regulation describes the procedure for filing, processing and resolving complaints that allege discrimination, harassment or retaliation. This regulation additionally describes how NC State handles informal reports or concerns of possible discrimination, harassment or retaliation that are not submitted as a complaint for formal processing.

2. DEFINITIONS

- 2.1 Complaint: Allegations of discrimination, harassment, or retaliation submitted directly to the Office for Institutional Equity and Diversity (OIED) or referred to the OIED pursuant to a university grievance procedure (as defined below) for formal processing. An EEO Informal Inquiry submitted by an employee covered by the State Human Resources Act (SHRA) (see section 5.4) will be treated as a Complaint.
- 2.2 *Complainant*: An individual (or individuals) who submits a Complaint of discrimination, harassment, or retaliation to the OIED for formal processing pursuant to this procedure.
- 2.3 *Respondent*: The individual (or individuals) named by the Complainant as the person (or persons) who engaged in the alleged discrimination, harassment, or retaliation.
- 2.4 *University Grievance Procedure*: For purposes of this regulation, "university grievance procedure" includes NCSU POL 05.25.01 (Faculty Grievance and Non-Reappointment Review Policy) and NCSU POL 05.25.03 (Review and Appeal Processes for EPA Non-Faculty Employees). See section 5.4 relating to the **SPA Employee Grievance Policy**.

3. UNIVERSITY'S RESPONSIBILITY

The university has a duty to investigate and to respond appropriately to allegations of discrimination, harassment, or retaliation whether those allegations are reported informally as concerns or are submitted formally as a Complaint. In other words, the university's responsibility to investigate allegations of discrimination, harassment or retaliation is not obviated even when, for example:

- an individual reports a concern involving possible discrimination, harassment, or retaliation, but does not wish to file a Complaint;
- an individual reports a concern involving possible discrimination, harassment, or retaliation and asks that either his/her identity or the information provided be kept confidential; or
- an individual anonymously reports possible discrimination, harassment, or retaliation and the report includes sufficient specificity to allow the university to investigate the report.

4. CONFIDENTIALITY

Allegations of discrimination, harassment, or retaliation will be handled in a manner that balances an individual's preferences regarding confidentiality with the university's legal obligations. Complete confidentiality cannot be guaranteed, and information about discrimination, harassment and retaliation allegations may be shared with others when necessary to investigate or address the prohibited conduct or to prevent its recurrence. Sharing of information will be limited to persons with a need to know basis. If an individual requests complete confidentiality and/or asks that the university not investigate or seek action against the alleged perpetrator, such request may limit the university's ability to respond fully to the complaint, including pursuing any disciplinary action against the alleged perpetrator. The university ultimately determines whether or not it can honor such a request while providing a safe and nondiscriminatory environment for the university community. Such determination shall be made by the OIED, in consultation with the Office of General Counsel, and, in cases of sexual violence, with the Title IX Coordinator.

Individuals bringing forth allegations of sexual violence who request complete confidentiality are strongly encouraged to consult with individuals, who by law have special professional status, such as mental health counselors, physicians, clergy or private attorneys.

In an effort to protect privacy as well as the integrity of the Complaint process, Complainants, Respondents, witnesses and any other individuals who may have information about a Complaint are expected to maintain confidentiality to the extent permitted by law.

5. FORMAL PROCESS(ING)

The submission (filing or referral) of a Complaint to the OIED initiates the formal process/formal processing (preliminary review, investigation, determination) as provided for in this procedure.

5.1 Filing a Complaint.

Any individual may file a Complaint by:

- Bringing the Complaint to the OIED office located at **231 Winslow Hall**, 40 Pullen Drive, Raleigh NC 27607;
- Mailing or otherwise transmitting the Complaint to the OIED at Campus Box 7530, NC State University, Raleigh, NC 27695-7530; or
- Completing the OIED's online **OIED Complaint Intake Form**.

For formal processing, Complaints must be submitted to the OIED within 30 calendar days of the alleged action that forms the basis of the Complaint. Complaints submitted outside of the 30-day time limit will be reviewed and addressed as determined by OIED.

*Note: Pursuant to the **SPA Employee Grievance Policy**, SPA employees must file a Complaint with the OIED within 15 calendar days of the alleged discriminatory, harassing or retaliatory action that forms the basis of the Complaint before initiating a formal internal grievance to preserve their rights under State law. Employees who do not meet the 15-day time limit may still file a Complaint with the OIED; such Complaint will be reviewed and addressed through either formal processing (if filed within 30 calendar days of the action) or through the informal resolution process, as may be required by Federal law. See section 5.4.

5.2 Referral of Student Complaints to the Office of Student Conduct.

Complaints filed against students will be referred to the Office of Student Conduct (OSC) to be processed through the **Student Discipline Procedures**. Referrals to the OSC will usually occur within three university business days from receipt of the Complaint. Complaints filed by students against university employees (administrators, faculty or staff) will proceed through formal processing as provided for in this procedure.

5.3 Referral of Employee Complaints to the OIED (through a University Grievance Procedure).

Allegations of discrimination, harassment, or retaliation (not previously filed directly with the OIED as a Complaint) that are included in a grievance filed pursuant to a University Grievance Procedure will be considered a Complaint and referred to the OIED for formal processing (and handling as otherwise provided for in the applicable University Grievance Procedure). In order to expedite OIED's formal processing of the Complaint, the referral should occur as soon as possible after the grievance is filed.

5.4 Equal Employment Opportunity Informal Inquiry (SPA Employees Only).

Employees and applicants covered by the university's **SPA Employee Grievance Policy** who want to file a grievance that includes allegations of discrimination, harassment, or retaliation, must first file an Equal Employment Opportunity (EEO) Informal Inquiry with the OIED *prior to* filing the internal grievance. For purposes of this regulation and unless otherwise noted, an EEO Informal Inquiry is the same as a Complaint. An EEO Informal Inquiry must be filed with the OIED within 15 calendar days of the alleged action that forms the basis of the Complaint. Due to the timelines imposed by the SHRA for internal grievances, the university has 45 calendar days from receipt of the EEO Informal Inquiry to conduct its investigation and respond back to the Complainant. The 45-day time limit may be extended due to unavoidable delays or occurrences; the Complainant and the university must mutually agree in writing to an extension, which may not exceed 15 calendar days.

5.5 External Filing of Discrimination Charge, Civil Suit or Criminal Charge.

The submission of a Complaint to the OIED pursuant to this procedure does not preclude an individual from filing an external charge of discrimination, harassment, or retaliation directly with the Equal Employment Opportunity Commission (EEOC), the Office of Administrative Hearings-Civil Rights Division (OAH-CRD), U.S. Department of Education, Office of Civil

Rights (OCR), or other relevant agency, nor does it prevent an individual from pursuing a related civil action or criminal charge.

6. PRELIMINARY REVIEW

- 6.1 Once a Complaint has been submitted to the OIED, an investigator will conduct a preliminary review of the Complaint to determine whether the Complaint alleges facts that, if true, might constitute a violation of NCSU POL 04.25.05 (Equal Opportunity and Non-Discrimination Policy). The Complainant is responsible for providing the basis of his or her Complaint to the investigator. Where the allegations are unclear or require clarification, the OIED investigator may seek additional information from the Complainant as part of the preliminary review.
- 6.2 When a preliminary review of a Complaint indicates that the allegations, if true, might constitute a violation of the Equal Opportunity and Non-Discrimination Policy ("policy violation"), the OIED will initiate an investigation to determine if the facts are true and to determine whether a policy violation has occurred.
- 6.3 When the preliminary review of the Complaint indicates the allegations within the Complaint would <u>not</u> constitute a policy violation, the investigator will administratively close the formal processing of the Complaint. The investigator will notify the Complainant in writing that the formal process has been administratively closed because the allegations, even if taken as true, would not constitute a policy violation. When a Complaint is administratively closed following a preliminary review, the closure precludes the issues raised in the Complaint from proceeding through the university grievance procedure. When appropriate, the OIED will consult with the supervisor to ensure that any inappropriate conduct is addressed, even when the allegations do not rise to the level of a policy violation.
- 6.4 If the Complaint raises issues (i.e. health/safety, research misconduct, tort claims) that would fall outside the OIED's area of responsibility but within the responsibilities of another campus unit, the OIED can refer the issues to the appropriate, responsible university administrator.
- 6.5 If administrative action is taken to address an employee's conduct prior to the commencement or completion of an OIED investigation, the OIED will make a determination as to whether to pursue the investigation or to administratively close the formal process.

7. INVESTIGATION

- 7.1 If the preliminary review indicates that an investigation should be initiated, the OIED will, at such time as is appropriate, notify the supervisor(s) of the respondent about the investigation. The OIED will also notify the respondent(s) after the investigation has been initiated and that the respondent will be provided with the opportunity to respond to the allegations.
- 7.2 Every effort will be made to complete the investigation within 60 calendar days of the filing or referral of the Complaint, however, the investigation period may be extended when deemed

necessary by the OIED (e.g., due to university holidays or breaks, based on availability of witnesses). (See section 5.4 regarding the time to complete formal processing of an EEO Informal Inquiry pursuant to the **SPA Employee Grievance Policy**.)

- 7.3 If a Complainant fails to respond to the OIED's requests to provide information regarding the Complaint or fails otherwise to participate in the investigation, the OIED may administratively close the formal process without issuing a determination or may issue a determination based on the information available in the record.
- 7.4 If during the course of the investigation the OIED becomes aware of any retaliation or interference in the investigation by the Complainant, Respondent or any witness, the OIED will refer such issue to Employee Relations, the appropriate supervisor, or, in the case of students, to the Office of Student Conduct.

8. NOTIFICATION

- 8.1 Once the investigation has concluded, the OIED will evaluate the information collected during the investigation (e.g., documents, interview notes) and apply a preponderance of evidence (more likely than not) standard to determine whether a policy violation is substantiated.
- 8.2 For Complaints involving sexual misconduct, the Complainant's past sexual history will not be considered in determining whether a policy violation occurred.
- 8.3 The OIED investigator's findings and determination will be included in a written report.

9. DETERMINATION

9.1 Notification to Parties.

The OIED will notify the parties in writing of the outcome of the investigation and determination as to whether any policy violation was substantiated. If the OIED determines there has been no policy violation, the notification to the parties concludes the formal process. If the Complaint was referred to the OIED through a University Grievance Procedure, the OIED will notify the appropriate entity that the formal process has concluded.

9.2 Written Report.

The OIED's written report will be provided to the appropriate supervisor(s), with a copy provided to Office of General Counsel and Employee Relations.

9.3 Confidential Information.

The notification letters to the parties and the OIED written report shall be treated as confidential to the extent that they contain student information protected under federal privacy law (i.e. FERPA) or employee information protected under state law (i.e. SHRA). Violation of the

confidentiality requirement may result in disciplinary action for anyone disclosing such confidential information. Confidential student and employee information includes information that can identify persons who are Complainants, Respondents or witnesses.

10. CORRECTIVE ACTION

- 10.1 In cases where a policy violation is substantiated, the OIED investigator will meet with the appropriate supervisor(s) and others as needed (e.g., Office of General Counsel, Employee Relations) to discuss taking appropriate corrective action, including possible disciplinary action, to resolve the policy violation and prevent its recurrence.
- 10.2 The appropriate supervisor(s) will notify the OIED of what corrective action(s) have been taken to address the policy violation.
- 10.3 Complainants will be notified that the corrective action taken to address the policy violation, however, Complainants are not authorized to access to the confidential employment information contained in another employee's personnel file, unless as permitted by law.

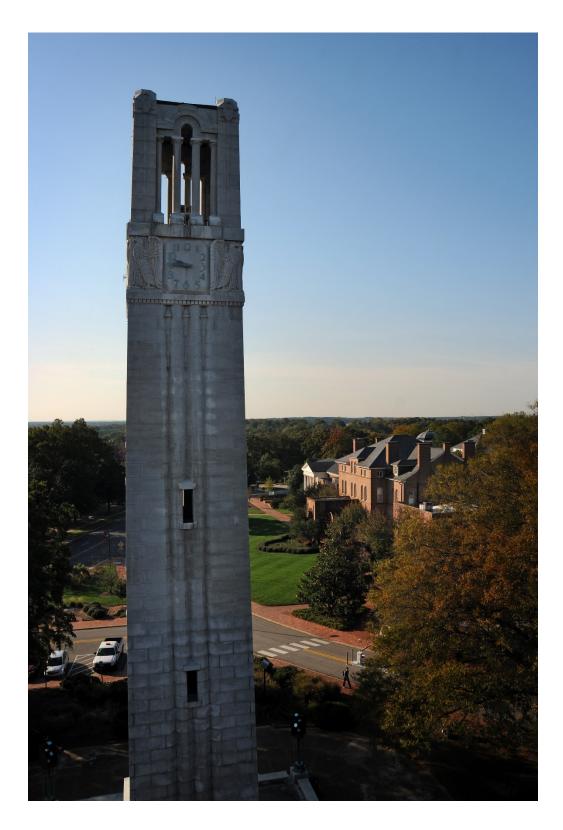
11. COMPLAINT RESOLUTION

- 11.1 If the corrective action taken meets the university's obligations to address the policy violation and resolves the Complaint to the Complainant's satisfaction, the formal process will be concluded and closed.
- 11.2 If the corrective action taken meets the university's obligations to address the policy violation, but the Complainant remains unsatisfied, the Complainant may seek to pursue any rights he or she may otherwise have available.

12. INFORMAL PROCESS(ING)

- 12.1 At any time after submission of a Complaint to the OIED, a Complaint may be resolved through an informal process provided that: (1) the Complainant(s) and Respondent(s) mutually agree to the terms and conditions of any proposed resolution agreement, and (2) the OIED approves the proposed resolution agreement.
- 12.2 At any time from the submission of the Complaint, either party or the OIED may suggest a confidential, non-binding mediation of the dispute. Both parties must agree to participate in the mediation and agree to the resolution that arises from the mediation. In addition, OIED must approve the proposed resolution agreement. Mediation is not an option for resolution of allegations of sexual harassment that involve sexual violence.
- 12.3 Allegations of discrimination, harassment, or retaliation that are untimely or that are reported to the OIED as concerns (and not submitted as a Complaint for formal processing) will be reviewed, investigated as appropriate, and addressed through corrective action (if applicable)

to meet the university's legal obligations. However, the OIED is not required to follow the formal process and has greater flexibility in handling and resolving these types of allegations.



NC State Investment Fund, Inc.

NC State University, Campus Box 7207, Raleigh, NC 27695-7207

Inception Date: April 1, 1999 June 30, 2019

MARKET COMMENTARY

Global markets rebounded from a volatile fourth quarter 2018 with an exceptional first quarter 2019, followed by a solid second quarter with strong gains in both equity and bond markets. Despite solid returns in the 2nd quarter, volatility remained, with two positive months sandwiched around a significant drawdown in May. The May performance reflected a breakdown of trade talks between the U.S. and China. Indications of progress on the trade front paired with increasingly accommodative central bank rhetoric in June led to a strong recovery and positive returns for most developed and emerging markets. Domestically, the unemployment rate remains low and inflation is muted. Leading indicators are still positive, while slowing, and barring any exogenous or unexpected shocks, do not point to an imminent recession in the near term. However, markets are pricing in a near certain probability of a rate cut by the Federal Reserve in the month of July.

Domestic equities, as measured by the S&P 500 Index, produced another strong quarter for investors, gaining 4.3%. Mid-cap stocks, as measured by the Russell Mid Cap Index performed in line with their larger counterparts, returning 4.1%, while small-cap stocks (Russell 2000 Index), lagged with a gain of 2.1%. For the one-year period ended June 30, 2019, large- and mid-cap stocks were positive at 10.4% and 7.8%, respectively, while small-caps posted a one-year loss of -3.3%.

International equities, as measured by the MSCI EAFE Index, returned 4.0% for the quarter. Over the last year, the index was modestly positive, with a return of 1.6%. In the Eurozone, GDP and inflation have been low but stable, and current European Central Bank (ECB) President Mario Draghi has communicated his willingness to provide more accommodative policies. Subsequent to quarter end, Christine Lagarde was appointed to succeed Mr. Draghi, with her confirmation set for October. Expectations are that Ms. Lagarde will continue down the accommodative path set by Mr. Draghi.

Emerging markets, as measured by the MSCI Emerging Markets Index, were barely positive, gaining 0.8% for the quarter. Within emerging markets, China was particularly hurt in May by concerns over talks breaking down between the country and the U.S.

The second quarter of 2019 also saw a drop in 10-year Treasury yields following dovish communications by the Fed, with the benchmark rate beginning the quarter at 2.5% and ending at 2.0%. Similar to the first quarter, long-dated corporate debt, as measured by the Bloomberg Barclays US Long Corporate Index, produced some of the strongest returns within fixed income, gaining nearly 7.2% during the period, bringing its one-year total to nearly 15.2%. The more broadly diversified Bloomberg Barclays US Bond Index produced a return of 3.1% during the quarter, bringing its one-year gain to 7.9%.

Looking ahead, key market factors are monetary policy and trade. With central banks reiterating their willingness to be accommodative and to address slowing economic momentum, it appears that the global growth cycle isn't over. On the trade front, we continue to take two steps forward and one (or three) steps back, until a final resolution. The cautiously optimistic view of investors on trade and central bank policy has resulted in bond and equity markets sending conflicting signals on the economy with the yield curve remaining inverted while equities progress toward all-time highs.

PERFORMANCE

June 30, 2019							
Market Value	\$1	,073,436,0	02	Participar	Participants		
Performance	QTD	CYTD	1 Year	3 Year	5 Year	10 Year	
NCSIF	3.4%	8.8%	7.3%	10.3%	7.6%	9.4%	
Policy Index (1)	3.3%	12.4%	6.0%	8.4%	5.6%	8.9%	
Global Index (2)	3.6%	13.3%	6.7%	8.9%	5.4%	8.5%	
BNY E&F Univ (3)	3.0%	10.1%	5.5%	9.0%	5.7%	8.9%	
UNCIF	3.6%	8.7%	7.7%	10.6%	7.7%	8.9%	
NCSIF Private Assets	3.4%	4.0%	6.1%	10.1%	11.1%	12.3%	
BlackRock LPP	3.1%	13.2%	5.6%	8.9%	6.0%		

Note 1: Policy Index= NCSIF's Strategic Investment Policy Portfolio Index (SIPP)

Note 2: Global Index= 70% ACWI; 30% Barclay's Aggregate

Note 3: BNY Mellon Endowment & Foundation Universe Median Return— 138 portfolios are represented for the one-year period ended June 30, 2019

FUND PERFORMANCE

The NCSIF (Fund) is a large, diversified investment pool with a long-term perspective. At June 30, 2019 the Fund had \$1.07 Billion invested with several managers in a wide variety of asset classes. The largest manager for the Fund, UNCMC, managed 85.6% of the Fund's assets. The UNC Investment Fund (UNCIF) produced a three-month return of 3.6%, and a 12-month return of 7.7% for the period ended June 30, 2019. The NCSIF employs nine additional private equity managers, who oversee 2.1% of the portfolio. This portion of the Fund produced a three-month and 12-month return of 3.4% and 6.1%, respectively, for the period ended June 30, 2019. The Fund's Liquid Policy Portfolio (LPP), managed by Blackrock, was another 9.9% of the portfolio. This investment returned 3.1% for the three months and 5.6% for the 12 months ended June 30, 2019. The remaining 2.4% of the portfolio is invested in cash with the State Treasurer's Short-Term Investment Fund (STIF).

RISK METRICS

3 Year Period	Fund	UNCIF	Policy Index	MSCI ACWI
Annualized Return	10.3%	10.6%	8.4%	11.6%
Annualized Volatility	4.2%	4.0%	7.2%	11.3%
Annual Sharpe Ratio (1)	2.44	2.65	1.16	1.03
Correlation to Global Index	0.91	0.87	0.98	0.99
Max Drawdown	-4.1%	-3.7%	-8.5%	-14.2%

Note 1: Sharpe Ratio: Excess return per unit of risk

This report is based on information available at the time of distribution. The information comprising this report has not been audited and is subject to change.

Fourth Quarter Fiscal Year 2019

NC State Investment Fund, Inc.

NC State University, Campus Box 7207, Raleigh, NC 27695-7207

Inception Date: April 1, 1999 June 30, 2019

INVESTMENT STRATEGY

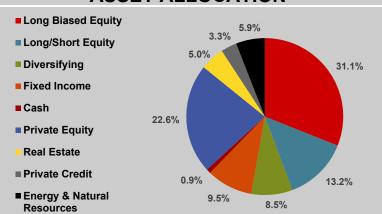
The Fund is managed as a broadly diversified portfolio with exposure to seven primary asset classes and many sub-strategies within each asset class. The Fund seeks to diversify exposure to the sub-strategies through the use of multiple investment managers that utilize a variety of investment approaches. The purpose of diversification is to provide reasonable assurance that no single security, class of securities, or investment manager has a disproportionate impact on the Fund's aggregate results. At times, the Fund invests in passive strategies. In working toward the Fund's investment strategy, through UNCMC, the Fund invests in a number of niche managers that can employ different types of hedging strategies such as short-selling and derivative investing to help reduce the volatility of the Fund. The focus on controlling volatility preserves capital and benefits Fund participants through the power of compounding.



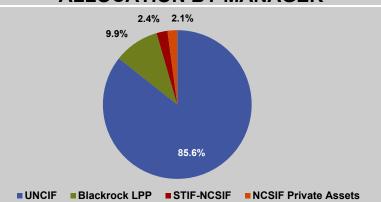
UNC MANAGEMENT CO.

The UNCMC was established on January 1, 2003 as an exempt 501 (c)(3) organization. It is a professionally-staffed asset management company created to provide investment services to the University of North Carolina at Chapel Hill and its affiliated entities, to the constituent institutions of the UNC system and system affiliated foundations, associations, trusts, and endowments. With over 40 employees, UNCMC has two teams, Investment Management and Operations. The Investment Management team manages all public and private investments of the UNCIF. Their responsibilities include evaluating and monitoring investment managers, recommending changes to investment objectives and asset allocation, monitoring risk, and implementing investment decisions approved by the UNCIF's Executive Committee. The Operations Team performs all administrative, legal, compliance, accounting, and performance reporting duties.

ASSET ALLOCATION



ALLOCATION BY MANAGER



HISTORY OF THE FUND

The NC State Investment Fund, Inc., (Fund) was established in April 1999 to combine NC State University's and its affiliated entities' endowments in an external pooled investment vehicle. The goal of the investment program for the Fund is to provide a real total return from assets invested that will preserve the purchasing power of Fund capital, while generating an income stream to support the spending needs of the University. Effective July 2008, the Fund partnered with UNC Management Company (UNCMC) to invest in the UNC Investment Fund (UNCIF), with the remaining investments committed to a Liquid Policy Portfolio (LPP) of Exchange Traded Funds (ETF's) and to three private equity managers which includes an allocation for cash to fund capital calls. The transition of assets to UNCMC was completed December 2009.

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Fourth Quarter Fiscal Year 2019

NC State Intermediate Term Fund

NC State University, Campus Box 7207, Raleigh, NC 27695-7207

Inception Date: July 3, 2014 June 30, 2019

FUND HISTORY AND PHILOSOPHY

As a pooled fund for the collective investment of operating funds, the NC State Intermediate Term Fund (ITF) consists of Participants' excess cash balances, which are defined as funds not needed for normal operating purposes. Generally, the ITF will not include operating funds needed within the next year, endowed funds or those funds that are specifically excluded by law or contractual agreement.

The decision to invest funds takes into account various factors including duration, credit, concentration, and manager risk, along with total return, suitability, and the experiences, quality and capability of external managers.

The primary investment objectives of the ITF are: 1) Preservation and safety of principal; 2) Liquidity; and 3) Maximization of returns within acceptable levels of risk. Because of current concerns about potential changes in monetary policy and rising interest rates, duration is limited. The investment policy calls for an average weighted maturity between one and five years, with an overall credit rating in general of A+/A as rated by a nationally-recognized rating agency. However, for any mutual funds selected by the ITF, their respective approved investment policy guidelines supersede those of the ITF.

The ITF was established on July 3, 2014 with an initial investment of \$122 million. Additional investments were made during FY 2015 and FY 2017, bringing the total invested to \$193.83 million. The ITF can be compared with the State Treasurer's Short-Term Investment Fund (STIF) on both a total return and on an SEC yield basis. In order to provide a buffer for changes in the NAV of the different investments, some of the excess earnings are being used to create a loss reserve. FMV fluctuates on a day-to-day basis.

BlackRock's SIO was liquidated from the fund on June 30, 2016. Proceeds were invested in Vanguard's Short Term Fund and DoubleLine's Total Return Fund on July 1, 2016. Additionally, a small position with PIMCO Income was initiated on November 2, 2016. The ITF's position in JP Morgan was liquidated on September 27, 2017 and PIMCO Low Duration was liquidated on October 2, 2017. The proceeds from these liquidations were reinvested into Vanguard's Short Term Fund and PIMCO Income, bringing the total cost basis to \$190.4 million.

PERFORMANCE

Market Value	\$188,521,296			
Performance	QTD	CYTD	1 Year	3 Year
ITF Fund	2.1%	4.5%	6.0%	2.6%
Barclays 1-3 yr Treasury	1.5%	2.5%	4.0%	1.3%
Barclays Universal 1-5 yr	2.0%	4.0%	5.6%	2.2%
DoubleLine	2.3%	4.4%	6.4%	2.9%
PIMCO Income	2.4%	5.5%	6.9%	
Vanguard Short Term	1.9%	4.2%	5.5%	2.3%

STATISTICS	Fund	Index (1)
Volatility	1.3%	1.4%
Sharpe Ratio	0.0	0.0
SEC Yield	3.1%	3.0%
Effective Duration	2.2	2.6
FYTD Net Interest &	¢6 720 204	N/A
Dividends**	\$6,730,281	/V/A
Net Interest & Dividends Since Inception**	\$24,323,590	N/A

**Net of Fund expenses

Note 1: The iShares Core 1-5 Year Bond ETF is used as a proxy for the benchmark for Statistics information

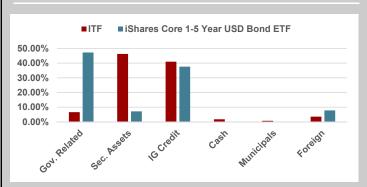
MANAGERS

Vanguard's Short Term Fund has a low tracking error and is a low cost portfolio option consisting primarily of investment grade corporates.

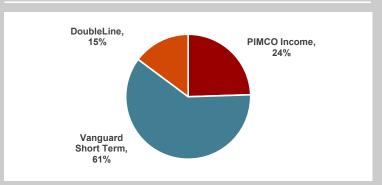
PIMCO's Income Fund is a portfolio of a broad range of intermediate-duration bonds that is actively managed to maximize current income while maintaining a relatively low risk profile.

DoubleLine's Total Return Fund seeks to maximize total return by exploiting inefficiencies within the subsectors of the mortgage market while maintaining active risk management constraints.

SECTOR ALLOCATION



MANAGER ALLOCATION



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Fourth Quarter Fiscal Year 2019

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY

Buildings and Property Committee
Time: 10:15 – 11:30 a.m., November 21, 2019
Winslow Hall Conference Room
Jimmy Clark, Chair
Members: Andrews, Carter, Harrell, Poole, Prestage

AGENDA

CALL TO ORDER

Jimmy Clark, Chair, Buildings and Property Committee

- Roll Call
- Reading of the State Government Ethics Act

1. MINUTES

Jimmy Clark, Chair, Buildings and Property Committee

TAB 1

Approval of September 12, 2019 meeting minutes

5.1.A

2. PROPERTY MATTERS

TAB 2

Harlan Stafford, Interim Associate vice Chancellor, University Real Estate and Development

- Acquisition by Lease. Combine, restructure, and extend two existing strategic space leases for the entirety of 407 Gorman Street into a single long-term strategic space lease. Securing this two-story commercial office building space lease will allow for continued use of the building and associated parking by the NCSU TRIO Programs and will provide conveniently located office space for near- and longer-term strategic space needs.
- Acquisition by License. North Carolina State University, on behalf of
 The student Entrepreneurship Garage ("the Garage") program,
 requests to execute a license agreement with HQ Raleigh, LLC to
 occupy office and classroom space to house its program within the
 HQ @ Centennial Co-working space in Partners I on Centennial
- √ Requires full board approval
- Materials will be distributed to committee members at the meeting

Buildings and Property Committee Page 1

BUILDINGS AND PROPERTY COMMITTEE NORTH CAROLINA STATE UNIVERSITY November 21, 2019

Campus. Acquisition is recommended to enhance student experience and success within the program by collocating with business owners and entrepreneurs.

- Disposition by Easement. Duke Energy Progress has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of a new junction box and underground electric service from an existing underground line on Carter Finley property to shared property line with PNC Arena.
- Disposition by Easement. Duke Energy Progress has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of underground electric service from the shared property line with Carter Finley property to the three new electric vehicle-charging stations on PNC Arena property.
- ✓ Disposition by Demolition. The North Carolina State University Office 5.2.A.5 of Real Estate and Development has requested the demolition of a residential structure located on Hope Street. Demolition is requested due to the vacancy and poor condition of the structure, which has created a public safety concern for the university.

3. DESIGNER AND DESIGN-BUILD SELECTIONS Doug Morton, Associate Vice Chancellor, Facilities Outdoor Aquatics Facility Greek Village Phase 4 Thermal Utilities Partners II & Toxicology Approval of Designer Selections \$1 million or Less TAB 3 5.3.A.1

TAB 4

5.4.A

4. ACCEPTANCE OF COMPLETED BUILDINGS AND PROJECTS

Doug Morton, Associate Vice Chancellor, Facilities

 The University and Office of State Construction have accepted the attached list of completed buildings and projects with dollar values greater than \$2,000,000. The University has accepted the attached list of completed buildings and projects with dollar values less than \$2,000,000. All are recommended to the Buildings and Property

√ Requires full board approval

Buildings and Property Committee Page 2

¹ Materials will be distributed to committee members at the meeting

BUILDINGS AND PROPERTY COMMITTEE NORTH CAROLINA STATE UNIVERSITY November 21, 2019

Committee for formal acceptance. This listing represents buildings and projects received since the September 20, 2018 meeting.

5.	PROPERTY MATTERS (Received after Full Board Mailing)	TAB 5
6.	NON-APPROPRIATED CAPITAL IMPROVEMENT PROJECTS Doug Morton, Associate Vice Chancellor, Facilities	TAB 6
	 Approval Non-Appropriated Capital Improvement Projects less than \$750,000. 	5.6.A
7.	SITE AND PLAN REVIEW / APPROVAL	TAB 7
	Lisa Johnson, University Architect	
	Varsity Drive Parking Lot	5.7.A.1
	Fitts-Woolard Plaza Design	5.7.A.2
	Elevator Additions – Tucker and Owen Residence Halls	5.7.A.3
	Approval of Plans and Specifications of Formal Projects less than \$2 million	5.7.A.4
8.	INFORMATIONAL REPORTS	TAB 8
	Capital Projects Update (Doug Morton)	5.8.A.1
	 Status of Projects in Planning (Lisa Johnson) 	5.8.A.2
	Centennial Campus Update (Harlan Stafford)	5.8.A.3
	 Overall Occupancy of private and receipt-supported buildings 	
	 Upcoming sales transactions 	
	 Innovation District 	
	 Fitts-Woolard Hall 	
	 Plant Sciences Building 	

ADJOURN

[√] Requires full board approval

¹ Materials will be distributed to committee members at the meeting

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY

Buildings and Property Committee

Meeting Date: September 12, 2019

Minutes

Meeting No. 18-19:5

Location: Winslow Hall Conference Room

Time: 10:15 – 11:31 a.m.

Committee Members Present:

Mr. Jimmy D. Clark, Chair

Mr. Robert "Chip" Andrews, III

Mr. Ven Poole

Mr. James A. "Jim" Harrell, III

Dr. Ronald W. Prestage

Ms. Emma Carter

Other Board of Trustees Members Present

Mr. Thomas E. Cabaniss

Mrs. Ann B. Goodnight

Mr. Stanhope A. Kelly

Mr. Wendell H. Murphy

Mr. Edwin J. "Ed" Stack, III

Mr. Dewayne N. Washington

Mr. Edward I. "Ed" Weisiger, Jr.

Present from the University:

Mr. W. Randolph "Randy" Woodson, Chancellor

Mr. Marc Hoit, Vice Chancellor for IT & CIO, Office of Information Technology

Mr. Kevin Howell, Vice Chancellor, External Affairs, Partnerships & Economic Development

Ms. Allison Beth Newhart, Vice Chancellor & General Counsel, Office of General Counsel

Mr. Brian C. Sischo, Vice Chancellor, Office of University Advancement

Ms. Mary Peloquin-Dodd, Interim Vice Chancellor, Finance & Administration

Mr. Michael S. Fausnight, Associate General Counsel, Office of General Counsel

Ms. Barbara Moses, Associate Vice Chancellor, Budget & Resource Management

Buildings and Property Committee September Minutes Page 1

- Mr. Harlan Stafford, Interim Associate Vice Chancellor, University Real Estate & Development
- Mr. Imran Aukhil, Assistant Director, University Real Estate & Development
- Ms. Catherine Horn, Office of Faculty Affairs
- Ms. Lisa K. Johnson, University Architect, Office of the University Architect
- Ms. Sarah Kwon, Executive Assistant to the Associate Vice Chancellor, Facilities Division
- Ms. Catherine Phillips, Director of Operations, Analysis & Planning, Finance & Administration
- Ms. Lisa S. Van Roekel, Property Specialist-Leasing, University Real Estate & Development
- Mr. Cameron Smith, Senior Director, Capital Project Management
- Dr. Janice D. Sitzes, Associate Director, Marketing Services, Office of Professional Development
- Ms. P. J. Teal, Secretary of the University & Assistant to the Chancellor
- Ms. Melissa Young, Assistant to University Architect, University Architect's Office

CALL TO ORDER

Chair Clark called the meeting to order at 10:15 a.m.

ROLL CALL

Chair Clark called the roll. All members were present.

STATE GOVERNMENT ETHICS ACT

Chair Clark reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act. He inquired as to whether there were any known conflicts of interest with respect to any matters coming before the Buildings and Property Committee at this meeting.

MINUTES

Chair Clark asked whether there were any corrections to the April 18, 2019 meeting minutes. There being none, Chair Clark declared the minutes approved as drafted.

2019-2020 COMMITTEE PLAN OF WORK - RESPONSIBILITIES & PROCEDURES

Chair Clark asked Ms. Lisa Johnson to review the overall Committee's Plan of Work and Responsibilities & Procedures for this fiscal year. Ms. Johnson explained the plan of work describes the information presented at each committee meeting during the year to give members a review of specific state funded building projects. Committee responsibilities are from the UNC Code as delegated by the UNC Board of Governors. Ms. Johnson outlined items as follows: review the campus master plan, oversight of capital projects, approval of matters related to disposition and acquisition of properties, policies related to the use of campus facilities including transportation regulations and fees. Ms. Johnson explained she would be contacting them throughout the year requesting their participation to attend designer, designbuild and/or construction manager at risk interviews. Ms. Johnson explained that she would also

send emails to the committee to approve designer, design-build and/or construction manager at risk selections.

FULL BOARD APPROVAL PROPERTY MATTERS

Chair Clark asked Mr. Harlan Stafford to present the nine property matters that require full board.

- ✓ Disposition by Lease to Tread Happy (or affiliated entity) previously leased retail space at 2010 Hillsborough Street. This property disposition by space lease will provide income for the maintenance and upkeep of the property.
- ✓ Acquisition by Lease on behalf of the Small Business and Technology Development Center ("SBTDC"), whose existing space lease expires on September 30, 2019, requests a lease for strategic office space, preferably in its current or comparable location, to house its regional offices in Asheville, NC. SBTDC requires space to meet confidentially with business owners in order to counsel and provide entrepreneurs with the tools they need to build and grow successful businesses, which positively impacts NC's economy.
- ✓ Disposition by easement. The City of Raleigh has requested an Amendment of an existing utility easement to allow for the continuing development of Centennial Campus. A portion of the existing easement along Main Campus Drive will be realigned in length and route to allow the City of Raleigh to operate within existing infrastructure installed by the university. The proposed new easement area will be +/- 1,400 sf ~ 0.321 ac.
- ✓ Disposition by easement. Duke Energy Progress has requested the Conveyance of a utility easement to install a power line on and for the benefit of the NCSU Butner Beef Cattle Field Lab (BBCFL) property. The power line will run from an existing service line on the BBCFL property to a well located on adjacent property leased by BBCFL. The proposed new easement area will be +/- 5,966 sf ~ 2.74 ac.
- ✓ Disposition by easement. AT&T has requested the conveyance of an easement for installation of underground fiber optic cable from an existing manhole on Method Road to a communication room located at 3121 Ligon Street to provide a redundant connection of the 911 circuit between NC State Campus Police and the Wake County 911 Center. The proposed new easement area will be +/- 120 sf ~ 0.0028 ac.
- ✓ Disposition by Demolition. The College of Agriculture and Life Sciences has requested the demolition of three structures located on West Campus on Ligon Street, due to poor condition of the structures. The structures are as follows the Small Animal Research Building, the Modular Dinoflagellate Facility and the Aquatic Research Fish Lab.
- ✓ Disposition by Demolition. The Real Estate Department has requested the demolition of the Centennial Campus Information Booth located on Centennial Campus at Varsity Drive due to poor condition of the structure.

- ✓ Acquisition by Purchase of +/- 40.99 ac. to be added to the existing Central Crops Research Station in Wake Co. The College of Agriculture and Life Sciences currently leases the property for the study of weed management.
- ✓ Acquisition by Purchase of +/- 12 ac. to be added to the existing Lake Wheeler Field Lab in Wake Co. This property is centrally located within Lake Wheeler Field Lab and will be a strategic acquisition for the University.

Chair Clark called for a motion and a second to recommend to the full board for approval of the nine items as outlined by Mr. Stafford. Mr. Poole made the motion, which Dr. Prestage seconded. Chair Clark asked if there was any further discussion on the motion. There being none, he called for a vote. He announced the motion passed.

FULL BOARD ASSENT APPROVAL

Chair Clark asked Mr. Harlan Stafford to present the one property matter for assent received after the full board materials mailing.

✓ Disposition by reallocation. The subject property is being acquired by the Department of Transportation as part of the I-440 Expansion Project (STIP Project No. U-2719). The proposed reallocation area will be +/- 4.2 ac.

Chair Clark called for a motion for assent and a second to accept the one item for assent and recommend to the full board as outlined by Mr. Stafford. Dr. Prestage made the motion, which Mr. Harrell seconded. Chair Clark asked if there was any further discussion on the motion. There being none, he called for a vote. He announced the motion passed.

DESIGNER AND DESIGN-BUILD SELECTIONS

Chair Clark asked Ms. Lisa Johnson to discuss the Designer and Design-Build Selections. Ms. Johnson recommended approval of three designer and one design build selection, and approval of sixteen designer selections less than \$1 million.

Chair Clark called for a motion and a second to recommend approval of the designer and design-build selections as outlined by Ms. Johnson. Dr. Prestage made the motion, which Mr. Poole seconded. Chair Clark asked if there was any further discussion on the motion. There being none, he called for a vote. He announced the motion passed.

ACCEPTANCE OF COMPLETED BUILDINGS AND PROJECTS

Chair Clark asked Ms. Lisa Johnson to present the completed buildings and projects for acceptance. Ms. Johnson requested acceptance of twenty-seven completed projects listed with a combined value of \$25.5 million. The list included acceptance of Reedy Creek Equine Farm, Murphy Broadcast Studio Phase II-ACC Network, and the Albright Sports Medicine Renovation located in the Murphy Center.

Chair Clark called for a motion and a second to recommend acceptance of the buildings and projects as outlined by Ms. Johnson. Dr. Prestage made the motion, which Mr. Harrell seconded. Chair Clark asked if there was any further discussion on the motion. There being none, he called for a vote. He announced the motion passed.

NON-APPROPRIATED CAPITAL IMPROVEMENT PROJECTS

Chair Clark asked Ms. Lisa Johnson to present the Non-Appropriated Capital Improvement Projects procedure and projects. Ms. Johnson stated the UNC Board of Governor's delegated authority to approve and administer non-appropriated capital improvement projects funded entirely with non-general fund money that are projected to be less than \$750,000. This procedure is reviewed annually to ratify full board approval. Ms. Johnson requested acceptance of five projects as listed in tab 5.8.A.1. Equipment Storage Facility at Lake Wheeler, Flooring Renovations – Carroll Residence Hall, Rifle Training Facility, Water Line Modifications at Ligon Street Bridge, and Roof Replacement – Partners II.

Chair Clark called for a motion and a second to recommend acceptance as outlined by Ms. Johnson. Mr. Poole made the motion, which Dr. Prestage seconded. Chair Clark asked if there was any further discussion on the motion. There being none, he called for a vote. He announced the motion as passed.

APPROVAL OF PLANS AND SPECS OF FORMAL PROJECTS LESS THAN \$2 MILLION

Chair Clark recognized Ms. Lisa Johnson to present the Plans and Specifications of Formal Projects less than \$2 million. Ms. Johnson requested acceptance of nineteen projects listed with a combined value of \$4.3 million.

Chair Clark called for a motion and a second to recommend approval of the Plans and Specifications of Formal Projects less than \$2 million as outlined by Ms. Johnson. Dr. Prestage made the motion, which Mr. Poole seconded. Chair Clark asked if there was any further discussion on the motion. There being none, he called for a vote. The motion passed.

INFORMATIONAL REPORTS

Chair Clark recognized Ms. Lisa Johnson to present the I-440 Widening Impacts update. Ms. Johnson stated as a result of this project the University would lose two buildings at Brickhaven

and one parking space. The Short Game Practice Facility will lose the wooded buffer and Access Drive will be relocated by the project. There will be a loss of two buildings at Brickhaven due to a right of way. I-440 will increase to ten lanes adjacent to 512 Brickhaven. Approximately eighty-five parking spaces will be replaced and telecom utility relocated. The Ligon Street Tunnel will be replaced with a bridge. This will impact the University with by a loss of land, relocating water lines, and relocating telecom utility by NCDOT.

Chair Clark recognized Ms. Lisa Johnson to present the Capital Projects at a Glance. Ms. Johnson stated the Creamery at Lake Wheeler and the DH Hill Academic Success Center projects are scheduled to be completed in June 2020. Ms. Johnson stated Fitts-Woolard is scheduled for completion in June 2020, and the Carmichael Addition is 30% complete and scheduled to be completed July 2020. She also stated that Plant Sciences should be complete in 2020.

Chair Clark recognized Ms. Lisa Johnson to provide an update on Projects in Planning. Ms. Johnson stated Fitts-Woolard project would not have the water feature as originally designed; instead, the area will consist of plaza seating designed for pedestrian circulation. Creating an inviting outdoor space that is flexible for university and departmental needs. The Campus Design Review Panel (CDRP) will review the site plan in September and the Buildings, Property Committee will review the site plan in the November meeting along with the Elevator Additions to Tucker and Owen Residence Halls. Ms. Johnson said the elevators are old and not compliant and they need to meet ADA requirements.

There being no additional business, the meeting adjourned at 11:31 a.m.

Respectfully submitted,

D. G. Morton
Secretary to the Committee

cc: Mary Peloquin-Dodd, Interim Vice Chancellor, Finance & Administration P.J. Teal, Assistant Secretary of the Trustees

Approved:

Committee Chair

Date

ACQUISITION OF REAL PROPERTY

LEASE

LESSOR Yogaan Real Assets, LLC

LESSEE The State of North Carolina, North Carolina State University

LOCATION 407 Gorman Street, Raleigh, NC 27607

SIZE +/- 8,100 rentable square feet of real property.

RATE Not to exceed \$24.00/8,100 rsf (\$194,400/year) with 3% annual escalation

TERM Ten (10) years

USE Acquisition by Lease. North Carolina State University requests permission to

combine, restructure, and extend two existing strategic space leases for the entirety of 407 Gorman Street into a single long-term strategic space lease. Securing this two-story commercial office building space lease will allow for continued use of the building and associated parking by the NCSU TRIO Programs and will provide conveniently located office space for near- and longer-term strategic space needs.

Form PO-1
Original and one copy to to State Property Office

STATE OF NORTH CAROLINA DEPARTMENT OF ADMINISTRATION RALEIGH

* ACQUISITION OF REAL PROPERTY

Institution or Agency: North Carolina State University

Date: October 21, 2019

The Department of Administration is requested, as provided by GS 146-22 et seq. to acquire the real property herein described by (purchase), (lease), (rental), or (other specify):

This Property is needed for the following reasons and purposes: North Carolina State University requests permission to combine, restructure, and extend two existing strategic space leases for the entirety of 407 Gorman Street into a single long-term strategic space lease. Securing this two-story commercial office building space lease will allow for continued use of the building and associated parking by the NCSU TRIO Programs and will provide conveniently located office space for near- and longer-term strategic space needs.

Name and Address of Present Owner: Yogaan Real Assets, LLC (Landlord); 3351 Granville Drive, Raleigh, NC 27609

Description of Property: (attach additional sheets if necessary).

407 Gorman Street, Raleigh, Wake County, NC. Commercial office building with adjacent surface parking lot that is in close proximity to NC State University's main campus; $\pm 8,100$ rentable square feet of typical office space. NCSU TRIO, a DASA program, is currently located on the first floor of the building. College of Education Scaling Up Digital Designs, a STEM program, is currently located on the second floor of the building.

Term: Proposed ten (10) year term commencing January 2020

Rental price (if applicable): Not to exceed \$24.00 per rentable square feet (\$194,400/year) with 3% annual increases. Cost includes utilities, ianitorial services, and required maintenance.

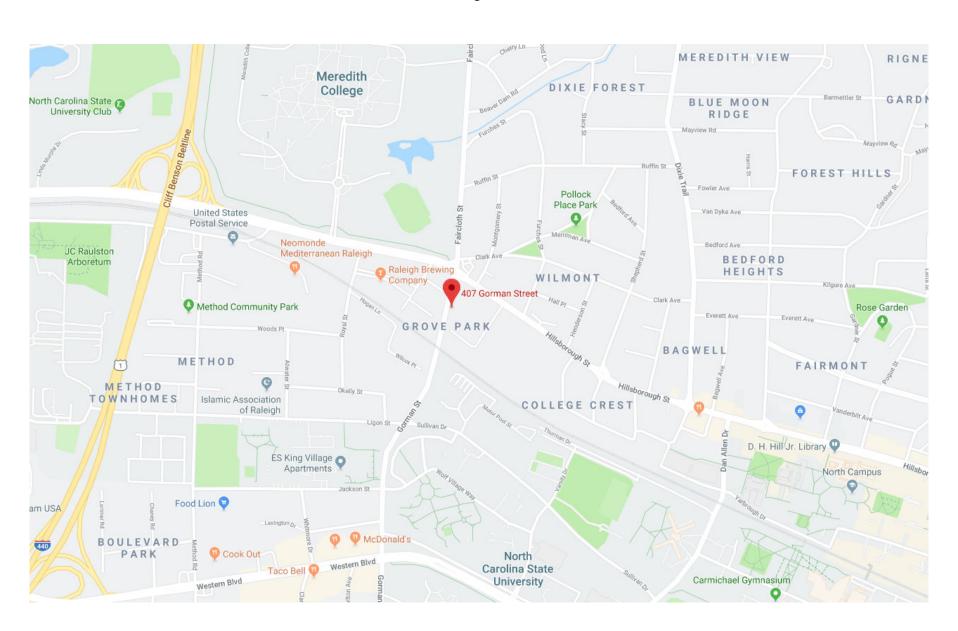
annual increases. Cost	includes utilities, janitorial service	es, and required maintenance.
Funding:		
Item:	Other:	
	r otherwise, that you believe wo	acquired, is there other real property available, uld, if acquired, fulfill the requirement of your
Action, recommending minutes thereof on \underline{N}		by the <u>Board of Trustees</u> and is recorded in the
	Signature	Chancellor

^{*} The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)

Vicinity Map

407 Gorman Street

Raleigh, NC



Building Image

407 Gorman Street



ACQUISITION OF REAL PROPERTY

LICENSE

LICENSOR HQ Raleigh, LLC

LICENSEE The State of North Carolina, North Carolina State University (on behalf of the Entrepreneurship Garage)

LOCATION 1017 Main Campus Drive, Centennial Campus

SIZE ~3,000 square feet of exclusive space; ~3,500 square feet of shared space

RATE \$100,000/year with 3% annual escalations

TERM Five (5) years with one (1) option to renew for three (3) years

USE Acquisition by License. North Carolina State University, on behalf of the student Entrepreneurship Garage ("the Garage") program, requests to execute a license agreement with HQ Raleigh, LLC to occupy office and classroom space to house its program within the HQ @ Centennial co-working space in Partners I on Centennial Campus. Acquisition is recommended to enhance student experience and success within the program by collocating with business owners and entrepreneurs.

Form PO-1 Original and one copy to to State Property Office

STATE OF NORTH CAROLINA DEPARTMENT OF ADMINISTRATION RALEIGH

* ACQUISITION OF REAL PROPERTY

Institution or Agency: North Carolina State University

Date: October 21, 2019

The Department of Administration is requested, as provided by GS 146-22 et seq. to acquire the real property herein described by (purchase), (lease), (rental), or (other specify): License

This Property is needed for the following reasons and purposes: North Carolina State University, on behalf of the student Entrepreneurship Garage ("the Garage") program, requests to execute a license agreement with HQ Raleigh, LLC to occupy office and classroom space to house its program within the HQ @ Centennial co-working space in Partners I on Centennial Campus. Acquisition is recommended to enhance student experience and success within the program by collocating with business owners and entrepreneurs.

Name and Address of Present Owner: HQ Raleigh, LLC (Licensor), 310 S. Harrington Street, Raleigh, NC 27603; The State of North Carolina, North Carolina State University (Master Licensor)

Description of Property: (attach additional sheets if necessary).

Partners Building I, 1017 Main Campus Drive, Centennial Campus, portion of Suite 1650, high-bay. The Garage will license the exclusive use of six (6) private offices, one (1) student makerspace, one (1) classroom (accommodating 50 people) totaling approximately 3,000 square feet. Additionally, the Garage will have non-exclusive use of conference rooms and common areas.

Term: Proposed five (5) year term with one (1) option to renew for three (3) years

Rental price (if applicable): \$100,000 per year with 3% annual increases. Cost includes utilities, janitorial services, and required maintenance.

Funding: Mixture of endowment funding and appropriations

Item: Project ID #667244 Other:

In the event the above described real property is not acquired, is there other real property available, owned by the State or otherwise, that you believe would, if acquired, fulfill the requirement of your agency? If so, give details. No.

Action, recommending the above request, was taken by the <u>Board of Trustees</u> and is recorded in the minutes thereof on November 22, 2019.

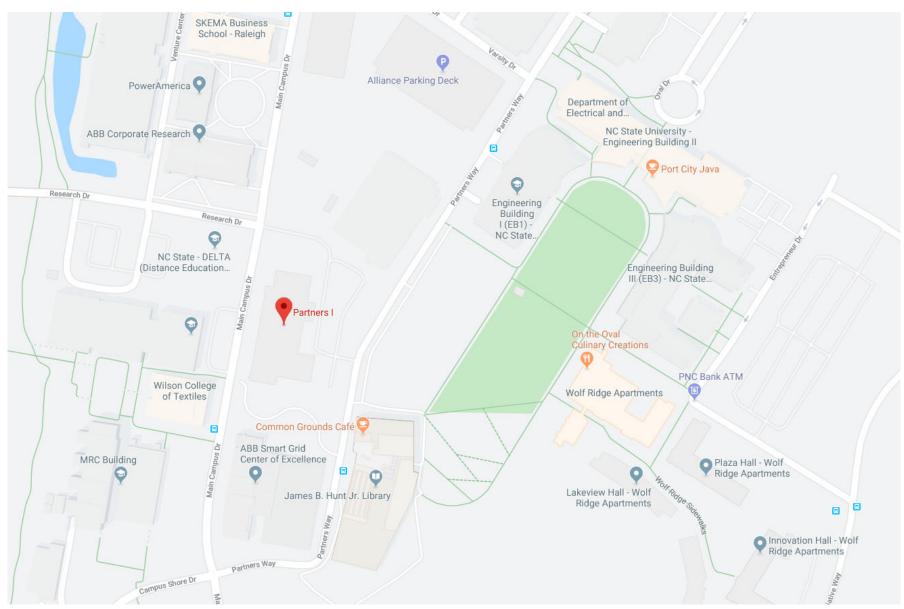
Signature_		
	Chancellor	

^{*} The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)

Vicinity Map

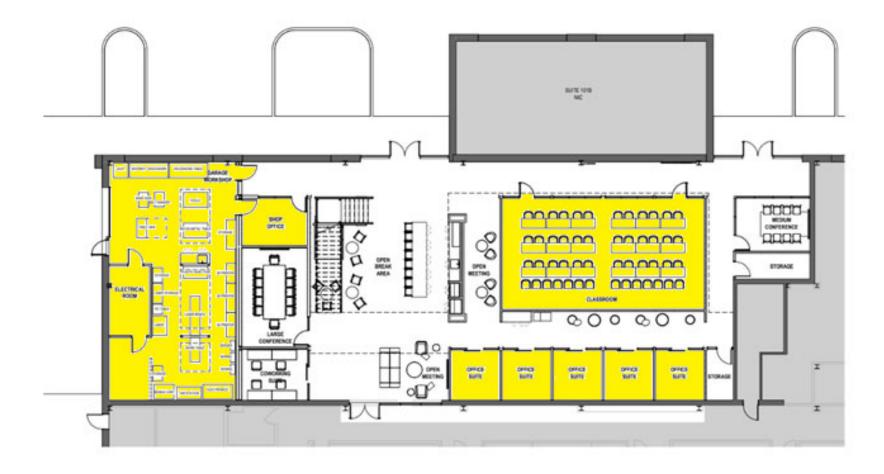
Partners I

Raleigh, NC



Floor Plan highlighting the Entrepreneurship Garage's exclusive space

Partners I Suite 1650



DISPOSITION OF REAL PROPERTY EASEMENT

GRANTOR The State of North Carolina

GRANTEE Duke Energy Progress, LLC

LOCATION Carter Finley, West Campus Precinct, North Carolina State University, Raleigh,

NC

SIZE 625 sf (+/-0.014 ac.)

RATE Benefit

TERM Perpetual Utility Easement

USE Disposition by easement. Duke Energy Progress, LLC has requested the

conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of a new junction box and underground electric service from an existing underground line on Carter Finley property to the shared property line with PNC Arena. The proposed new easement area will be twenty-five feet wide and approximately 25

feet long (625 sf, +/- 0.014 acres).

Form-PO 2 Original and one copy to to State Property Office

STATE OF NORTH CAROLINA

Department of Administration *DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University **Date:** October 21, 2019

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify):

Utility Easement

The disposition is recommended for the following reasons:

Disposition by easement. Duke Energy Progress, LLC has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of a new junction box and underground electric service from an existing underground line on Carter Finley property to the shared property line with PNC Arena.

Description of Property:

This is the Carter Finley property in the West Campus precinct of North Carolina State University, Raleigh, North Carolina. The proposed new easement will encompass a new junction box and extend 10 feet to the shared property line with PNC Arena property. The proposed new easement area will be twenty-five feet wide and approximately 25 feet long (625 sf, +/- 0.014 acres).

Term: Perpetual

Estimated value: Benefit

Where deed is filed, if known: Wake County Register of Deeds

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms: N/A

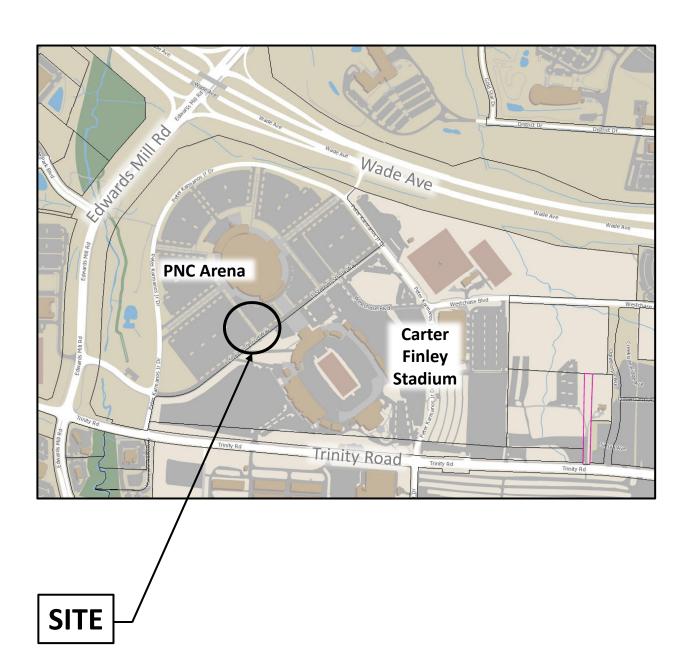
Funds from the disposal of this property are recommended for the following use. N/A

Action recommending this transaction was taken by the Board of Trustees at its meeting held on November 22, 2019.

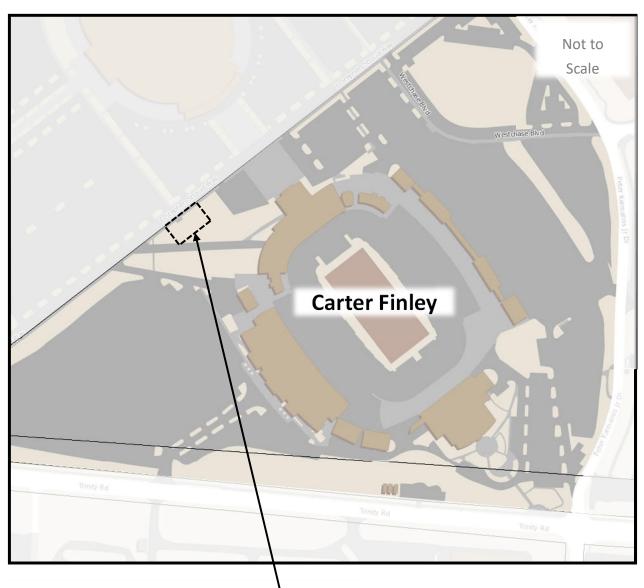
Signature		
	Chancellor	

^{*}The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)

Vicinity MapCarter Finley Stadium, West Campus, NC State University, Raleigh, NC Duke Energy Progress Easement



Site MapCarter Finley, West Campus, NC State University, Raleigh, NC
Duke Energy Progress Easement



Proposed Easement Area

DISPOSITION OF REAL PROPERTY **EASEMENT**

GRANTOR The State of North Carolina

GRANTEE Duke Energy Progress, LLC

LOCATION PNC Arena, West Campus Precinct, North Carolina State University, Raleigh,

NC

SIZE 8,225 sf (0.189 ac)

RATE Benefit

TERM Perpetual Utility Easement

USE

Disposition by easement. Duke Energy Progress, LLC has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of underground electric service from the shared property line with Carter Finley property to the three new electric vehicle charging stations on PNC Arena property. The proposed new 20 foot wide easement will run approximately 380 feet from the shared property line with Carter Finley to a new transformer set on PNC Arena property; together with an area surrounding the new transformer of approximately 625 sf. Total proposed new easement area will be approximately

8,225 sf (0.189 ac).

Form-PO 2 Original and one copy to to State Property Office

STATE OF NORTH CAROLINA

Department of Administration *DISPOSITION OF REAL PROPERTY

Institution or Agency: North Carolina State University **Date:** October 21, 2019

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify):

Utility Easement

The disposition is recommended for the following reasons:

Disposition by easement. Duke Energy Progress, LLC has requested the conveyance of an easement to support the installation of three new electric vehicle charging stations. This easement would allow for installation of underground electric service from the shared property line with Carter Finley property to the three new electric vehicle charging stations on PNC Arena property.

Description of Property:

The property is ground leased to the Centennial Authority for the PNC Arena in the West Campus precinct of North Carolina State University, Raleigh, North Carolina. The proposed new 20 foot wide easement will run approximately 380 feet from the shared property line with Carter Finley to a new transformer set on PNC Arena property; together with an area surrounding the new transformer of approximately 625 sf. Total proposed new easement area will be approximately 8,225 sf (0.189 ac).

Term: Perpetual

Estimated value: Benefit

Where deed is filed, if known: Wake County Register of Deeds

If deed is in the name of agency other than applicant, state the name. N/A

Rental income, if applicable, and suggested terms: N/A

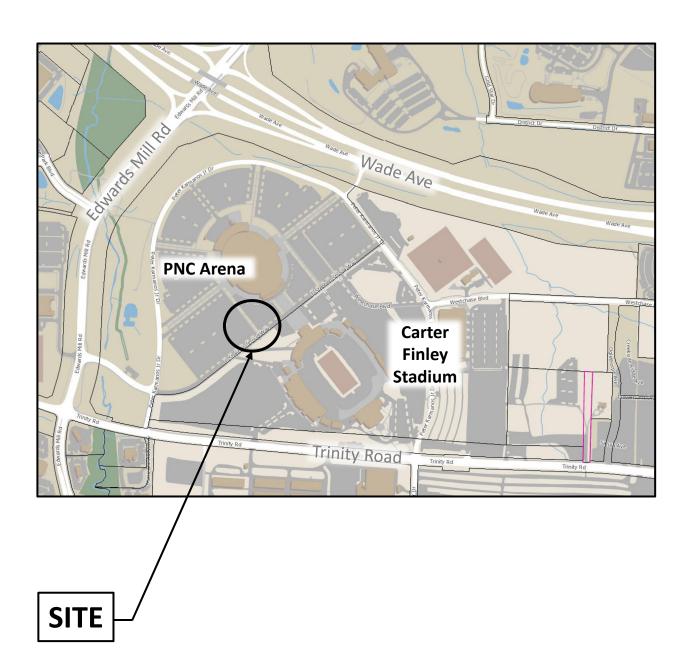
Funds from the disposal of this property are recommended for the following use. N/A

Action recommending this transaction was taken by the Board of Trustees at its meeting held on November 22, 2019.

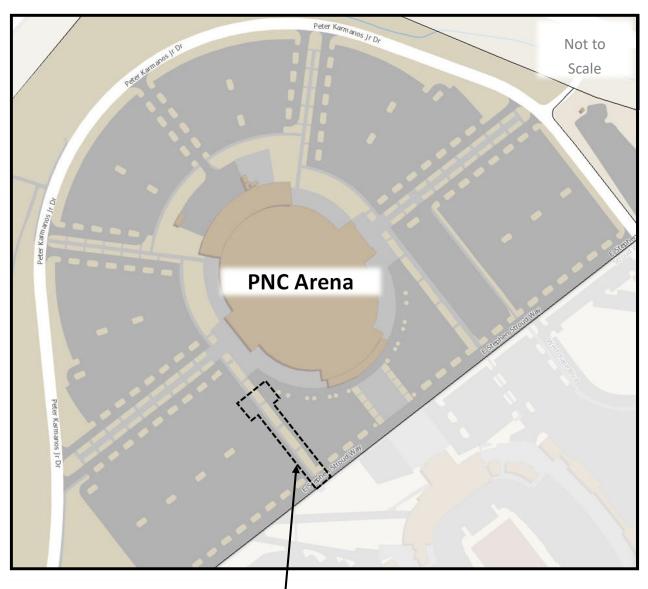
Signature_	
	Chancellor

^{*}The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)

Vicinity MapPNC Arena, West Campus, NC State University, Raleigh, NC
Duke Energy Progress Easement

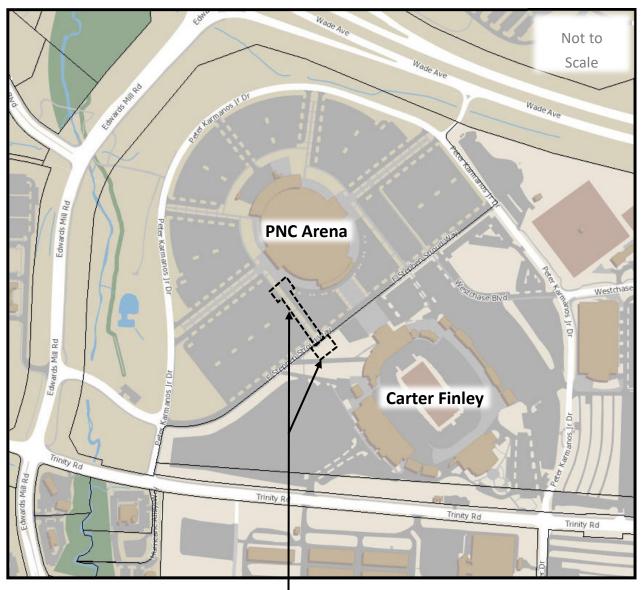


Site MapPNC Arena, West Campus, NC State University, Raleigh, NC
Duke Energy Progress Easement



Proposed Easement Area

Site MapCarter Finley & PNC Arena, West Campus, NC State University, Raleigh, NC Duke Energy Progress Easement



Proposed Easement Areas -

DISPOSITION OF REAL PROPERTY

DEMOLITION

GRANTOR The State of North Carolina

GRANTEE Not Applicable

LOCATION

2207 Hope St. Raleigh, NC 27607

SIZE

+/- 1384 square feet

RATE Not Applicable

TERM Not Applicable

USE This is a disposition by demolition. The North Carolina State University Office of

Real Estate and Development has requested the demolition of a residential structure located on Hope Street. Demolition is requested due to the vacancy and poor condition of the structure, which has created a public safety concern for the

university.

Form-PO 2 Original and one copy to to State Property Office

STATE OF NORTH CAROLINA

Department of Administration *DISPOSITION OF REAL PROPERTY

Institution or Agency: State of North Carolina **Date:** October 21st, 2019

The Department of Administration is requested, as provided by GS 146-28 to dispose of the real property herein described by (sale), (lease), (rental), or (other specify): Demolition

The disposition is recommended for the following reasons:

This is a disposition by demolition. The North Carolina State University Office of Real Estate and Development has requested the demolition of a residential structure located on Hope Street. Demolition is requested due to the vacancy and poor condition of the structure, which has created a public safety concern for the university.

Description of Property:

This is a disposition by demolition of a single family home containing +/- 1384 square feet. The improvements are located at 2207 Hope St. Raleigh, NC 27607.

Term: Not Applicable

Estimated value: Not Applicable

Where deed is filed, if known: Wake County Register of Deeds.

If deed is in the name of agency other than applicant, state the name. N/A

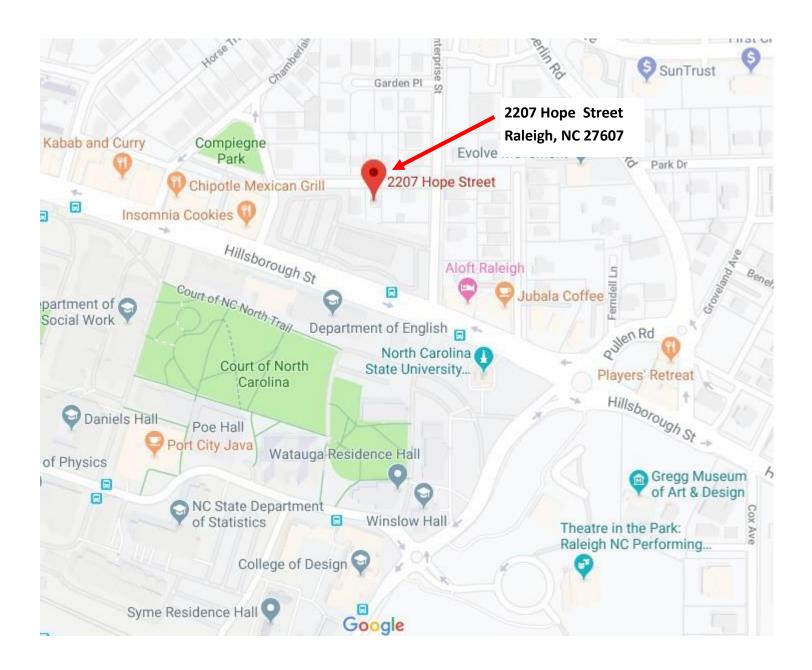
Rental income, if applicable, and suggested terms: N/A

Funds from the disposal of this property are recommended for the following use. N/A

Action recommending this transaction was taken by the Building and Property Committee of the Board of Trustees at its meeting held on November 22nd, 2019.

Signature		
	Chancellor	

^{*}The term "real property" includes timber rights, mineral rights, etc. (GS 146-64)





<u>Outdoor Aquatics Facility</u> <u>Total Project Scope – \$10M (Gifts/Receipts)</u>

05/24/19	Advertised in NC Purchase Directory				
06/12/19	Closing date for submittals (12 proposals received)				
07/20/19	Appointment of Selection Committee By Doug Morton, Secretary – Buildings and Property Committee				
07/31/19- 09/20/19	Selection Committee review: Ven Poole, Trustee Lisa Johnson, University Architect Bob Cwikla, Design, Project Manager, Capital Project Management Michael Lipitz, Senior Associate Athletics Director, Athletics Administration John Portland, Associate Athletics Director, Athletics Administration Cameron Smith, Senior Director, Capital Project Management Eric Hawkes, Executive Director, Wellness and Recreation Others who assisted in review and short listing process Brian Scisco, Vice Chancellor, Office of University Advancement Christina Walker, Executive Director, Principal Gifts Bill Davis, Associate Director, Capital Project Management				
08/16/19	Short list recommendation by Selection Committee: Frank L Blum (w/ Huffman Architects) – Raleigh, NC KeyStone Corporation (w/ Spillman Architects) – Raleigh, NC Whiting-Turner (w/ Clark Nexsen) – Raleigh, NC				
08/23/19	Short list approved by Jimmy D. Clark				
08/27/19	Pre-interview briefing of Designers				
09/20/19	Designers interviewed. Recommendation in priority order: KeyStone Corporation (w/ Spillman Architects) – Raleigh, NC Frank L Blum (w/ Huffman Architects) – Raleigh, NC Whiting-Turner (w/ Clark Nexsen) – Raleigh, NC				

<u>Greek Village Phase 4</u> <u>Total Project Scope – \$36M (Auxiliary/Trust Funds)</u>

07/01/19	Advertised in NC Purchase Directory				
08/01/19	Closing date for submittals (10 proposals received)				
08/05/19	Appointment of Selection Committee By Doug Morton, Secretary – Buildings and Property Committee				
08/16/19- 09/11/19	Selection Committee review: Wendel Murphy, Trustee Lisa Johnson, University Architect Mike Kapp, Design Project Manager, Capital Project Management Pete Fraccaroli, Director of Facilities Planning and Management Shelly Brown Dobek, Director, Fraternity and Sorority Life Cameron Smith, Senior Director, Capital Project Management Others who assisted in review and short listing process Bill Davis, Associate Director, Capital Project Management Danelle Gambrell, Construction Project Manager, Capital Project Management				
08/16/19	Short list recommendation by Selection Committee: Clark Nexsen – Charlotte, NC Cline Design Associates – Raleigh, NC Jenkins Peer Architects – Charlotte, NC				
08/23/19	Short list approved by Jimmy D. Clark				
08/29/19	Pre-interview briefing of Designers				
09/11/19	Designers interviewed. Recommendation in priority order: Jenkins Peer Architects – Charlotte, NC Cline Design Associates – Raleigh, NC Clark Nexsen – Charlotte, NC				

<u>Thermal Utilities to partners II & Toxicology</u>

<u>Total Project Scope – \$7M (University Carry Forward)</u>

05/31/19	Advertised in NC Purchase Directory				
06/24/19	Closing date for submittals (7 proposals received)				
07/05/19	Appointment of Selection Committee By Doug Morton, Secretary – Buildings and Property Committee				
07/15/19- 10/23/19	Selection Committee review: Jimmy Clark, Trustee Lisa Johnson, University Architect David Hammock, Project Manager, Capital Project Management Cameron Smith, Senior Director, Capital Project Management Weston Hockaday, Engineering/Architectural Supervisor Others who assisted in review and short listing process Steve Baxley, Director, Building Maintenance & Operations Alan Daeke, Director, Utilities & Engineering Services Charlie Marshall, Associate Director, Construction Management Bill Davis, Associate Director, Capital Project Management				
07/15/19	Short list recommendation by Selection Committee: RMF Engineering – Raleigh, NC Affiliated Engineers, Inc. – Chapel Hill, NC Dewberry Engineers, Inc. – Raleigh, NC				
08/23/19	Short list approved by Jimmy D. Clark				
08/29/19	Pre-interview briefing of Designers				
10/23/19	<u>Designers interviewed.</u> Recommendation in priority order: RMF Engineering – Raleigh, NC Dewberry Engineers, Inc. – Raleigh, NC Affiliated Engineers, Inc. – Chapel Hill, NC				

Approval of Designer Selections for Projects \$1M or Less

Note: T

The projects below are submitted to the Board of Trustees Buildings and Property Committee for formal approval of designer selections for projects for \$1M or less that are not on the OESAD list. This listing represents designers selected since September 12, 2019.

Project:	Fee:
Fire Alarm Replacement- Bostian & Brooks Halls Designer: Summer Consultants, Inc. Source: Provost Carry Forward	\$57,000
Cates Avenue Water, Steam & Condensate Replacement Designer: Draper Aden Associates Source: Utilities Trust Funds	\$81,000
Building Envelope & Structural Assessment- Jordan Hall Designer: Wiss, Janey, Elstner Associates, Inc. Source: Housing Trust Funds	\$13,150
Room 125 & 127 ADA Renovations- Becton Hall Designer: Davis Kane Architects, PA Source: Housing Trust Funds	\$19,200
Rooms F15,14,13,12 Renovations- ES King Village Building Designer: Davis Kane Architects, PA Source:	\$16,400
Building Pressurization Evaluation- Terry Center Designer: Affiliated Engineers Source: Appropriated Funds	\$9,500
Building Enclosure Investigation- Terry Center Designer: Wiss, Janey, Elstner Associates, Inc. Source: Appropriated Funds	\$19,800
High-rise Conditions Assessment- DH Hill Library Designer: Carolina Specialty Engineering, PC Source: Appropriated Funds	\$23,750
Renovations of Room 136 to EOL Classroom- MRC Designer: Watson Tate Savory Source: Appropriated Funds	\$17,698

Buildings and Property Committee Board of Trustees Acceptance of Completed Buildings and Projects

Code/Item Project#		Location	Title	Project Cost	University
	_			-	Acceptance
N/A	201824166	Case Academic	Short-term Mechanical Room Repairs	\$219,618	
N/A		Murphy Center	Entry Graphics Update	\$290,763	10/10/2019
41724/316		Steam Tunnel to DH Hill	Steam Phase 8C	\$400,000	10/17/2019
41824/325	201820109	Poe Hall 216	Lighting Retrofit to LED Fixtures	\$134,043	8/15/2019
N/A		Harris Hall	Renovation of Cashiers Counter	\$112,776	9/16/2019
N/A		Fountain Dining Hall	Serving Area Renovation	\$175,738	8/15/2019
N/A	201920005	Tower Hall WR1	Fall Protection System	\$134,801	8/30/2019
41724/305	201720087	Stewart Theatre	Rigging Replacement	\$1,500,000	9/12/2019
N/A	201924096	Oberlin & Ferndell Lot	Oberlin & Ferndell Gravel Parking Lot	\$112,192	9/17/2019
N/A	201924022	Turlington Hall	Door Replacement	\$177,710	8/21/2019
41824/304	201820070	Metcalf	New Flooring, Floors 2 thru 12	\$201,258	8/15/2019
41424/310	201420019	Centennial Campus	CBC Chiller Plant Expansion	\$3,850,000	8/30/2019
41624/331	201720027	CVM	CVM Lab B104 Renovation	\$2,300,000	8/26/2019
41524/340	201611034	Dearstyne Complex	HVAC Renovations	\$830,000	9/11/2019
41724/311	201724065	ES King Village	Roof Upgrades Phase 3	\$284,049	8/12/2019
N/A	201820133	Parking Decks (Various)	Parking Deck Assessments	\$222,387	8/9/2019
			TOTAL	\$10,945,335	

Approval of Non-Appropriated Capital Improvement Projects less than \$750,000 November 21, 2019 BOT Meeting Authority Requests

Project Title	Total Project Cost (\$)	Previous Authorization (\$)	Requested Authorization (\$)	Authorization Type	Funding Source	Description
1. Repairs to Coliseum Parking Deck	\$498,000	-	\$498,000	Full	Transportation Receipts	This project repairs the Coliseum Parking Deck as part of the annual maintenance to the deck. This phase repairs spalling concrete and addresses water infiltration at critical locations.
2. Chiller Replacement – Lee Hall	\$600,000	-	\$600,000	Full	Housing Receipts	This project replaces the chiller at Lee Residence Hall. The chiller has reached the end of its useful life. Modifications to the chiller piping will also be done to address reliability and functionality. The current chiller was installed in 1998. Lee Residence Hall is a ten story residence hall with 187,765 GSF.

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY

Committee: Buildings and Property

Meeting Date: November 21, 2019

Agenda Item / Issue: 5.7.A.1 Site Approval/Varsity Drive Parking Lot –

South Campus

Requested / Required Action: Approval

Functions: The area south of McKimmon Center was earmarked to be

recreation fields in the 2014 Physical Master Plan. University Wellness and Recreation has re-evaluated this need and will not be pursuing a project on this part of campus. The northern portion of the Coliseum Parking Deck has reached the end of its useful life and will be demolished in the 2023-2024 timeframe. Parking replacement is anticipated to happen in multiple locations. The site south of McKimmon is centrally located and could accommodate about 400 to 450 surface parking spaces. The project budget includes the demolition of 5 field lab storage buildings and the

relocation of the field equipment and storage.

Project Scope: \$5,500,000

Design Team: TBD

Master Plan Summary: A change to the physical master plan requires approval by the

CDRP and the Trustees Buildings and Property Committee. The site selection process used the 2017 Campus Capacity and Assessment

Study Guiding Principles for evaluation of this change in use.

Recommendation: Reviewed by CDRP on September 25, 2019 and on October 21,

2019. Approval was recommended.

Suggested Motion: Move approval of the site for the Varsity Drive Parking Lot

Funding Source: Transportation Receipts

Responsible University unit Office of Finance and Administration, Facilities Division

University Presenter/Contact: Lisa Johnson, University Architect

NC STATE UNIVERSITY

2014 PHYSICAL MASTER PLAN

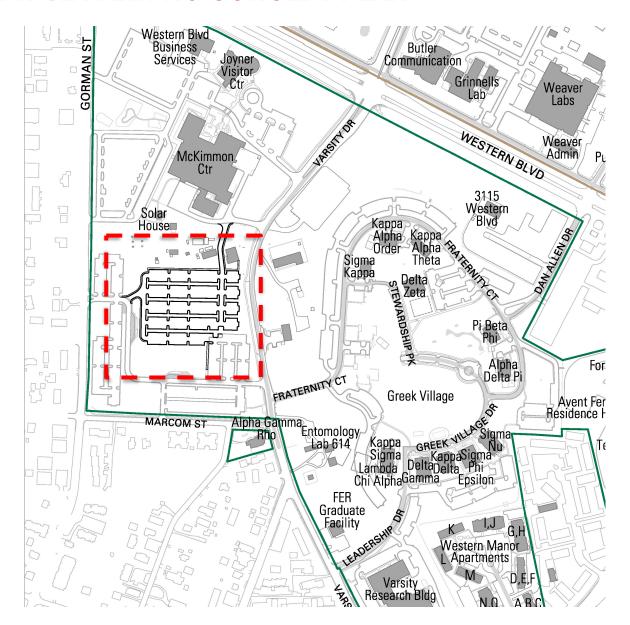


NC STATE UNIVERSITY

VARSITY DRIVE PARKING LOCATION



VARSITY DRIVE PARKING CONCEPT PLAN



Weaver Labs

Weaver Admin

For

Avent Fer Residence F

VARSITY DRIVE SITE: PARKING EXPANSION



NEW SPACES:

+/-420

WESTERN BLVD

3115 Western

Blvd

Pi Beta

Alpha Delta Pi

Grinnells

Butler

Communication

Kappa Alpha Kappa Order Alpha Theta

Delta Zeta

Greek Village

Chi AlphaGamma

Delta Phi

Epsilon

Sigma

Kappa

Strengthen Identity and Brand

Improves the appearance of campus along **Varsity Drive**

Enhance Stewardship of Campus Resources

- Satisfies parking demand without impacting academic cores
- Existing infrastructure provides efficiencies (transit routes, driveways, storm water)
- Places evening/event parking closer to Greek Village

Connect the Campus

Does not help or hurt the corridor

Re-prioritize Circulation

- Encourages "park once" mentality by providing a parking resource equally proximate to Central and Centennial precincts
- Supported by robust transit

Promote Vibrancy

Does not help or hurt compared to existing activity



STRENGTHEN IDENTITY & BRAND



ENHANCE STEWARDSHIP OF CAMPUS RESOURCES



CONNECT THE CAMPUS



MARCOM ST

Western Blvd Business

Services

Solar

House

McKimmon

Ctr

Joyner

Visitor

S

GORMAN

RE-PRIORITIZE CIRCULATION

FRATERNITY CT

Lab 614

Alpha Gamma Entomology



PROMOTE VIBRANCY

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY

Committee: Buildings and Property

Meeting Date: November 21, 2019

5.7.A.2 Plan Approval/Fitts-Woolard Plaza -Agenda Item / Issue:

Centennial Campus

Requested / Required Action: Approval

Functions: The Fitts-Woolard design was approved by CDRP on July 26, 2017.

> The building is under construction with completion scheduled for July 2020. The constructed wetland design, near the Partners Way building entrance, was part of the original approved design. This area has been re-designed to better blend with the existing campus context, to provide more flexible outdoor spaces with seating opportunities, and to provide better access to the building entrance.

Project Scope: The plaza design and construction is included in the total project

budget of \$137,000,000.

Design Team: Clark Nexsen – Lead Designer

Surface 678 - Landscape Architect

Master Plan Summary: Entry plazas are gathering spaces with paved surfaces suitable to

> support a variety of outdoor functions. They include a range of options for the micro-climate space, providing comfort in sun or shade throughout the year; plant choices should respond to the micro-climate. Trees may be used to add shade in the warmer months. Paths to building entrances should be sized for the number of building occupants and their activities, as well as, opportunities

for gathering along the way.

Recommendation: Reviewed by CDRP on September 25, 2019. Approval

recommended.

Suggested Motion: Move approval of plans for the Fitts-Woolard Plaza Improvements.

Funding Source: \$77M Appropriated/\$60M Non-Appropriated - \$137,000,000

Responsible University unit

Office of Finance and Administration, Facilities Division

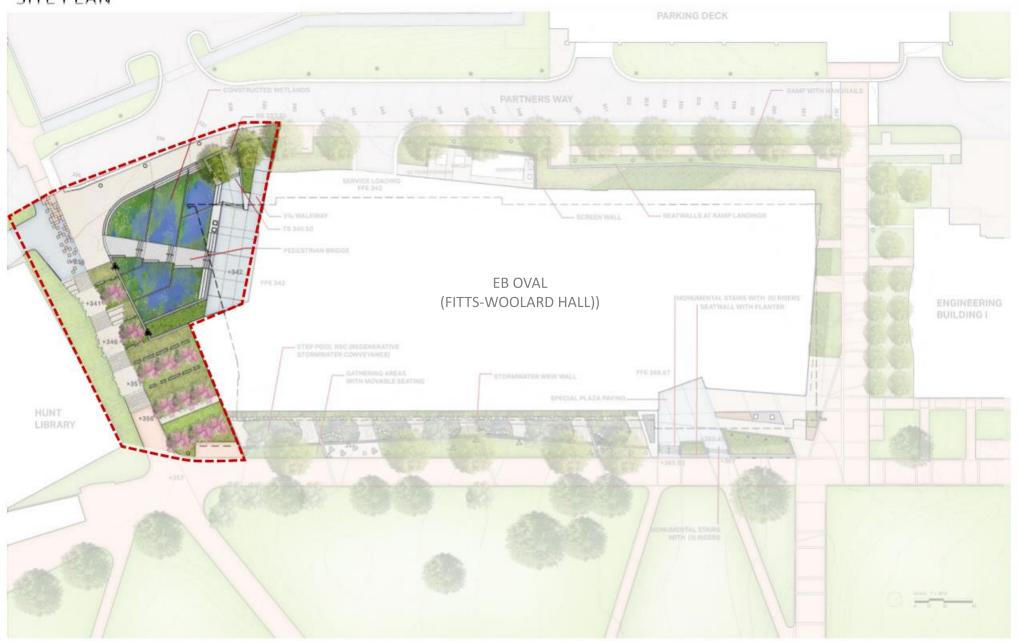
University Presenter/Contact: Lisa Johnson, University Architect

EB OVAL (FITTS - WOOLARD HALL) PLAZA DESIGN

TRUSTEES BUILDINGS & PROPERTY COMMITTEE

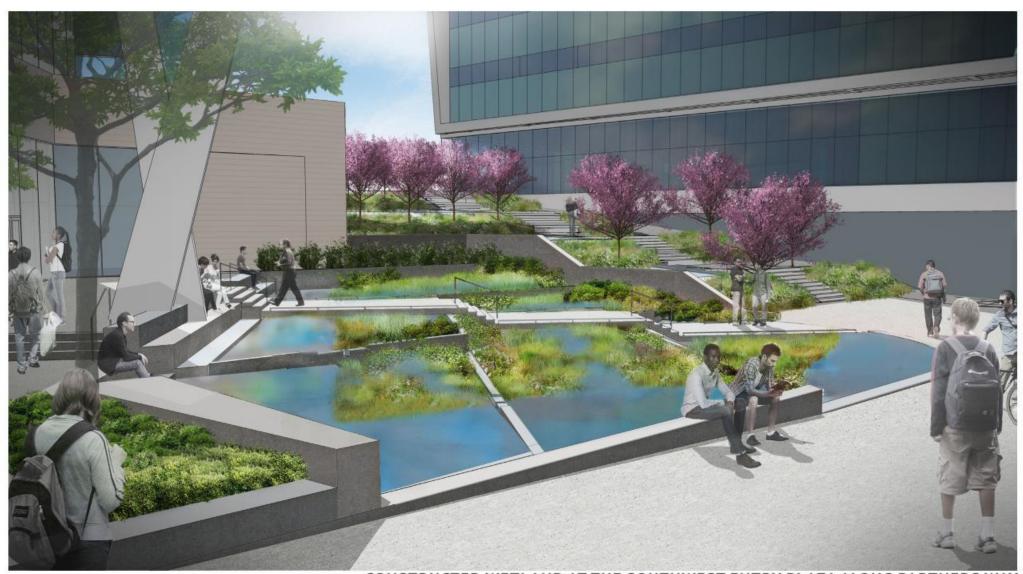
11.21.2019

SITE PLAN



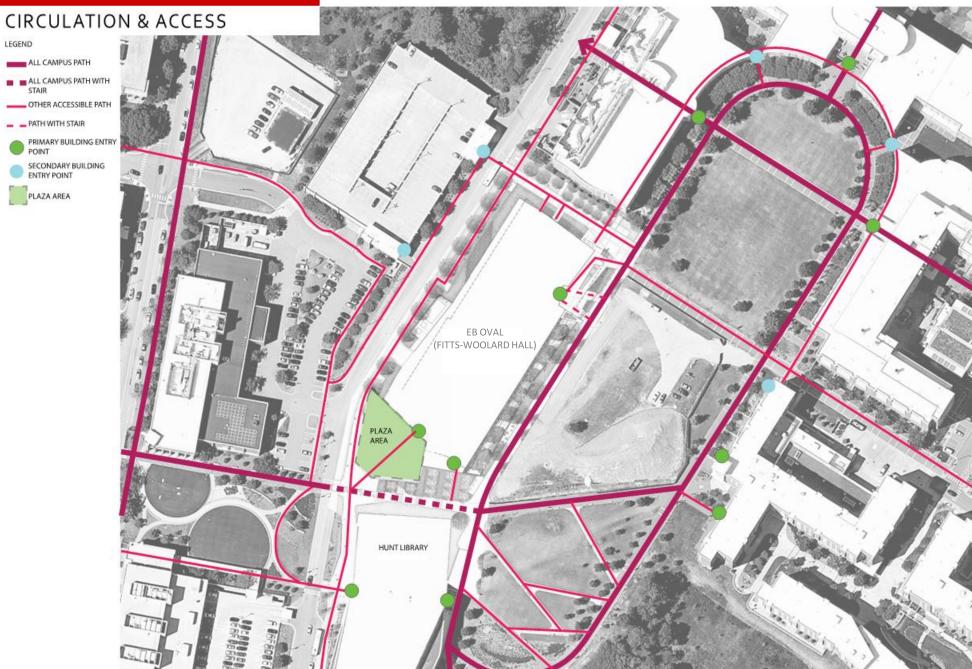


CONSTRUCTED WETLAND PLAZA



CONSTRUCTED WETLAND AT THE SOUTHWEST ENTRY PLAZA ALONG PARTNERS WAY







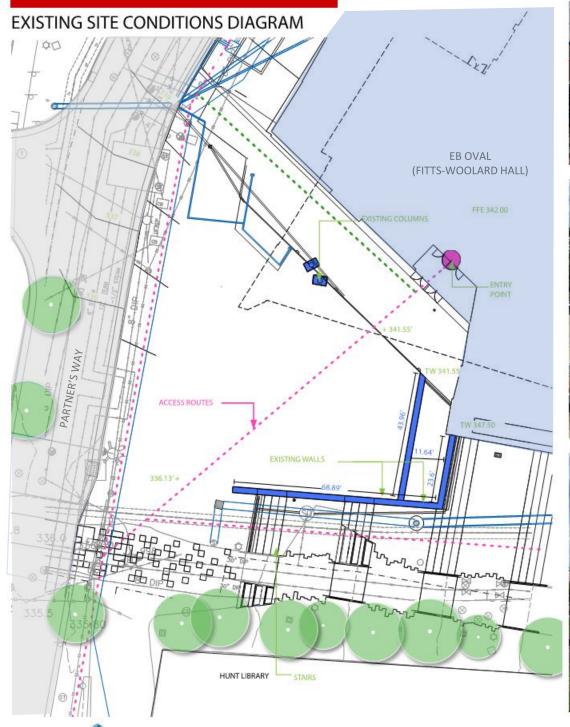
LEGEND

CURRENT DESIGN

SITE PLAN









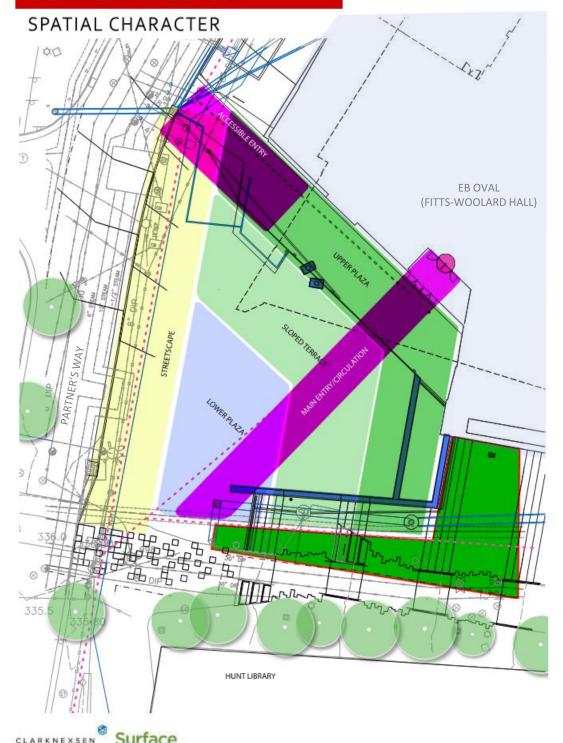








CURRENT DESIGN

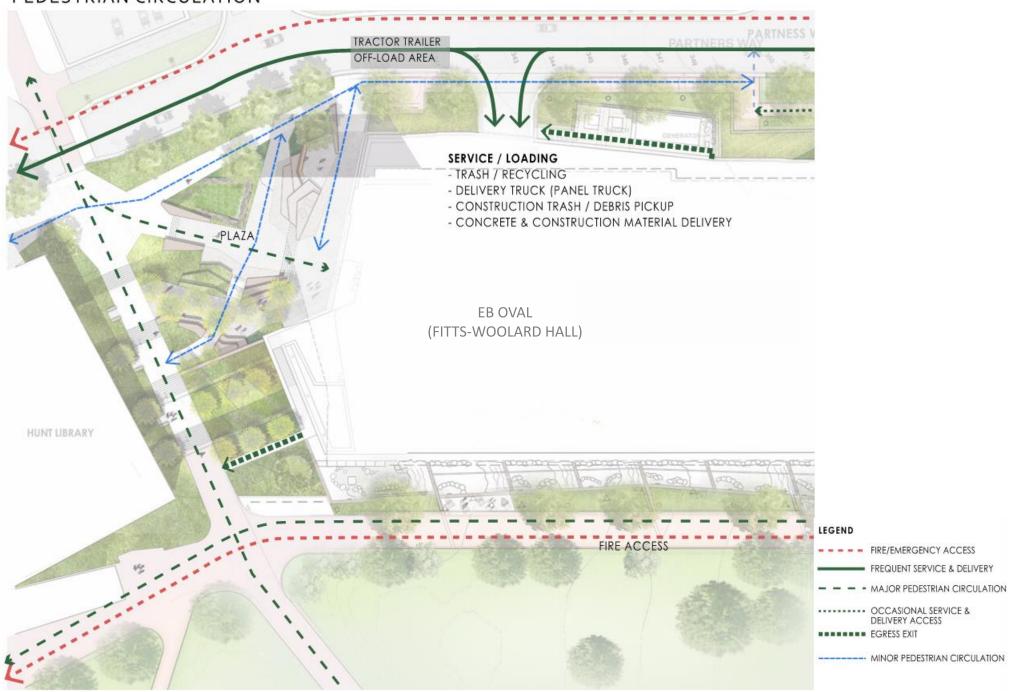


GOALS & TECHNICAL OBJECTIVES

- CONSIDER ALL EXISTING OR PREVIOUSLY CONSTRUCTED CONDITIONS
- PROVIDE FOR REQUIRED ADA ACCESS
- DESIGN FOR PEDESTRIAN CIRCULATION ALONG ALL CAMPUS PATHWAY
- DESIGN FOR ELEVATION CHANGE BETWEEN PARTNER'S WAY AND FITTS-WOOLARD ENTRY
- BLEND PROPOSED SITE WITH EXISTING SURROUNDING CONTEXT TO PROVIDE COHESIVE LANDSCAPE
- CREATE INVITING OUTDOOR SPACES THAT ARE FLEXIBLE FOR ALL UNIVERSITY AND DEPARTMENTAL NEEDS

CURRENT DESIGN

PEDESTRIAN CIRCULATION





5.7.A.2

PRECEDENT IMAGERY





























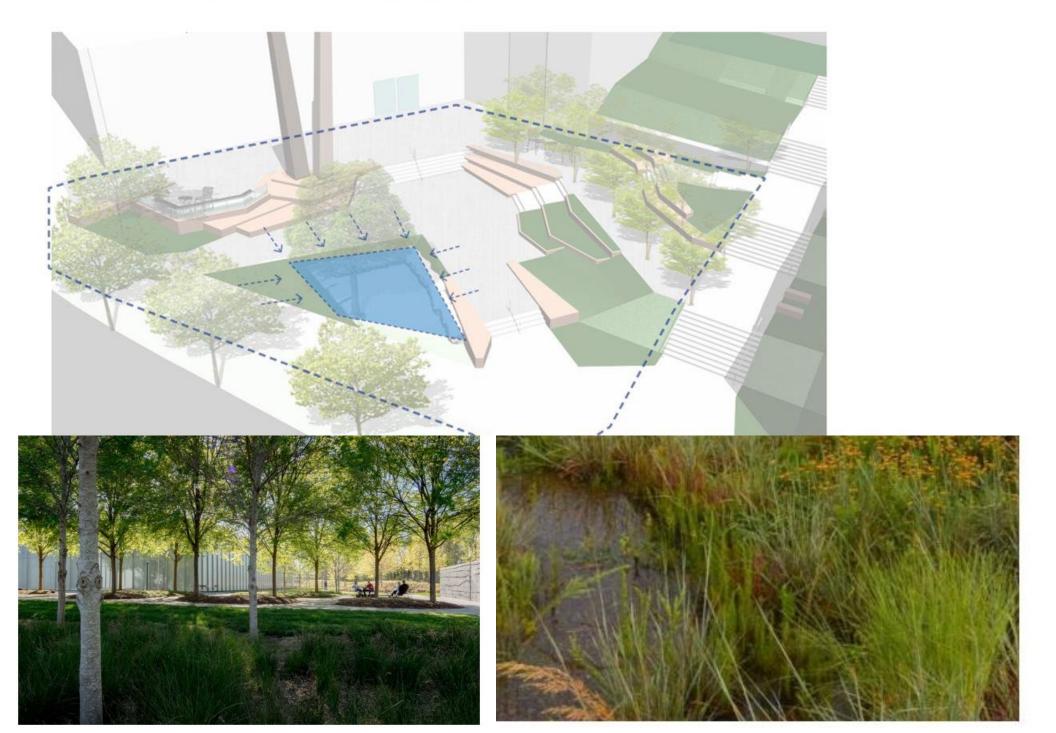


SITE PLAN





PLAZA REDESIGN - STORMWATER MANAGEMENT



PERSPECTIVE 5.7.A.2



PERSPECTIVE



PERSPECTIVE



PERSPECTIVE



PERSPECTIVE



PERSPECTIVE



BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY

Committee: Buildings and Property

Meeting Date: November 21, 2019

Agenda Item / Issue: 5.7.A.3 Plan Approval/Elevator Additions-Tucker &

Owen Residence Halls - Central Campus

Requested / Required Action: Approval

Functions: Tucker and Owen Residence Halls, built in 1947, are four-story

buildings and about 67,500 gross square feet each. This project will design and construct elevator additions to both buildings to provide barrier-free accessibility to each floor. Pedestrian access to the elevators from Cates Avenue is important for move-in and move-out. The residence halls are of brick and concrete construction.

Project Scope: \$151,000 Design/Consultant Costs

\$ 1,034,000 Construction

\$ 215,000 Contingency/Other Project Costs

\$ 1,400,000 Total Project Budget

Design Team: Caidus Design - Lead Designer

Master Plan Summary: The project will address the master plan guiding principles of

Universal Design and Design Harmony. NC State is committed to providing a campus that is accessible and understandable by all

people.

Recommendation: Reviewed by CDRP on September 25, 2019. Approval

recommended.

Suggested Motion: Move approval of the plans for the Elevator Addition-Tucker &

Owen Halls.

Funding Source: University Housing Receipts

Responsible University unit

Office of Finance and Administration, Facilities Division

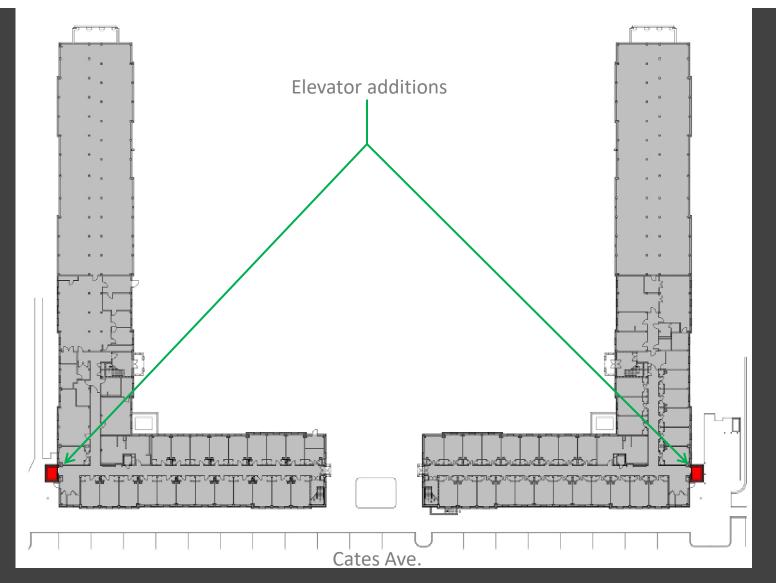
University Presenter/Contact: Lisa Johnson, University Architect



Site Plan

NC STATE UNIVERSITY

CAIDUS



Floor Plans





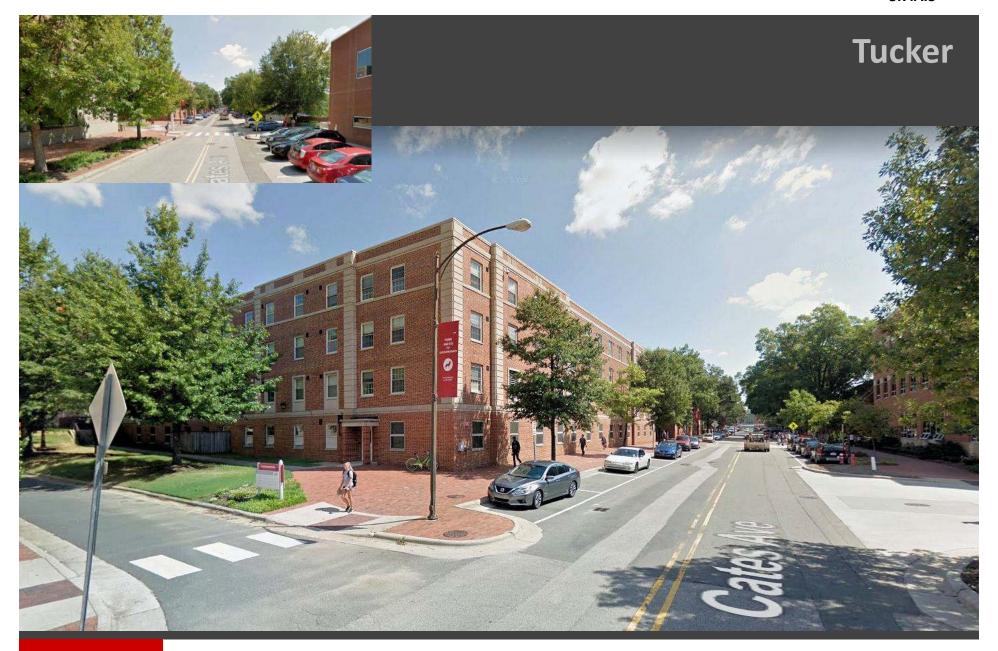




Elevations









Tucker and Owen Residence Halls - Elevator Additions





Renderings

(Tucker w/ elevator)

NC STATE UNIVERSITY

CAIDUS







Renderings
(Owen w/ elevator)





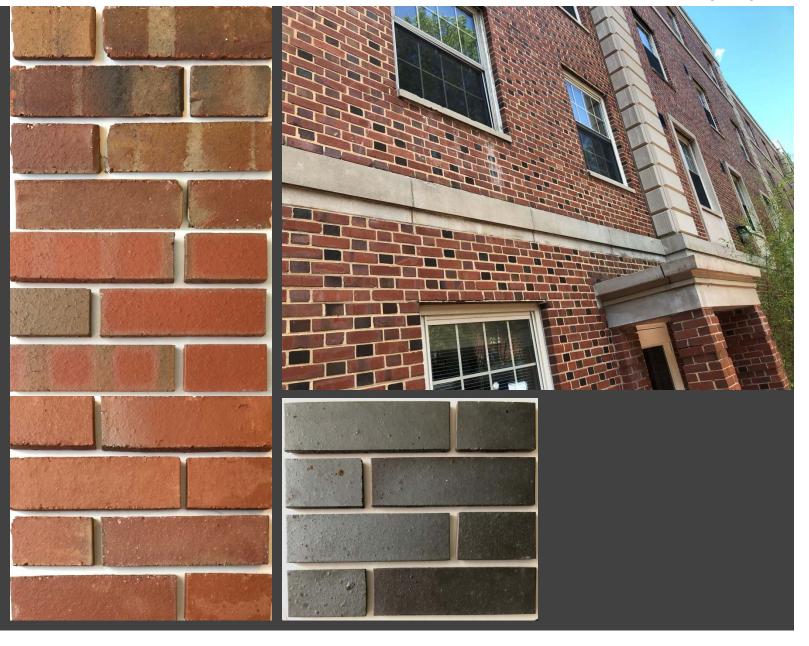




NC STATE UNIVERSITY

Brick







Brick





Owen Courtyard







Owen Courtyard







Owen Elevator Addition and Entrance - Response to CDRP Comments



Approval of Plans and Specifications of Formal Projects \$2M or Less

Note: The project(s) below are submitted to the Board of Trustees Buildings and Property Committee for formal acceptance of plans and specifications. This listing represents projects received since the September 21, 2019 meeting.

\$ 1,350,000

<u>Project</u> <u>Construction Estimate</u>

Centennial Campus - Varsity Research Building

Project #201820137

Power to Varsity Research Building Designer: RMF Engineering, Inc.

Durham, N.C.

Fund Source: Utility Trust Fund/University Carry Forward

Capital Projects at a Glance as of September 30, 2019

	NORTH CAROLINA STATE UNIVERSITY							
	Bid 2019							
	Bid 2020							
	Bid 2021							
	In Construction							
	Complete							
Code/Item	Project Name		Bid	Expected Acceptance	Total Project Budget	Remarks		
41824 330	Central Campus Electrical Upgrade Phase 2 - Distribution	Design	10/17/19	9/28/20	\$6.2M	Advertisement Underway		
41824 316	Power to VRB	Design	1/7/20	8/15/20	\$1.5M	CD Production Underway		
41724 313	Restoration of Memorial Belltower	Design	1/11/20	11/12/20	\$6.5M	CD Review Underway		
41824 311	Elevator Additions- Tucker & Owen Residence Halls	Design	2/21/20	8/31/20	\$1.4M	CD Production Underway		
41824 319	Greek Village Phase 4 Infrastructure, Townhomes, Apts	Design	3/4/20	6/1/23	\$36M	CMR Selection Underway		
41824 317	Structural Repairs Mann Hall	Design	4/21/20	2/15/21	\$2M	Investigative Testing Underway		
41824 331	Fire Alarm Replacement Brooks & Bostian	Design	4/27/20	12/30/20	\$640K	SD/DD Production Underway		
41824-337	Wrestling Addition & Renovation	Design	5/18/20	5/19/21	\$2.5M	Precon Negotiations Underway		
41824 334	Library Renovations Jordan Hall	Design	5/18/20	3/25/21	\$1.5M	Designer Selection Underway		
41824 327	Thermal Utilities to P2 and Toxicology Buildings	Design	8/10/20	4/18/21	\$7M	Designer Selection Underway		
41824 312	Outdoor Aquatics Facility	Design	8/21/20	5/14/21	\$11M	D-B Selection Underway		
41824 333	Lab & Building Systems Modifications VRB	Design	9/15/20	5/24/21	\$1.5M	Designer Proposal Underway		
41824 310	Interior Renovations Erdahl-Cloyd	Design	9/15/20	2/3/21	\$1.5M	Programming Underway		
41924 305	Ricks Hall 2nd Floor Renovation	Design	11/17/20	3/16/22	\$5.5M	Preparing to Advertise		
41924 301	Renovations to Data Center II	Design	12/10/20	8/18/21	\$6.5M	Preparing to Advertise-Designer		
41824 318	Dairy Facility at CVM	Design	1/20/21	3/2/22	\$4.8M	Fee Negotiation Underway		
41724 316	Steam Repairs Phase 8C - North/South tunnel	Construction		10/4/19	\$1.8M	98% Construction Complete		
41724-317	Fuel Oil Tank Expansion	Construction		10/11/19	\$825K	83% Construction Complete		
41324 357	North & Central Electrical Distribution - Phase 1	Construction		10/17/19	\$4.1M	98% Construction Complete		
41524 338	Dabney Hall HVAC and METRIC	Construction		10/18/19	\$4.5M	86% Construction Complete		
41624 310	Exterior Lighting LED Conversion	Construction		11/15/19	\$2.2M	20% Construction Complete		
41724 308	Creamery Café & Education Center	Construction		11/29/19	\$1.2M	42% Construction Complete		
41224 352	Centennial Campus Substation Expansion-Phase 1 & 2	Construction		12/20/19	\$7.3M	98% Construction Complete		
41524 314	Centennial Campus Utility Infrastructure (TES)	Construction		3/30/20	\$9.6M	77% Construction Complete		
41624 311	DH Hill Academic Success Center	Construction		6/2/20	\$15.9M	13% Construction Complete		
41524 313	Fitts-Woolard Hall (Engineering Building Oval)	Construction		6/30/20	\$137M	64% Construction Complete		
41624 302	Carmichael Renovation & Expansion	Construction		7/31/20	\$45M	33% Construction Complete		
41624 312	Bureau of Mines Renovation	Construction		9/12/20	\$6M	NTP pending		
41524 314	Plant Sciences Building	Construction		2/4/22	\$150.6M	15% Construction Complete		
41424 310	CBC Chiller Plant Expansion	Complete			\$4.98M	Accepted 8/30/19		
41524 340	Dearstyne Entomology and Avian HVAC Upgrades	Complete			\$1.5M	Accepted 9/11/19		
41724 305	Rigging Replacement Stewart Theatre	Complete			\$1.1M	Accepted 9/12/19		

SUBMITTAL PROJECT NAME		SCOPE			TRUSTE SITE SE	E'S BPC LECTION	CAMPUS DES	GIGN REVIEW PANEL	TRUSTEE'S BPC REVI	PLAN EW	CONSTRUCTION	DURATION
NUMBER		555. 2	FIRM NAME	DATE SELECTED	REVIEW	APPROVAL	REVIEW	RECOMMENDATION	REVIEW	APPROVAL	ESTIMATED START	ESTIMATED COMPLETION
162	Elevator Additions - Tucker & Owen Residence Halls	\$1,400,000	Caidus Design	4/10/2019	11/21/2019		9/25/2019	9/25/2019	11/21/2019		March, 2020	August, 2020
163	Fitts-Woolard Hall (EB Oval) Partners Way Entry Plaza	Included in the Project Budget	Clark Nexsen (w/ Surface 678)	11/18/2019	11/21/2019		9/25/2019	9/25/2019	11/21/2019			
164	Varsity Drive Parking Lot Site Selection	\$5,500,000	TBD	NA	11/21/2019		10/21/2019	10/21/2019	11/21/2019			
	Upcoming Projects											
	Library Renovations- Jordan Hall	\$1,500,000	Watson Tate Savory	8/15/19			NA	NA				
	Wrestling Addition and Renovation	\$2,500,000	Romeo Guest Associates (w/Integrated Design)	7/31/19							On Hold	
	CVM Teaching Animal Unit Dairy Facility	\$4,800,000	HH Architecture	8/12/19								
	Approved Projects											
137	Sigma Phi Epsilon House	\$3,000,000	Hager Smith	2012	9/10/15		9/30/15 7/29/15	9/30/15 7/29/15	11/12/15 9/10/15		Summer 2017	Fall, 2019
143	Lambda Chi House	\$4,600,000	Carl Winstead, AIA	June, 2015	2/16/17	2/16/17	11/30/16	11/30/16	2/16/17	2/16/17/	June, 2017	Fall, 2020
146	Fitts-Woolard Hall(Engineering Building Oval)	\$154,000,000	Clark Nexsen	11/18/08	4/20/17	4/20/17	7/26/17 3/29/17	7/26/17	9/21/17	9/21/17	March, 2018	July, 2020
148	Carmichael Gym Addition & Renovation	\$45,000,000	CRA Associates, Inc.	11/05/16	9/21/17	9/21/17	10/25/17 7/26/2017	10/25/17	11/16/17	11/16/17	November, 2018	August, 2020
149	Thermal Energy Storage	\$9,600,000	RMF Engineering	10/14/16	9/21/17	9/21/17	5/31/17	5/31/17	9/21/17	9/21/17	January, 2018	December, 2019
151	Plant Sciences Building	\$160,200,000	Flad Architects	09/15/16	2/15/18	2/15/18	3/23/18 1/31/2018	3/23/18	04/19/18 2/15/2018	4/19/18	July, 2019	February, 2022
152	Kappa Alpha Theta House	\$5,500,000	Cline Design	June, 2017	4/19/18	4/19/18	3/28/18	3/23/18	4/19/18	4/19/18	Spring, 2019	June, 2020
153	Sigma Kappa House	\$5,500,000	CJMW Architecture	Fall 2017	9/20/18	9/20/18	5/30/18	5/30/18	9/20/18	9/20/18	Feb, 2019	March, 2020
154	Zeta Tau Alpha House	\$6,100,000	Hug & Associates Architects	Fall 2017	9/20/18	9/20/18	5/30/18	5/30/18	9/20/18	9/20/18	June, 2019	July, 2020
155	Alpha Delta Pi House	\$5,500,000	Cline Design	Spring 2018	11/15/18	11/15/18	9/26/18	9/26/18	11/15/18	11/15/18	Spring, 2020	May, 2021
156	Lake Wheeler Road Creamery	\$1,248,870	Muter Construction (w/ Louis Cherry Architecture)	5/10/18	11/15/18	11/15/18	10/31/18	11/14/18	11/15/18	11/15/18	February, 2019	October, 2019
160	Belltower Restoration	\$6,000,000	New Atlantic Contracting w/ Walter Robbs Architecture	5/24/18	4/18/19	4/18/19	3/27/19 2/27/2019	3/27/19	4/18/19	4/18/19	November, 2019	December, 2020
161	Global Courtyard at Primrose Hall	\$280,000	In-house design (College of Design and Facilities Division)	8/15/18	NA	NA	2/27/19	2/27/19	NA	NA	July, 2019	January, 2020
157	Academic Success Center	\$14,234,213	Lord Aeck Sargent	2/24/17	NA		10/31/18	10/31/18	2/21/19	2/21/19	May, 2019	June, 2020
159	Bureau of Mines Renovation	\$6,000,000	Clearscapes Architecture + Art	02/13/17	NA		NA	NA	2/21/19	2/21/19	July, 2019	August, 2020



BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY AGENDA

University Advancement and External Affairs Committee 1:15 p.m. – 3:00 p.m., November 21, 2019 Chancellor's Conference Room – Holladay Hall

Chip Andrews, Chair Members: Ann Goodnight, Wendell Murphy, Ed Stack, Dewayne Washington, Ed Weisiger, Jr.

CALL TO ORDER

Chip Andrews, Chair of Committee

ROLL CALL

Chip Andrews, Chair of Committee

READING OF STATE GOVERNMENT ETHICS ACT CONFLICT OF INTEREST STATEMENT Chip Andrews, Chair of Committee

1.	_	ISENT AGENDA roval of September 12, 2019 Minutes	TAB 6.1 6.1A
2.	INFO	DRMATIONAL REPORTS	TAB 6.2
	A.	University Advancement Update Brian Sischo, Vice Chancellor, University Advancement	6.2A
	В.	Fundraising and Campaign Report Jim Broschart, Associate Vice Chancellor, University Development	6.2B
	C.	Advancement Services Update Kushal Dasgupta, Associate Vice Chancellor, Advancement Services	6.2C
3.	COM	MITTEE DISCUSSION	TAB 6.3
	A.	Watauga Medal Nominations Process Brian Sischo, Vice Chancellor, University Advancement	6.3A

l.	CLO	CLOSED SESSION						
	A.	Approval of September 12, 2019 Closed Session Minutes *	6.4A					
✓	В.	Request Approval for Naming Specific University Facilities and Programs	6.4B					
✓	C.	Watauga Medal Recommendation	6.4C					

ADJOURN

- * Committee Approval

 ✓ Full Board Approval



CONSENT AGENDA

Approval of September 12, 2019 Open Session Minutes

University Advancement and External Relations Committee Board of Trustees North Carolina State University September 12, 2019

Open Session Minutes

The University Advancement Committee of the Board of Trustees of North Carolina State University met in Open Session at 1:15 p.m. in the Chancellor's Conference Room in Holladay Hall. Chair Andrews called the session to order and read the State of North Carolina's Government Ethics Act.

Chair Andrews called roll then presented the consent agenda and the minutes from the July 10, 2019 meeting, which were approved. The Chair asked Vice Chancellor Sischo to provide a University Advancement update. Brian reviewed the committee's Annual Plan of Work, and then presented a University Advancement update. Brian noted that the fundraising total for fiscal year 2019 was \$220 million, aided in large part by the success of the inaugural Day of Giving. Fundraising success to date strongly suggests we will likely reach our campaign goal of \$1.6 billion by Red & White Night in November, 2019, having raised a total of \$1.583 billion to date. The decision of whether or not to increase the campaign goal, and whether or not to publicly announce an increased campaign goal, prompted a mid-campaign feasibility study which consisted of 45 interviews with 55 individuals and has been conducted over the past four months. Vice Chancellor Sischo reported on the results of the study, including the consultant's overall recommendations and the resulting University recommendations regarding the Campaign goal.

Vice Chancellor Sischo then provided an update on the University Advancement fiveyear Roadmap Project, describing the top 10 Roadmap year-one implementation projects that are now underway. He further overviewed the anticipated organizational structure and the phasing of the organizational changes therein.

Associate Vice Chancellor Jim Broschart then shared a campaign and fundraising update and informed the committee that the current campaign total puts us at 99% of our campaign goal with 73% of the campaign period elapsed. He provided a detailed breakdown of campaign progress by college and unit, and an overview of current donor pipeline. AVC Broschart concluded by noting that the fiscal year is off to a strong start with \$42 million raised to date vs. \$15 million last year to date and the three-year average of \$29 million to year to date.

Next, Associate Vice Chancellor Benny Suggs gave an Alumni Association update, highlighting over 250 Alumni events on tap across the state and beyond, including the highly successful roll out of Old Tuffy, the NC State branded beer from New Belgium brewery. AVC Suggs noted that the Association ended the fiscal year with a \$200,000

carryover and shared that there is a lot of excitement around the growth and contributions of affiliate groups, especially the Black Alumni Society and the Forever Club.

Associate Vice Chancellor Brad Bohlander provided a University Communications and Marketing updated that focused on the newly created Office of Strategic Brand Management. The office will be headed by Chris Boyer, whose background and experience in NC State Athletics will help ensure that we optimize marketing, logo and licensing and brand enhancement opportunities. AVC Boyer's new title is Assistant Vice Chancellor for Strategic Brand Management.

AVC Jim Broschart returned to present two naming opportunity proposals, including one naming opportunity for the Peanut Breeding Program and the other for the Certified Sweet Potato Greenhouses, in the College of Agriculture and Life Sciences, both of which the Committee approved.

In closed session, the minutes from the July 10, 2019 meeting were approved. Vice Chancellor Sischo then presented three donor naming proposals (two from CALS and one from Veterinary Medicine) and all were approved. A motion was then made and approved to return to open session. The meeting adjourned at 3:00p.m.

Respectfully submitted,

Chip Andrews, Chair



INFORMATIONAL REPORTS

University Advancement Update
Fundraising and Campaign Report
Advancement Services Update

NC STATE

FY20 Monthly Reports Gift Activity

September 30, 2019



Unit Supporting

Education

Sciences

University-wide

Textiles

Vet Med

Total

Engineering

Ag and Life Sciences Design

Integrative Sciences

Humanities and Social Sciences

Institute for Advanced Analytics

Natural Resources
Poole College of Management
Poole College of Management & Textiles

Campaign Progress Development Activity: Professorships by College

as of September 30, 2019

4

0

0

11 (1)

2 (1)

82 (21)

2 (2)

4

3

11

13

30

12

4

9

234

FY 15	FY 16	FY17	FY18	FY19	FY20**	FY 11 - 20 Total	Overall Total
7 (5)	1	3 (2)	2			19 (8)	75
						0	0
		2 (1)				2 (1)	3
2 (1)	5 (3)	7 (1)	2			27 (8)	64
4)	7

4

1 (1)

6 (1)

0

1 (1)

6 (1)

* These numbers include fully established professorships (term and endowed), professorships building to the endowment level from donors' contributions and planned gifts with
signed commitments as of the date reflected above. The numbers in parentheses indicate the number of professorships funded through planned gifts. For example, of the 13
professorships in FY11, two professorships were to be funded through planned gifts. These numbers do not include university honorific professorships

^{**} A distinguished chair in international economics is in the process of being established.

Prior to

FY 11

56

0

1

37

3

0

0

9

10

1

19

10

4

152

FY 11

7 (2)

1

3

13 (2)

FY 12

1

FY 13

1 (1)

5 (1)

FY 14

3 (1)

3 (1)

7 (2)

3

18 (6)

1 (1)

5

13 (4)

13 (4)



FY20 Development Reports Gift Report: Gifts and New Commitments as of September 30, 2019

Source	FYTD 9/30/18	FYTD 9/30/19	% of Change	Average FY17-FY19	YTD Period % Change 3 Yr Avg/FY20
Individuals					
Outright Gifts and Pledges	\$ 4,672,741	\$ 6,672,927	43%	\$ 7,085,093	-6%
Life Income Gifts and Realized Bequests	\$ 1,252,674	\$ 1,793,306	43%	\$ 2,625,680	-32%
Revocable Bequest Pledges/Life Insurance Pledges	\$ 11,373,469	\$ 24,002,131	111%	\$ 6,236,966	285%
Corporations/Foundations/Orgs					
Outright Gifts, Pledges and Matching Gifts	\$ 13,033,811	\$ 23,607,840	81%	\$ 32,241,739	-27%
New Business Total	\$ 30,332,694	\$ 56,076,204	85%	\$ 48,189,478	16%



Source	FYTD 9/30/18	FYTD 9/30/19	% of Change	Average FY17-FY19	YTD Period % Change 3 Yr Avg/FY20
<u>Individuals</u>					
Outright Gifts and Pledge Payments	\$ 4,004,193	\$ 5,142,934	28%	\$ 8,777,632	-41%
Life Income Gifts and Realized Bequests	\$ 1,299,248	\$ 1,999,391	54%	\$ 2,429,333	-18%
Corporations/Foundations/Orgs					
Outright Gifts, Pledge Payments and Matching Gifts & Equipment	\$ 23,343,073	\$ 20,958,816	-10%	\$ 16,831,215	25%
Cash Flow Total	\$ 28,646,513	\$ 28,101,141	-2%	\$ 28,038,179	0%



as of September 30, 2019

AG Dollars By Contribution Type

	7 1			
Contribution Types	AG FY20 FYTD Dollars	AG FY19 FYTD Dollars	Dollar Change	Percent Dollar Change
AG Dollars (Not Matching)	\$2,078,040	\$1,944,612	\$133,428	7%
AG Matching Gifts	\$125,249	\$83,856	\$41,393	49%
Total Dollars	\$2,203,289	\$2,028,468	\$174,821	9%

AG Donors

AG FY20 FYTD Donors	AG FY19 FYTD Donors	Donor Change	Percent Donor Change	
8,205	7,307	898	12%	

AG 1K+ Donors

AG FY20 F	TD 1K+ Donors	AG FY19 FYTD 1K+ Donors	1K+ Donor Change	Percent of 1K+ Donor Change
	359	318	41	13%

AG Average Gift

AG FY20 FYTD Avg Gift	AG FY20 FYTD Avg Gift AG FY19 FYTD Avg Gift		Percent Average Gift Change
\$175	\$184	(\$9)	-5%

^{*}Based on the Annual Giving definition provided to AIS for gifts \$49,999 and under



FY20 Development Reports Annual Giving: FYTD Comparisons by Group as of September 30, 2019

AG Contributions By College

College/Unit	AG FY20 FYTD Dollars	AG FY19 FYTD Dollars	Dollar Change	% Dollar Change	AG FY20 FYTD Donors	AG FY19 FYTD Donors	Donor Change	% Donor Change
College of Agriculture and Life Sciences	\$358,567	\$318,611	\$39,956	13%	1671	1280	391	31%
College of Design	\$43,198	\$43,449	(\$251)	-1%	167	153	14	9%
College of Education	\$48,890	\$60,690	(\$11,801)	-19%	266	187	79	42%
College of Engineering	\$437,064	\$366,839	\$70,224	19%	1162	913	249	27%
College of Humanities and Social Science	\$77,875	\$55,755	\$22,119	40%	429	324	105	32%
College of Natural Resources	\$96,966	\$130,867	(\$33,901)	-26%	354	331	23	7%
College of Sciences	\$176,746	\$173,447	\$3,299	2%	361	284	77	27%
College of Veterinary Medicine	\$211,682	\$247,323	(\$35,641)	-14%	1695	2117	(422)	-20%
DASA	\$96,907	\$92,795	\$4,112	4%	408	474	(66)	-14%
Libraries	\$30,704	\$109,828	(\$79,124)	-72%	235	345	(110)	-32%
Other University Funds	\$255,285	\$215,150	\$40,135	19%	736	602	134	22%
Poole College of Management	\$126,866	\$102,717	\$24,149	24%	433	307	126	41%
University Greatest Needs Fund	\$80,161	\$33,276	\$46,885	141%	629	296	333	113%
Wilson College of Textiles	\$162,380	\$77,719	\$84,661	109%	199	120	79	66%
Unduplicated Totals	\$2,203,291	\$2,028,466	\$174,822	9%	8,205	7,307	898	12%

AG Contributions By Constituent Type

Constituent Type	AG FY20 FYTD Donors	AG FY19 FYTD Donors	Change in Donors	% Change in Donors	
Alumni (Degree or Non-Degree)	3,540	2,816	724	26%	
Current Students (Primary Record Type)	31	18	13	72%	
Faculty/Staff (Current Paid)	500	415	85	20%	
Friend	2,257	2,463	(206)	-8%	
Parent (Current or Former)	1,306	1,128	178	16%	
Other (Non-Alum Spouse, Other F/S, etc)	1,407	1,147	260	23%	
Unduplicated Donor Totals	8,205	7,307	898	12%	

^{*}Donors with mutiple constituent types will be included in each of the constituent totals displayed

^{*}Based on the Annual Giving definition provided to AIS for gifts \$49,999 and under



FY20 Development Reports Gift Report: Monthly Gifts & New Commitments by Use as of September 30, 2019

	Current Operations	Endowment	Facilities	Percent of University Total	Year-to-date FY '20 Totals	Year-to-date FY '19 Totals	3 year Average (FY17 - FY19)
Ag and Life Sciences	\$6,164,205	\$198,425	\$9,845	11%	\$6,372,475	\$3,887,347	\$21,035,950
Design	\$636,696	\$1,150	\$0	1%	\$637,846	\$711,143	\$278,682
Education	\$491,741	\$609,265	\$0	2%	\$1,101,006	\$2,219,102	\$1,516,841
Engineering	\$4,261,608	\$2,711,045	\$357,459	13%	\$7,330,112	\$5,052,740	\$4,232,801
Humanities & Social Sciences	\$393,500	\$122,147	\$0	1%	\$515,647	\$220,719	\$1,180,950
Poole College	\$2,722,338	\$19,394,253	\$0	39%	\$22,116,591	\$587,864	\$583,935
Natural Resources	\$326,435	\$1,031,571	\$2,208	2%	\$1,360,213	\$337,950	\$370,659
Sciences	\$552,523	\$226,049	\$0	1%	\$778,572	\$5,624,240	\$2,319,935
Textiles*	\$784,119	\$726,102	\$0	3%	\$1,510,221	\$861,413	\$1,158,208
Veterinary Medicine	\$2,325,419	\$816,675	\$10,049	6%	\$3,152,143	\$6,162,293	\$3,341,210
Alumni Association	\$39,790	\$10,391	\$75	0%	\$50,256	\$503,983	\$206,132
DASA	\$1,192,328	\$65,908	\$33,789	2%	\$1,292,025	\$342,471	\$1,536,485
Libraries	\$27,753	\$432,514	\$285,000	1%	\$745,266	\$172,726	\$336,424
University-wide	\$6,196,848	\$1,472,147	\$195	14%	\$7,669,189	\$3,287,642	\$8,050,317
Wolfpack Club/Athletics**	\$1,394,641	\$50,000	\$0	3%	\$1,444,641	\$361,060	\$2,041,083
Total	\$27,509,943	\$27,867,642	\$698,619	100%	\$56,076,204	\$30,332,693	\$48,189,612

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association

FY20 Development Reports Gift Report: Monthly Gifts & New Commitments by Source

as of September 30, 2019

	Alumni	Parents	Faculty Staff	Other Individuals	Corporations	Foundations	Other Organizations	Year-to-date FY '20 Totals
Ag and Life Sciences	\$277,136	\$59,407	\$7,881	\$511,565	\$3,169,967	\$790,298	\$1,556,221	\$6,372,475
Design	\$116,891	\$135	\$250	\$1,400	\$37,120	\$480,000	\$2,050	\$637,846
Education	\$573,082	\$105	\$55	\$21,345	\$326,787	\$179,482	\$150	\$1,101,006
Engineering	\$3,834,822	\$24,317	\$3,000	\$264,950	\$1,849,519	\$948,308	\$405,196	\$7,330,112
Humanities & Social Sciences	\$102,106	\$2,330	\$3,659	\$26,310	\$54,615	\$326,514	\$114	\$515,647
Poole College	\$19,140,910	\$2,660	\$15,649	\$5,070	\$354,784	\$252,723	\$2,344,794	\$22,116,591
Natural Resources	\$164,982	\$280	\$0	\$1,007,820	\$174,066	\$3,500	\$9,565	\$1,360,213
Sciences	\$190,390	\$2,975	\$1,325	\$75,869	\$130,089	\$100,500	\$277,425	\$778,572
Textiles*	\$52,183	\$200	\$49,952	\$1,050	\$626,835	\$780,000	\$0	\$1,510,221
Veterinary Medicine	\$894,466	\$7,755	\$3,425	\$801,046	\$565,501	\$358,389	\$521,561	\$3,152,143
Alumni Association	\$33,517	\$1,935	\$300	\$3,986	\$9,156	\$1,012	\$350	\$50,256
DASA	\$98,346	\$11,447	\$2,630	\$13,367	\$244,204	\$11,830	\$910,202	\$1,292,025
Libraries	\$304,018	\$4,175	\$375	\$421,580	\$12,050	\$1,500	\$1,569	\$745,266
University-wide	\$1,697,578	\$109,460	\$315	\$103,242	\$283,859	\$5,306,339	\$168,397	\$7,669,189
Wolfpack Club/Athletics**	\$1,297,316	\$110,000	\$25	\$6,000	\$31,300	\$0	\$0	\$1,444,641
Total	\$28,777,742	\$337,181	\$88,841	\$3,264,600	\$7,869,852	\$9,540,395	\$6,197,593	\$56,076,204

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



FY20 Development Reports Gift Report: Monthly Gifts & New Commitments by Type as of September 30, 2019

	Cash Gifts	Gifts-In-Kind	Matching Gifts	PG Life Income	Realized Bequests	Bequest Expectancies	Pledges	Non- Governmental Grants	Year-to-date FY '20 Totals
Ag and Life Sciences	\$2,586,712	\$455	\$11,945	\$22,000	\$110,000	\$50,001	\$1,019,066	\$2,509,689	\$6,372,475
Design	\$129,693	\$0	\$6,035	\$0	\$0	\$0	\$3,317	\$498,800	\$637,846
Education	\$162,966	\$0	\$400	\$0	\$0	\$556,500	\$4,772	\$376,369	\$1,101,006
Engineering	\$1,161,953	\$339,159	\$34,821	\$0	\$1,551,000	\$1,831,500	\$285,053	\$1,879,652	\$7,330,112
Humanities & Social Sciences	\$180,371	\$0	\$1,070	\$0	\$0	\$0	\$26,460	\$304,472	\$515,647
Poole College	\$698,235	\$0	\$13,740	\$0	\$0	\$19,063,130	\$91,330	\$2,249,956	\$22,116,591
Natural Resources	\$145,370	\$208	\$8,767	\$0	\$0	\$0	\$1,135,432	\$57,437	\$1,360,213
Sciences	\$241,600	\$0	\$4,522	\$0	\$0	\$50,000	\$126,258	\$351,193	\$778,572
Textiles*	\$384,143	\$0	\$0	\$0	\$15,477	\$0	\$503,785	\$606,816	\$1,510,221
Veterinary Medicine	\$540,916	\$4,999	\$4,487	\$0	\$4,829	\$1,351,000	\$95,875	\$1,147,637	\$3,152,143
Alumni Association	\$21,466	\$0	\$1,301	\$25,000	\$0	\$0	\$190	\$0	\$50,256
DASA	\$281,583	\$23,789	\$18,683	\$0	\$0	\$0	\$60,320	\$907,451	\$1,292,025
Libraries	\$39,706	\$285,000	\$300	\$0	\$15,000	\$400,000	\$4,260	\$0	\$745,266
University-wide	\$4,261,627	\$0	\$11,682	\$0	\$50,000	\$650,000	\$2,367,118	\$328,388	\$7,669,189
Wolfpack Club/Athletics**	\$138,625	\$0	\$10,000	\$0	\$0	\$50,000	\$1,246,016	\$0	\$1,444,641
Total	\$10,974,965	\$653,610	\$127,751	\$47,000	\$1,746,306	\$24,002,131	\$6,969,252	\$11,217,860	\$56,076,204

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



	Current Operations	Endowment	Facilities	Year-to-date FY '20 Totals	Year-to-date FY '19 Totals	3 year Average (FY17 - FY19)
Ag and Life Sciences	\$6,042,448	\$403,484	\$1,131,777	\$7,577,709	\$6,277,185	\$6,403,171
Design	\$175,615	\$8,075	\$0	\$183,690	\$601,260	\$231,121
Education	\$1,443,375	\$55,886	\$30	\$1,499,291	\$263,646	\$819,931
Engineering	\$2,278,172	\$1,961,756	\$501,297	\$4,741,225	\$3,316,638	\$4,501,163
Humanities & Social Sciences	\$308,947	\$121,832	\$0	\$430,779	\$80,755	\$163,679
Poole College	\$514,299	\$468,434	\$0	\$982,733	\$615,277	\$465,597
Natural Resources	\$339,844	\$1,099,246	\$2,208	\$1,441,297	\$278,567	\$308,944
Sciences	\$624,229	\$150,389	\$0	\$774,618	\$506,891	\$738,228
Textiles*	\$405,618	\$245,233	\$0	\$650,851	\$253,732	\$692,433
Veterinary Medicine	\$897,573	\$65,708	\$9,999	\$973,280	\$1,686,136	\$1,013,306
Alumni Association	\$22,702	\$313,551	\$75	\$336,328	\$535,569	\$245,182
DASA	\$289,000	\$16,301	\$41,126	\$346,427	\$111,363	\$905,852
Libraries	\$26,099	\$37,207	\$285,000	\$348,306	\$210,057	\$334,181
University-wide	\$4,947,316	\$2,713,208	\$5,427	\$7,665,951	\$13,908,362	\$7,550,394
Wolfpack Club/Athletics**	\$148,655	\$0	\$0	\$148,655	\$1,075	\$3,432,272
Total	\$18,463,892	\$7,660,310	\$1,976,939	\$28,101,141	\$28,646,513	\$27,805,456

^{*} Includes gift information provided by the North Carolina Textiles Foundation

 $[\]ensuremath{^{**}}$ Includes gift information provided by the NCSU Student Aid Association



FY20 Development Reports Gift Report: Monthly Gifts Receipts by Source as of September 30, 2019

	Alumni	Parents	Faculty Staff	Other Individuals	Corporations	Foundations	Other Organizations	Year-to-date FY '20 Totals
Ag and Life Sciences	\$269,581	\$11,799	\$11,723	\$660,810	\$2,556,915	\$1,845,215	\$2,221,666	\$7,577,709
Design	\$121,573	\$135	\$862	\$1,400	\$57,670	\$0	\$2,050	\$183,690
Education	\$14,903	\$6,785	\$4,056	\$21,595	\$332,987	\$1,118,814	\$150	\$1,499,291
Engineering	\$1,990,460	\$24,172	\$4,916	\$290,375	\$1,393,529	\$619,448	\$418,326	\$4,741,225
Humanities & Social Sciences	\$83,271	\$1,945	\$6,100	\$91,690	\$47,439	\$200,221	\$114	\$430,779
Poole College	\$165,906	\$9,194	\$22,509	\$5,300	\$292,284	\$142,745	\$344,795	\$982,733
Natural Resources	\$134,297	\$280	\$1,005	\$1,058,153	\$155,713	\$45,911	\$45,938	\$1,441,297
Sciences	\$133,787	\$3,475	\$4,299	\$62,096	\$359,894	\$123,500	\$87,567	\$774,618
Textiles*	\$93,914	\$200	\$52,549	\$41,075	\$158,113	\$305,000	\$0	\$650,851
Veterinary Medicine	\$74,736	\$5,040	\$4,090	\$258,023	\$303,811	\$203,683	\$123,897	\$973,280
Alumni Association	\$318,989	\$2,085	\$345	\$4,391	\$9,156	\$1,012	\$350	\$336,328
DASA	\$50,027	\$11,972	\$5,229	\$20,414	\$239,904	\$11,830	\$7,051	\$346,427
Libraries	\$306,246	\$310	\$3,456	\$22,268	\$12,959	\$1,500	\$1,569	\$348,306
University-wide	\$430,468	\$13,780	\$6,098	\$80,817	\$790,626	\$4,321,339	\$2,022,824	\$7,665,951
Wolfpack Club/Athletics**	\$1,300	\$110,000	\$55	\$6,000	\$31,300	\$0	\$0	\$148,655
Total	\$4,189,457	\$201,172	\$127,290	\$2,624,406	\$6,742,301	\$8,940,218	\$5,276,297	\$28,101,141

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



FY20 Development Reports Gift Report: Monthly Gift Receipts by Type as of September 30, 2019

	Cash Gifts	Gifts-In-Kind	Matching Gifts	PG Life Income	Realized Bequests	Non- Governmental Grants	Year-to-date FY '20 Totals
Ag and Life Sciences	\$3,768,154	\$455	\$11,945	\$62,104	\$284,218	\$3,388,227	\$7,577,709
Design	\$144,905	\$0	\$6,035	\$0	\$0	\$32,750	\$183,690
Education	\$177,740	\$0	\$400	\$0	\$0	\$1,320,901	\$1,499,291
Engineering	\$1,534,857	\$339,159	\$34,821	\$0	\$1,551,000	\$1,012,414	\$4,741,225
Humanities & Social Sciences	\$255,443	\$0	\$1,070	\$0	\$0	\$170,993	\$430,779
Poole College	\$743,814	\$0	\$13,740	\$0	\$0	\$124,979	\$982,733
Natural Resources	\$1,221,855	\$208	\$8,767	\$0	\$0	\$137,468	\$1,441,297
Sciences	\$276,456	\$0	\$4,522	\$0	\$0	\$411,140	\$774,618
Textiles*	\$494,149	\$0	\$0	\$0	\$24,608	\$132,094	\$650,851
Veterinary Medicine	\$625,688	\$4,999	\$4,487	\$0	\$4,829	\$330,878	\$973,280
Alumni Association	\$325,096	\$0	\$1,301	\$7,632	\$0	\$0	\$336,328
DASA	\$303,755	\$23,789	\$18,683	\$0	\$0	\$0	\$346,427
Libraries	\$46,097	\$285,000	\$300	\$0	\$15,000	\$909	\$348,306
University-wide	\$7,471,908	\$0	\$11,682	\$0	\$50,000	\$129,886	\$7,665,951
Wolfpack Club/Athletics**	\$138,655	\$0	\$10,000	\$0	\$0	\$0	\$148,655
Total	\$17,528,571	\$653,610	\$127,751	\$69,736	\$1,929,655	\$7,192,638	\$28,101,141

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



Annual Giving: Retention as of September 30, 2019

AG Donor Categories

Retention Metrics	FY20 Donors	FY19 Donors (FY End)		
Acquired	1,264	8,027		
Reacquired	1,460	8,265		
Retained	6,134	21,493		

AG Retention Rate

FY20 Retained Donors	AG FY19 Donors	Retention Rate
6,134	38,065	16%

AG Upgrades

AG FY20 Upgrades	AG FY20 FYTD Donors	% of Donors Who Have Upgraded	Average Upgrade By Donor	Median Upgrade By Donor
1,854	8,348	22%	\$443	\$50

^{*}Acquired: Previously no giving history and makes a gift in the current FY

^{*}Reacquired: Previously a lapsed donor and makes a gift in the current FY

^{*}Retained: Made a gift in the previous FY and makes a gift in the current FY





NC STATE UNIVERSITY

THINK AND DO THE EXTRAORDINARY



The Campaign for NC State

September 30, 2019



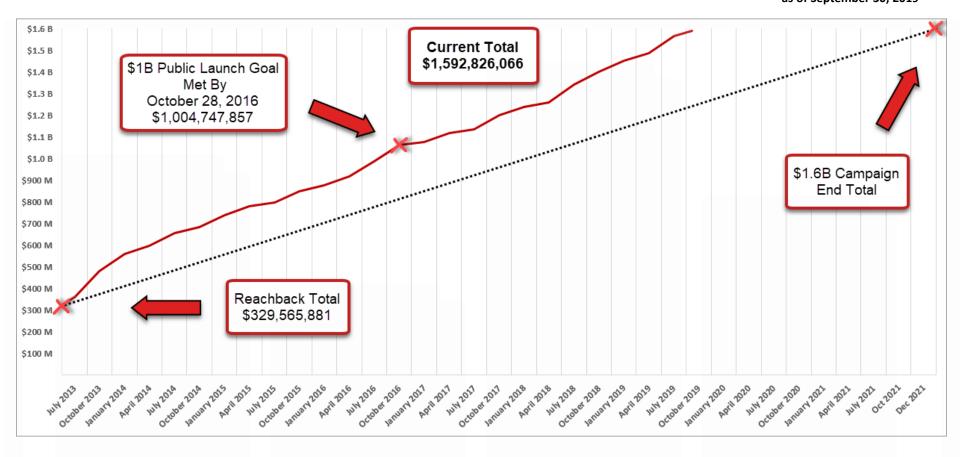
Campaign Reports

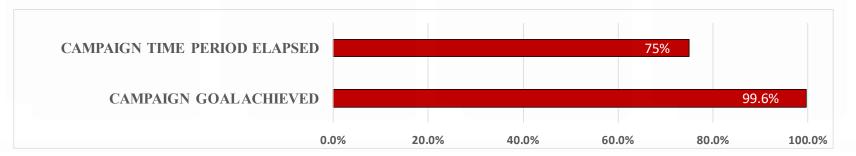
September 30, 2019



Campaign Progress

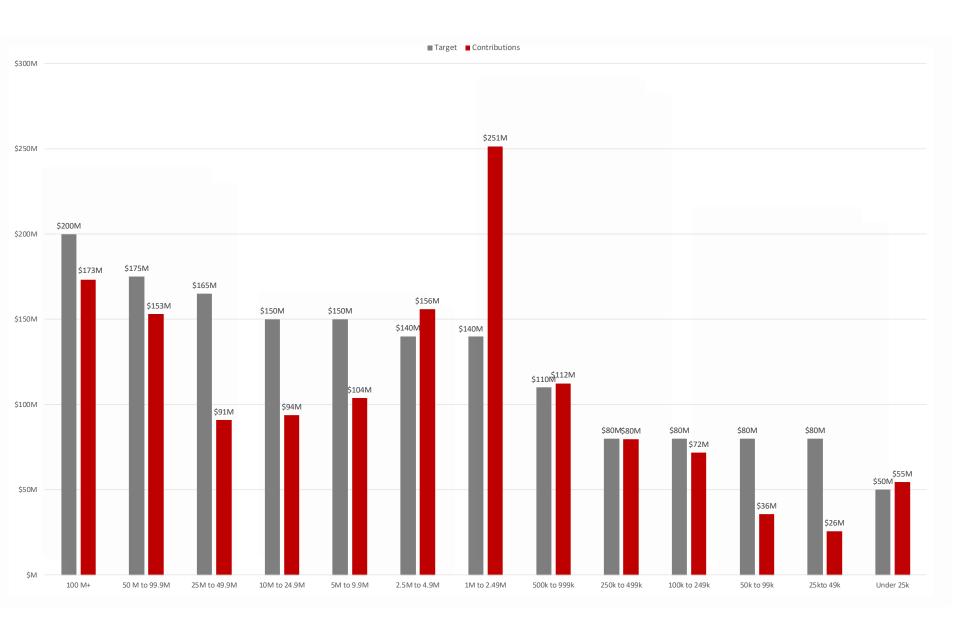
Gift Activity: Launch to \$1.6 B Goal as of September 30, 2019













Campaign Progress Gift Activity: Gift Pyramid Details

as of September 30, 2019

		GOAL			RAISED TO DA	TE	YET TO BE	RAISED
Gift Range	# Donors	Dollars	% to Goal	# Donors	Dollars	% to Goal	# Additional Donors	# Additional Dollars
\$100,000,000 or Greater	1	\$200,000,000	13%	1	\$173,314,200	87%	0	\$26,685,800
\$50,000,000 to \$99,999,999	3	\$175,000,000	11%	2	\$153,056,253	87%	1	\$21,943,747
\$25,000,000 to \$49,999,999	6	\$165,000,000	10%	3	\$90,923,302	55%	3	\$74,076,698
\$10,000,000 to \$24,999,999	12	\$150,000,000	9%	6	\$93,829,093	63%	6	\$56,170,907
\$5,000,000 to \$9,999,999	25	\$150,000,000	9%	16	\$103,885,037	69%	9	\$46,114,963
\$2,500,000 to \$4,999,999	50	\$140,000,000	9%	45	\$155,893,502	111%	5	-\$15,893,502
\$1,000,000 to \$2,499,999	125	\$140,000,000	9%	168	\$251,487,511	180%	-43	-\$111,487,511
Subtota	J 222	\$1,120,000,000	70%	241	\$1,022,388,898	91%	-19	\$97,611,102
\$500,000 to \$999,999	200	\$110,000,000	7%	166	\$112,434,185	102%	34	-\$2,434,185
\$250,000 to \$499,999	300	\$80,000,000	5%	238	\$79,780,382	100%	62	\$219,618
\$100,000 to \$249,999	600	\$80,000,000	5%	478	\$71,811,754	90%	122	\$8,188,246
\$50,000 to \$99,999	1500	\$80,000,000	5%	535	\$35,622,264	45%	965	\$44,377,736
\$25,000 to \$49,999	3000	\$80,000,000	5%	785	\$25,673,257	32%	2215	\$54,326,743
Under \$25,000	Many	\$50,000,000	3%	Many*	\$54,579,321	109%	Many	-\$4,579,321
Subtota	5600	\$480,000,000	30%	2202	\$379,901,162	79%	3398	\$100,098,838
Wolfpack Club/Textiles++				**	\$190,536,006			
Campaign Totals	5822	\$1,600,000,000	100%	72275	\$1,592,826,066	100%	3379	\$7,173,934

Wolfpack Club/Textiles++ information is reflected in the total dollars raised, but not on the the donor level.

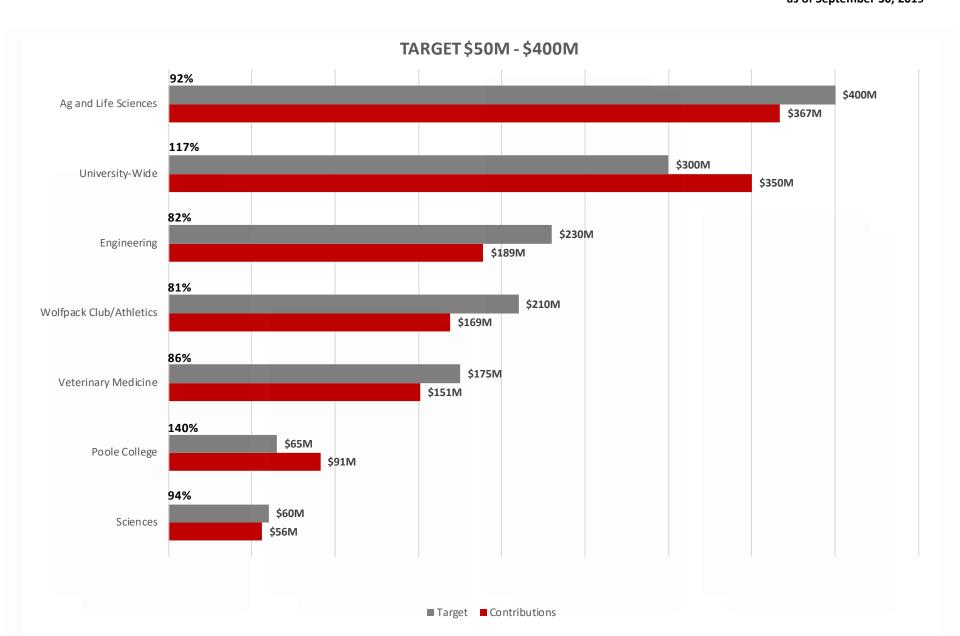
Donors is the total number of households and organizations

^{**} Excludes WPC/Textiles Transactions processed in Advance.

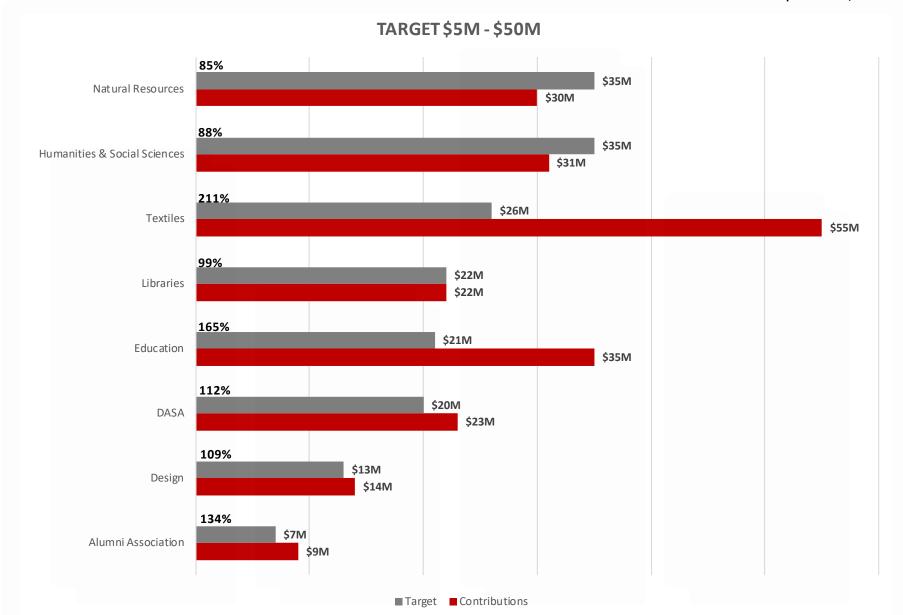
^{* 69,832} donors to date



Campaign Progress
Gift Activity: Progress to Goal by College
as of September 30, 2019

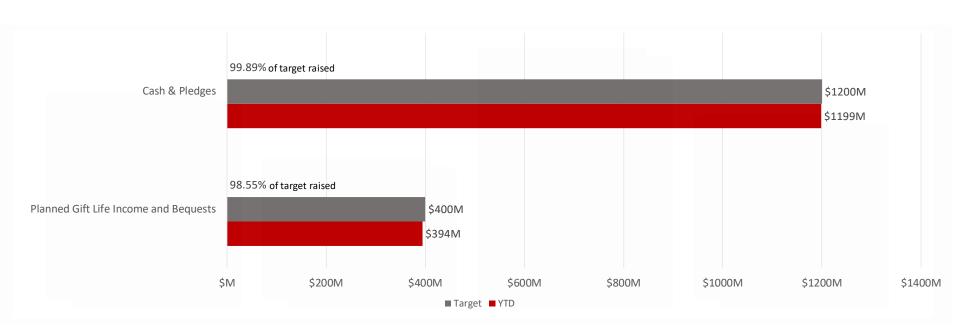






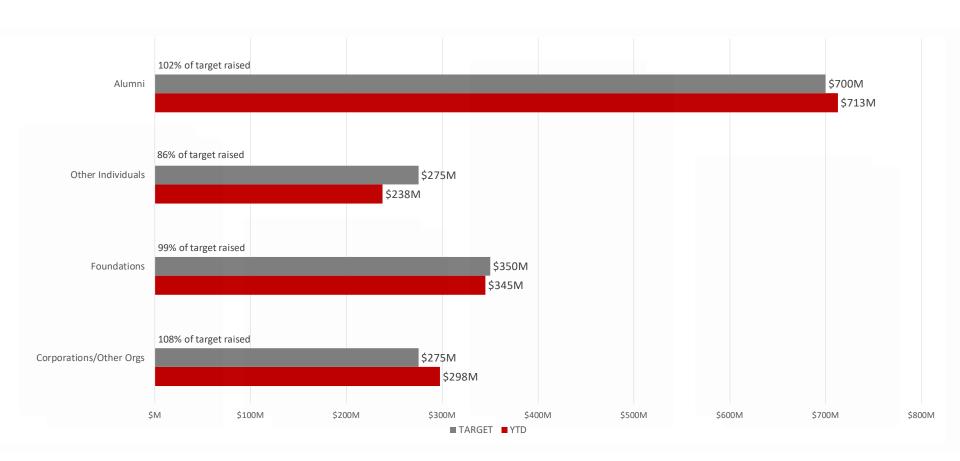






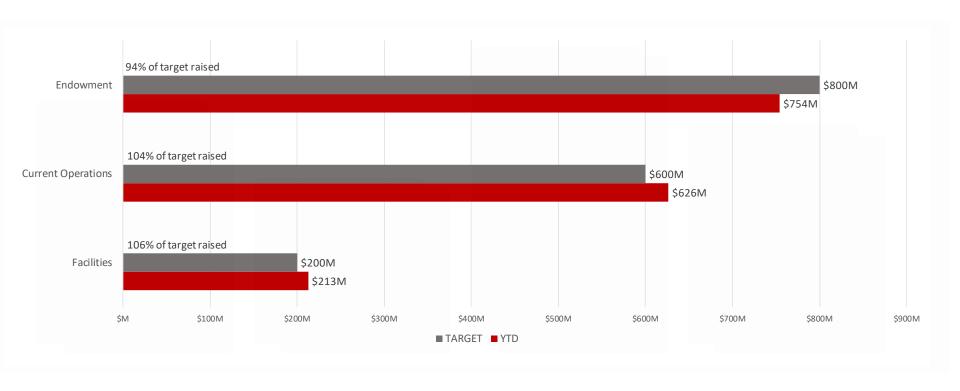














Campaign Progress Gift Activity: Gifts by Phase

as of September 30, 2019

	Reachback Phase	Nucleus Phase	Public Phase	Campaign Total
Ag and Life Sciences	\$58,611,437	\$195,392,405	\$113,220,633	\$367,224,475
Design	\$1,971,028	\$4,474,114	\$7,862,365	\$14,307,507
Education	\$1,496,879	\$13,244,155	\$19,896,117	\$34,637,151
Engineering	\$26,589,290	\$78,216,905	\$83,867,106	\$188,673,301
Humanities & Social Sciences	\$2,149,234	\$19,727,436	\$8,984,450	\$30,861,120
Poole College	\$40,141,243	\$15,636,471	\$35,671,646	\$91,449,360
Natural Resources	\$9,998,541	\$9,030,713	\$10,876,403	\$29,905,657
Sciences	\$6,159,812	\$26,686,437	\$23,395,157	\$56,241,406
Textiles*	\$6,165,558	\$9,277,376	\$39,547,886	\$54,990,820
Veterinary Medicine	\$24,759,368	\$71,915,857	\$54,639,186	\$151,314,411
Alumni Association	\$878,363	\$5,011,646	\$3,447,910	\$9,337,919
DASA	\$2,967,035	\$11,287,986	\$8,327,230	\$22,582,251
Libraries	\$6,216,734	\$8,138,120	\$7,449,279	\$21,804,133
University-wide	\$137,332,449	\$109,678,608	\$103,043,700	\$350,054,757
Wolfpack Club/Athletics**	\$4,128,910	\$77,682,792	\$87,630,097	\$169,441,799
Total	\$329,565,881	\$655,401,021	\$607,859,165	\$1,592,826,066

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



Campaign Progress

Gift Activity: Gifts by Source as of September 30, 2019

	Alumni	Parents	Faculty Staff	Other Individuals	Corporations	Foundations	Other Organizations	Campaign Total
Ag and Life Sciences	\$59,395,216	\$16,944,821	\$4,765,552	\$38,560,160	\$101,461,951	\$89,292,622	\$56,804,152	\$367,224,474
Design	\$6,580,984	\$72,034	\$150,390	\$1,116,461	\$2,792,627	\$2,879,274	\$715,737	\$14,307,507
Education	\$4,850,894	\$60,216	\$235,408	\$2,673,048	\$3,212,509	\$22,312,110	\$1,292,967	\$34,637,152
Engineering	\$107,660,879	\$2,538,475	\$6,340,660	\$5,558,449	\$42,085,786	\$18,869,657	\$5,619,396	\$188,673,302
Humanities & Social Sciences	\$14,581,014	\$1,250,010	\$1,459,988	\$9,582,560	\$592,145	\$2,853,846	\$541,557	\$30,861,120
Poole College	\$68,659,009	\$569,263	\$2,746,797	\$4,326,566	\$8,404,231	\$3,142,072	\$3,601,421	\$91,449,359
Natural Resources	\$16,898,829	\$24,167	\$2,283,873	\$2,794,809	\$5,083,174	\$1,851,405	\$969,399	\$29,905,656
Sciences	\$27,143,883	\$5,400,985	\$5,705,435	\$1,190,102	\$8,123,881	\$3,758,241	\$4,918,879	\$56,241,406
Textiles*	\$41,293,838	\$134,696	\$1,291,792	\$1,401,472	\$7,358,830	\$3,268,438	\$241,755	\$54,990,821
Veterinary Medicine	\$24,655,632	\$228,359	\$5,502,752	\$75,824,353	\$5,334,345	\$37,032,532	\$2,736,439	\$151,314,412
Alumni Association	\$6,041,023	\$46,978	\$36,833	\$541,535	\$481,164	\$1,788,259	\$402,125	\$9,337,917
DASA	\$6,823,737	\$827,509	\$512,093	\$8,596,988	\$1,258,571	\$1,733,393	\$2,829,959	\$22,582,250
Libraries	\$3,165,822	\$1,973,740	\$4,086,563	\$7,848,087	\$2,354,454	\$2,252,747	\$122,720	\$21,804,133
University-wide	\$162,508,316	\$2,197,121	\$2,606,032	\$4,578,165	\$14,928,080	\$153,177,462	\$10,059,581	\$350,054,757
Wolfpack Club/Athletics**	\$162,509,083	\$659,871	\$311,864	\$2,236,870	\$3,081,288	\$425,774	\$217,050	\$169,441,800
Total	\$712,768,158	\$32,928,246	\$38,036,031	\$166,829,625	\$206,553,034	\$344,637,833	\$91,073,139	\$1,592,826,066

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association





	Cash Gifts	Gifts-In-Kind	Matching Gifts	PG Life Income	Realized Bequests	Bequest Expectancies	Pledges	Non- Governmental Grants	Campaign Total
Ag and Life Sciences	\$103,556,193	\$6,180,861	\$304,438	\$7,340,485	\$5,566,835	\$74,055,591	\$49,356,885	\$120,863,188	\$367,224,476
Design	\$4,416,386	\$105,542	\$67,429	\$25,000	\$185,746	\$4,613,000	\$1,026,297	\$3,868,106	\$14,307,506
Education	\$3,780,008	\$8,184	\$29,089	\$50,000	\$202,677	\$4,124,500	\$776,981	\$25,665,713	\$34,637,152
Engineering	\$49,876,395	\$2,717,967	\$1,540,366	\$1,125,782	\$5,091,159	\$50,404,639	\$61,974,963	\$15,942,030	\$188,673,301
Humanities & Social Sciences	\$4,743,314	\$3,902	\$147,463	\$342,991	\$62,809	\$12,310,728	\$10,821,941	\$2,427,971	\$30,861,119
Poole College	\$11,777,898	\$4,310	\$539,870	\$29,710,000	\$26,837	\$30,572,721	\$13,681,052	\$5,136,671	\$91,449,359
Natural Resources	\$10,100,193	\$1,174,694	\$204,540	\$548,278	\$369,420	\$11,776,955	\$3,791,373	\$1,940,203	\$29,905,656
Sciences	\$16,295,280	\$2,970,469	\$280,518	\$804,500	\$154,193	\$20,571,788	\$5,220,080	\$9,944,579	\$56,241,407
Textiles*	\$7,948,321	\$476,515	\$34,079	\$195,825	\$50,000	\$6,257,489	\$36,156,057	\$3,872,535	\$54,990,821
Veterinary Medicine	\$27,488,764	\$1,329,041	\$114,136	\$525,052	\$2,618,784	\$85,375,207	\$23,586,835	\$10,276,593	\$151,314,412
Alumni Association	\$4,088,289	\$0	\$222,589	\$70,000	\$396,050	\$636,970	\$3,924,020	\$0	\$9,337,918
DASA	\$6,804,823	\$926,639	\$201,419	\$3,517,451	\$622,650	\$4,167,022	\$4,091,126	\$2,251,122	\$22,582,252
Libraries	\$3,152,062	\$12,119,199	\$42,507	\$1,076	\$90,848	\$3,834,195	\$706,329	\$1,857,917	\$21,804,133
University-wide	\$215,559,937	\$793,474	\$620,978	\$1,227,428	\$819,073	\$12,051,104	\$113,120,146	\$5,862,618	\$350,054,758
Wolfpack Club/Athletics**	\$76,857,550	\$2,525,049	\$1,913,691	\$0	\$32,200	\$11,667,611	\$76,445,699	\$0	\$169,441,800
Total	\$546,445,413	\$31,335,845	\$6,263,110	\$45,483,869	\$16,289,282	\$332,419,520	\$404,679,782	\$209,909,245	\$1,592,826,066

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



Campaign Progress Gift Activity: Gifts by Use

as of September 30, 2019

	Current Operations	Endowment	Facilities	Campaign Total
Ag and Life Sciences	\$194,270,497	\$116,793,899	\$56,160,079	\$367,224,475
Design	\$7,197,564	\$7,004,401	\$105,542	\$14,307,507
Education	\$27,794,292	\$6,833,926	\$8,934	\$34,637,152
Engineering	\$64,843,059	\$75,124,086	\$48,706,155	\$188,673,300
Humanities & Social Sciences	\$7,878,930	\$22,978,287	\$3,902	\$30,861,119
Poole College	\$18,102,067	\$72,852,983	\$494,310	\$91,449,360
Natural Resources	\$8,028,173	\$20,688,780	\$1,188,704	\$29,905,657
Sciences	\$20,062,172	\$33,654,218	\$2,525,016	\$56,241,406
Textiles*	\$13,677,964	\$40,791,342	\$521,515	\$54,990,821
Veterinary Medicine	\$42,696,480	\$107,167,885	\$1,450,046	\$151,314,411
Alumni Association	\$1,235,461	\$8,028,944	\$73,513	\$9,337,918
DASA	\$9,263,122	\$7,839,913	\$5,479,216	\$22,582,251
Libraries	\$4,398,900	\$5,286,034	\$12,119,199	\$21,804,133
University-wide	\$121,770,274	\$213,617,073	\$14,667,410	\$350,054,757
Wolfpack Club/Athletics**	\$85,086,628	\$15,262,835	\$69,092,337	\$169,441,800
Total	\$626,305,583	\$753,924,605	\$212,595,878	\$1,592,826,066

^{*} Includes gift information provided by the North Carolina Textiles Foundation

^{**} Includes gift information provided by the NCSU Student Aid Association



Advancement Services





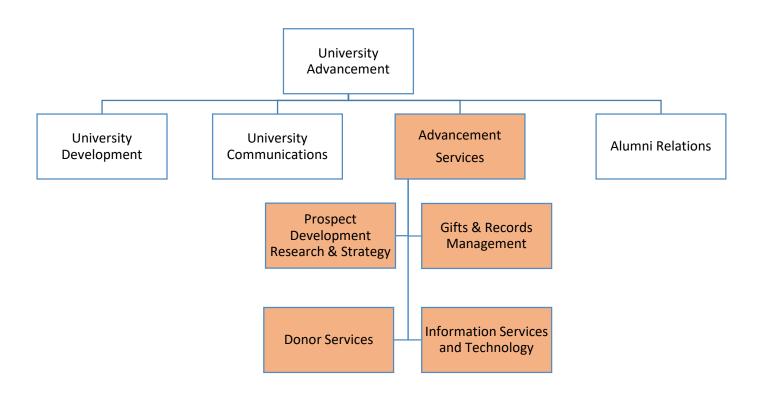








ADVANCEMENT SERVICES





COMMITTEE DISCUSSION

Watauga Medal Nominations Process

Watauga Medal

POL 01.30.3

BOT - Honorary Degrees, Awards and Distinctions

History: First Issued: January 17, 1975

4. PROCEDURE:

- 4.1 The Board of Trustees shall select up to three Watauga Medal recipients annually. The Board will make its selections from nominations submitted to and recommended by the North Carolina State University Watauga Medal Nomination Committee (the "Committee"). The Committee will forward its recommendations to the Chancellor for submission to the Academic Affairs and Personnel Committee of the Board. Nominations also may be submitted directly to the Academic Affairs and Personnel Committee in care of the Assistant Secretary to the Board. The Assistant Secretary will coordinate the submission of nominations with the Chancellor and the Vice Chancellor for University Advancement.
- 4.2 The Committee will consist of:
- 4.2.1 Five Senators designated by the Chair of the Faculty.*
- 4.2.2 Five administrators appointed by the Chancellor.*
- 4.2.3 The following officials shall be appointed as ex officio members of the Committee:
- a. Vice Chancellor for University Advancement, who will chair the Committee
- b. Associate Vice Chancellor for Public Affairs
- c. Associate Vice Chancellor for Development
- d. Chair-elect of the Faculty
- e. President of the Student Body
- f. President of the Alumni Association
- *The faculty senators and administrators will be appointed so as to provide one representative from each of the 10 schools.
- 4.3 Faculty, students, administrators, alumni and the general public will be invited to submit nominations to the Vice Chancellor for University Advancement.
- 4.4 Presentation of The Watauga Medal(s) will normally be a feature of the annual Founders' Day ceremonies.

	Authority
	Board of Trustees
	Title
	Watauga Medal
NIC CTATE LINUVED CITY	
NC STATE UNIVERSITY	Classification
	POL01.30.03
Policies, Regulations and Rules	1 OL01.50.05
,	PRR Subject
	BOT - Honorary Degrees, Awards and Distinctions
	Contact Info
	Vice Chancellor for University Advancement (919-515-3226)

Effective July 10, 2019

4. PROCEDURE

- 4.1 The Board of Trustees shall select up to three (3) Watauga Medal recipients annually. The North Carolina State University Watauga Medal Nomination Committee will receive nominations and forward up to five (5) recommendations to the Chancellor. Upon the Chancellor's recommendation, the University Advancement Committee will review and recommend Watauga Medal recipients to the Board of Trustees.
- 4.2 The Committee will consist of one representative from each College. The College representative, who may be a faculty member or administrator, shall be appointed by the Dean, and will serve a term of three years or at the pleasure of the Dean.
- 4.2.1 The following officials shall be appointed as ex officio members of the Committee:
 - a. Vice Chancellor for University Advancement, who will chair the Committee
 - b. Associate Vice Chancellor for Marketing and Communications
 - c. Associate Vice Chancellor for University Development
 - d. Chair of the Faculty
 - e. President of the Student Body or designee
 - f. President of the Alumni Association
- 4.3 Faculty, students, administrators, alumni and the general public will be invited to submit nominations to the Vice Chancellor for University
- 4.4 Presentation of The Watauga Medal(s) will normally be a feature of the annual Founders' Day ceremonies.

AAPPROVED REVISION TO: POL 01.30.03 – Watauga Medal

Rationale: Clarifying the nomination, recommendation, and approval process for Watauga Medal recipients.

Previous language:

4.1 The Board of Trustees shall select up to three Watauga Medal recipients annually. The Board will make its selections from nominations submitted to and recommended by the North Carolina State University Watauga Medal Nomination Committee (the "Committee"). The Committee will forward its recommendations to the Chancellor for submission to the University Advancement Committee of the Board.

Replacement language:

4.1 The Board of Trustees shall select up to three (3) Watauga Medal recipients annually. The North Carolina State University Watauga Medal Nomination Committee will receive nominations and forward up to five (5) recommendations to the Chancellor. Upon the Chancellor's recommendation, the University Advancement Committee will review and recommend Watauga Medal recipients to the Board of Trustees.

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY **AGENDA**

University Affairs Committee 1:15 p.m. - 3:00 p.m. November 21, 2019 **Winslow Hall Conference Room**

Stan Kelly, Chair Members: Emma Carter, Jimmy Clark, Jim Harrell, Ven Poole, Ron Prestage

CALL TO ORDER

Stan Kelly, Chair

ROLL CALL

Stan Kelly, Chair

READING OF STATE GOVERNMENT ETHICS ACT CONFLICT OF INTEREST STATEMENT Stan Kelly, Chair

1.	CONSE	ENT AGENDA	TAB 7.1
	A. B.	Approval of September 12, 2019 Minutes (open & closed session) Center and Institute Requests a. Request to Continue - North Carolina Institute for Climate Studies (NCICS)	7.1A 7.1B
	C.	b. Request to Continue - Kenan Institute for Engineering, Technology and Science Conferral of Tenure Requests	e (KIETS) 7.5
2.	REQUE	ESTED ACTION	TAB 7.2
✓	A.	Consideration of Campus Initiated Tuition Increase and Student Fees Presenters: Chancellor W. Randolph Woodson, Executive Vice Chancellor and Provost Warwick Arden and Interim Vice Chancellor Lisa Zapata	7.2A
✓	B.	Revisions to Policy 05.20.01 Appointment, Reappointment, Promotion and Permanent Tenure Presenter: Warwick Arden, Executive Vice Chancellor and Provost Rationale: Edits to align with recent revisions to UNC Code and for clarification provided in the pr	7.2B urposes.
✓	C.	Revisions to Policy 05.25.01 Faculty Grievance and Non-Reappointment Review <i>Presenter: Warwick Arden, Executive Vice Chancellor and Provost</i> Rationale: Edits to align with recent revisions to UNC Code.	7.2C

	TH CAR ember 2	OLINA STATE UNIVERSITY 1, 2019	Page 2
3.	REP	ORTS	TAB 7.3
	A.	Staff Senate Report Presenter: Janice Sitzes, Chair	7.3A
	B.	Provost Update (no materials) Presenter: Warwick Arden, Executive Vice Chancellor and Provost a. Update on Current Initiatives b. Faculty Retention Report	
4.	ТОР	IC OF INTEREST/COMMITTEE DISCUSSION	TAB 7.4
	A.	The Experiential Library: A Platform for Teaching, Learning, and Research Presenter: Greg Raschke, Senior Vice Provost and Director of Libraries	
5	CLO	SED SESSION	TAR 7 5

TAB 7.1

UNIVERSITY AFFAIRS COMMITTEE

RECONVENE OPEN SESSION

ADJOURN

6.

7.

CONSENT AGENDA ITEMS

MINUTES

UNIVERSITY AFFAIRS COMMITTEE

Board of Trustees North Carolina State University September 12, 2019

The University Affairs Committee of the Board of Trustees of North Carolina State University met September 12, 2019 in the Winslow Hall Conference Room.

Members Present: Stan Kelly, Committee Chair

Emma Carter Jimmy Clark Jim Harrell Ven Poole Ron Prestage

Chair Kelly called the meeting to order at 1:16 p.m. The roll was called and a quorum was present. He asked members and others in attendance to introduce themselves.

All members of the committee were reminded of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act. It was inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the committee at this meeting. There being none, the meeting continued.

Committee Responsibilities and Plan of Work

Provost Arden provided a brief overview of the committee's responsibilities. He noted the committee uses a consent agenda format to approve items that have been through comprehensive evaluation processes on campus, e.g., conferral of tenure requests, center and institute requests and new academic programs. He also noted a committee member may request an item be pulled from the consent agenda for discussion as needed.

In reference to the committee's plan of work for the year, Provost Arden explained the plan is a working document and can be updated as the year progresses. He stated much of the committee's work is prescribed by policy; however, utilization of the consent agenda will allow time for brief presentations on topics of interest. Chair Kelly encouraged committee members to share ideas with him or the Provost on any topics they would like discussed.

Consent Agenda

Chair Kelly asked Provost Arden to provide more detail for item B (a) on the Consent Agenda. Provost Arden explained that the FREEDM Center is a National Science Foundation (NSF) - funded Engineering Research Center that focuses on the electric power grid. The Center has undergone its second five-year review and is very successful. The most recent periodic review conducted by NSF noted the Center's 10 year annual report provided limited information about assessment of the Center's education programs. In its response to the NSF report, the Center provided details on assessment to augment the year 10 annual report. Provost Arden further explained that annual reporting on assessment of the Center's educational programs is not a university-level requirement.

In response to a question about time-limited options for distinguished professorships, Provost Arden explained that the overwhelming majority of NC State's distinguished professorships are offered to professors for the duration of their careers at NC State; however, sometimes a donor agreement indicates the professorship may be awarded for a specific term, such as five years, and this time-limited designation requires Board of Trustees approval. Allowing for this option in the agreement provides the university with maximum flexibility in awarding these professorships over time. The approval applies only to those endowed distinguished professorships seeking matching funds available through the state's Distinguished Professors Endowment Trust Fund (DPETF).

A motion was made by Mr. Clark to approve the consent agenda items which included approval of the July open and closed session meeting minutes; continuation of the Future Renewable Electric Energy Delivery and Management Systems Center (FREEDM); and designation of a time limited option for two distinguished professorships. Dr. Prestage seconded the motion. The motion carried.

Reports

Dr. Louis Hunt, Senior Vice Provost for Enrollment Management and Services, gave the annual enrollment report. He explained the numbers in his presentation have been updated as of Census Day and are therefore more current than the numbers found in the materials. Overall enrollment for Fall 2019 was 36,302, which is the largest in NC State's history. The freshman cohort was among the largest and most accomplished in the university's history. For Fall 2019, the university enrolled 4,772 new freshmen and 1,306 new transfer students. The Fall 2019 freshman cohort is the first in NC State's history to have more female students than male. Programs that are in place to shape the class and enhance student success, such as the Community College Collaboration (C3), were discussed. Finally, by using interactive SAS software, Dr. Hunt was able to demonstrate to the committee the university's data analytics capabilities in the area of enrollment management.

Next, Student Body President Emma Carter provided an update. Student Government has collaborated with other campus units to improve parking and access for ROTC students who have early morning class requirements. Student Government is also working with the Office for Institutional Equity and Diversity to consider ways an online diversity competency training program would work at NC State. A program that is being used at the University of Illinois at Urbana-Champaign is of particular interest and further research is being conducted. The annual Respect the Pack diversity and inclusion event was held on August 20. Student Government has worked with Campus Enterprises to move the Interfaith Prayer Space solely to Talley Student Union. Campus Enterprises will monitor traffic to the space and access the need for a second space at the end of the academic year. Finally, President Carter highlighted the 2019-2020 Student Government Executive Officers and shared information regarding some of the internships held by Student Government members over the summer.

Dr. Hans Kellner, Chair of the Faculty, provided an overview of the Faculty Senate, including its history and current configuration. The Faculty Senate, now in its sixty-sixth session, consists of 37 members elected by the colleges. The Chair of the Faculty is elected by the General Faculty for a two-year term. This is Dr. Kellner's second term as chair; he also chaired the faculty from 2011-2013. The Faculty Senate has had two meetings this academic year. At the next meeting, the Office of Faculty Affairs will provide a refresher on policies, rules and regulations and the Senate will hear from new Athletics Director, Boo Corrigan. A general faculty meeting will be held in October. Chair Kellner shared that one of his principal goals is to strengthen and support the pipeline of faculty leadership, particularly for the Chair role.

Chancellor Woodson shared that Dr. William L. Roper, Interim UNC System President, will deliver the December commencement address.

Provost Arden provided an overview of initiatives for the academic year including an update on leadership searches and transitions; the strategic planning process, construction of the Academic Success Center in D.H. Hill Library which is planned to open in August 2020; and the five-year report to NC State's accrediting body – SACS COC (Southern Association of Colleges and Schools Commission on Colleges) that is due in March 2020.

Closed Session

A motion was made by Mr. Clark, and seconded by Dr. Prestage, to go into closed session to prevent the premature disclosure of an honorary degree or award; to establish the amount of compensation and other materials terms of an employment contract or proposed employment contract; and to consider the qualifications, competence, performance, character, fitness, conditions of appointment or conditions of initial employment of an employee or prospective employee. The motion carried.

Reconvene in Open Session

After coming out of closed session, Chair Kelly announced the meeting in open session.

Dr. Prestage moved to approve the personnel items discussed in Closed Session related to the approval of an addendum to a head coach employment agreement and the appointment and initial salary of a Dean. Mr. Clark seconded the motion. The motion carried.

With no further business,	Chair Kelly announced	I the meeting adjourne	ed at 2:54 p.m.

Stan Kelly,	Chair		



Office of Research and Innovation

Office of the Vice Chancellor

http://research.ncsu.edu

Campus Box 7003 Holladay Hall, Suite 1A Raleigh, NC 27695-7003 P: 919.515.2117

MEMORANDUM

TO: W. Randolph Woodson

Chancellor

NC State University

FROM: Mladen A. Vouk

Vice Chancellor for Research and Innovation

NC State University

SUBJECT: Recommendation to continue the NC Institute for Climate Studies (NCICS) under

4 lun four

Regulation 10.10.04

DATE: September 24, 2019

The NC Institute for Climate Studies (NCICS) was established in January 2011 by the Board of Governors as a UNC System Multi-Campus Institute administered by the NC State Vice Chancellor for Research.

NCICS' primary activity is the operation of a National Oceanic and Atmospheric Administration (NOAA) Cooperative Institute (CI). Initial NOAA CI support to NCICS for the operation of the Cooperative Institute for Climate and Satellites-North Carolina (CICS-NC) was provided through the competitively awarded Cooperative Agreement NA09NES4400006 in the amount of \$27.6M for the period of July 2009 – June 2014. NCICS was reviewed in November 2012 by its principal federal funding agency, NOAA, and received an overall rating of "Outstanding". NOAA certified that the Institute's vision and operations are aligned closely with NOAA goals, and commended its research, management, outreach and education efforts during the first four years of the Institute's existence. Following the successful science and administrative review, NOAA CI support for CICS was renewed/extended for a second 5-year term under NOAA cooperative agreement (NA14NES4320003) for the period July 2014 – June 2019 providing an additional \$27.3M to NCICS. From July 2012 - June 2019, NCICS also received \$4M in other extramural support from NASA, NSF, DoD, CDC, and DoE as well as several private-industry project awards.

While NOAA CIs are intended to provide a long-term institutional relationship between NOAA and external academic partner(s), NOAA CI Policy allows CI awards to be renewed only once based on the outcome of the 4th year review. After 10 years, academic institution(s) must again compete for a new (or replacement) CI award. In response to a 2019 Federal Funding Opportunity (RFP number NOAA-NESDIS-NESDISPO-2019-20061250), North Carolina State University and the University of Maryland (UMD; the lead institution and Prime recipient of the NOAA CI awards above) submitted a joint proposal for a new NOAA CI award. This proposal requested a total of \$175M (including \$45M for NCSU/NCICS) for the period July 2019 – June 2024 under the new NOAA CI, the Cooperative Institute for Satellite Earth System Studies (CISESS). CISESS will continue the activities initiated during the first decade under CICS as well as broaden the scope of efforts to include the fuller documentation of the natural atmosphere-ocean-land-biosphere components of the Earth system and how they interact with human activities as a coupled system. NCICS will continue and expand the scope of its activities supporting NOAA's National Centers for Environmental Information as well as other NOAA programs and initiatives.

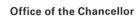
Page 2

Following NOAA's full competitive solicitation review, UMD was notified of successful award on May 31, 2019, and received Cooperative Agreement NA19NES4320002 in June 2019. As of September 15, 2019, UMD is in the process of issuing a federal flow-down sub-award to NCICS/NC State for operation of the North Carolina CISESS location. NC State views the review of NCICS operations and receipt of this award as the most recent indication of the principal agency's commitment to the Institute and approval of Institute operations.

Given NOAA's most recent enthusiastic evaluation of NCICS and the receipt of a new five-year Cooperative Agreement, I request continuance of the Institute in compliance with Reg. 10.10.04.

MAV/mh

cc: Otis Brown, Director, NCICS
Jonathan Horowitz, Associate Vice Chancellor for Research
Larisa Slark, Centers and Institutes Specialist



NC STATE UNIVERSITY

ncsu.edu/chancellor

Campus Box 7001 Holladay Hall, Suite A Raleigh, NC 27695-7001 P: 919.515.2191

MEMORANDUM

TO:

Mladen Vouk

Vice Chancellor for Research and Innovation

FROM:

W. Randolph Woodson

Chancellor

SUBJECT:

Recommendation to continue the NC Institute for Climate Studies (NCICS) under

I Landy Woods

Regulation 10.10.04

DATE:

September 25, 2019

In response to your Memorandum dated September 24, 2019, authorization is hereby granted to forward the request to continue the NC Institute for Climate Studies (NCICS) to the Board of Trustees for approval.

WRW/mh

CC:

Otis Brown, Director, NCICS

Jonathan Horowitz, Associate Vice Chancellor for Research

Larisa Slark, Centers and Institutes Specialist



Office of Research and Innovation

Office of the Vice Chancellor

http://research.ncsu.edu

Campus Box 7003 Holladay Hall, Suite 1A Raleigh, NC 27695-7003 P: 919.515.2117

MEMORANDUM

TO: W. Randolph Woodson

Chancellor

NC State Universitywol

FROM: Mladen A. Vouk

Vice Chancellor for Research and Innovation

NC State University

SUBJECT: Recommendation to continue the Kenan Institute for Engineering, Technology and

42 Soul

Science (KIETS) under Regulation 10.10.04

DATE: October 24, 2019

The Kenan Institute for Engineering, Technology and Science (KIETS) was established by the UNC System Board of Governors in July 1992. The Institute's mission is to develop partnerships in basic research, education, commercialization and public outreach dedicated to the advancement of science, engineering and technology as a force in improving the economic and social well-being of the nation and the world.

In accord with Regulation 10.10.04, a periodic (five-year) review of KIETS was conducted in July 2019. The consensus review provided by the Review Team indicates that (i) Institute operations are aligned with its mission and charter, (ii) the Institute successfully leverages its funds to drive activities that are impactful across the state of NC, and, (iii) the Institute's strategies are used as a model for additional inter- and multi-disciplinary activities conducted by NC State.

The Review Team's recommendations for the future focused on the need for succession planning by KIETS leadership, pursuit of additional extramural funding opportunities, as well as broader dissemination of the results of the Institute's programs and activities. Each of these recommendations were accepted by the Institute and will be acted upon as part of the Institute's updated strategic plan.

Given the effectiveness of ongoing operations within the Institute, the NC State Office of Research and Innovation and the Provost's Office request continuance of KIETS as a University Institute as sanctioned by the Board of Trustees. I request your approval of this recommendation.

MAV/mh

cc: Ruben Carbonell, Director, KIETS

Jonathan Horowitz, Associate Vice Chancellor for Research

Larisa Slark, Centers and Institutes Specialist



Office of the Chancellor

ncsu.edu/chancellor

Campus Box 7001 Holladay Hall, Suite A Raleigh, NC 27695-7001 P: 919.515.2191

MEMORANDUM

TO:

Mladen Vouk

Vice Chancellor for Research and Innovation

FROM:

W. Randolph Woodson

Chancellor

SUBJECT:

Recommendation to continue the Kenan Institute for Engineering, Technology and

Konly Woodn

Science (KIETS) under Regulation 10.10.04

DATE:

October 25, 2019

In response to your Memorandum dated October 24, 2019, authorization is hereby granted to forward the request to continue the Kenan Institute for Engineering, Technology and Science (KIETS) to the Board of Trustees for approval.

WRW/mh

CC:

Ruben Carbonell, Director, KIETS

Jonathan Horowitz, Associate Vice Chancellor for Research

Larisa Slark, Centers and Institutes Specialist

Conferral of Academic Tenure:

The information regarding conferral of academic tenure is included in the Closed Session Materials – Tab 7.5A.

REQUESTED ACTION ITEMS



Office of the Chancellor

ncsu.edu/chancellor

Campus Box 7001 Holladay Hall, Suite A Raleigh, NC 27695-7001 P: 919.515.2191

MEMORANDUM

TO: NC State University Board of Trustees

FROM: Chancellor W. Randolph Woodson

SUBJECT: Recommendations for 2020-2021 Campus Initiated Tuition Increases (CITI) and Student

Fees

DATE: November 4, 2019

In accordance with the University of North Carolina Board of Governors' policy and the NC State Tuition and Fee adjustment process, a Tuition Review Advisory Committee (TRAC), co-chaired by Executive Vice Chancellor and Provost Warwick Arden and Student Body President Emma Carter, and a Fee Review Committee (FRC), co-chaired by Interim Vice Chancellor for Academic and Student Affairs Lisa Zapata and Student Senate President Mitchel Moravec, were appointed. The Tuition Review Advisory Committee (Attachment A) and the Fee Review Committee (Attachment B) forwarded their recommendations to me.

The TRAC Committee approved the following Campus Initiated Tuition Increase (CITI) recommendations:

2020-21

- Continue guaranteed 8/10 semester fixed tuition rate for undergraduate residents enrolled as of Fall 2016
- (\$ 163 CITI = 2.5%) Undergraduate Residents
- (\$ 800 CITI = 3%) Undergraduate Nonresidents
- (\$ 227 CITI = 2.5%) Graduate Residents
- (\$1057 CITI = 4%) Graduate Nonresidents

The TRAC Committee recommends that the additional tuition revenues be used to: 2020-21

- Contribution to need-based Financial Aid: recommended allocating \$2,393,517 million
 [which equals 33.7%]
- provide funding to the Graduate Student Support Plan: recommended allocating 1,642,250
 [23.1%]
- improve the quality and accessibility of the NC State educational experience: recommended allocating 2,069,350 [29.1%]
- provide funding for faculty promotional increases: recommended allocating \$1,000,000
 [which equals 14.1%]

The Fee Review Committee recommended the following fees for NC State students for the 2020-21 academic year:

The committee recommends an increase in fees of \$17.85. This would represent an increase of .70% on all fees. Total fees for undergraduates would be \$2,583.45 under this proposed fee schedule.

The Campus Security Student Fee was established for the fall of 2016 by the UNC Board of Governors. Universities have not been allowed to request increases in the fee since established. However, this year after the Student Government's review process was nearly complete, we

Recommendations for 2020-2021 Campus Initiated Tuition Increases (CITI) and Student Fees Page 2
November 4, 2019

received notification that requests to increase this fee for the Fall of 2020 would be allowed. Because of the late notice, the Student Government voted to not consider the proposed increase. The Fee Review Committee voted to support the Student Government's recommendation not to consider the request.

I am requesting the trustees consider including the recommended \$10.40 increase in the Campus Security Fee. Given the growth of our student body and additional pressures on the safety and security of our campus, I believe this increase in fees is reasonable. I am also recommending that we reduce the Student Center Programming Fee increase request by \$3.00 as the request included a similar position to the one requested in the Campus Security Fee.

Therefore, the total recommendation for increase in fees is \$25.25 representing an increase of 0.98%. Total fees for undergraduates would be \$2,590.85 under the revised proposed fee schedule.

Thank you for your consideration of my 2020-2021 CITI and fee recommendations.

Attachments

Warwick Arden, Executive Vice Chancellor and Provost
 Charlie Maimone, Vice Chancellor, Finance and Administration
 Lisa Zapata, Interim Vice Chancellor, Division of Academic and Student Affairs



Office of the Executive Vice Chancellor & Provost

provost.ncsu.edu

Tab 7.2A Campus Box 7 Page 3 102 Holladay Hali Raleigh, NC 27695-7101 P: 919.515.7624

MEMORANDUM

TO:

W. Randolph Woodson

Chancellor

FROM:

Warwick A. Arden

Or and Provost Marwel Inda Emma I Carter **Executive Vice Chancellor and Provost**

Emma Carter

President, Student Body

SUBJECT:

Report of the 2019-20 Tuition Review Advisory Committee Regarding Campus Initiated

Tuition Increase (CITI)

DATE:

November 4, 2019

The Tuition Review Advisory Committee (the Committee) submits the following campus initiated tuition increase (CITI) for 2020-21.

The Committee recognizes that final authority for recommending tuition increases to the North Carolina Legislature rests with the UNC System Office and the UNC Board of Governors. Information received from the UNC System was shared with the committee as follows:

- ✓ For 2020-21, UNC-Board of Governors will allow up to 3% to be considered for on campus-initiated tuition increase for resident undergraduate students.
- ✓ For 2020-21, increases are allowed for nonresident undergraduate students following the traditional process and be market driven.
- ✓ For 2020-21, graduate tuition increases will be considered and should follow the Board's tuition and fee policy.

Two committee meetings were scheduled [September 26 and October 4]. These meetings were well attended, and members engaged in thoughtful discussions during each meeting. The committee received directions from the UNC System office at the first meeting. The Committee proceeded with the CITI review and recommendation process for all students. Members reviewed and discussed relevant information relating to tuition, evaluated available data, and formulated CITI recommendations for the 2020-21 fiscal year.

During the committee's meeting cycle, it was discussed that there is a desire among students to remain conservative as tuition is always considered when deciding on a school. There is also a desire to stay competitive with peer institutions. NC State will remain in the lowest quartile for resident undergraduate tuition. The Committee reviewed several scenarios and agreed to keep a modest approach. However, while the Committee understood the importance of remaining a good value, it also recognized and discussed the importance of understanding that with the inflation and cost of education we cannot continue to provide the same level of quality of our educational product with little or no increase in tuition.

W. Randolph Woodson Page 2 November 4, 2019

Ultimately, the committee recommended the following: 2.5% for resident undergraduate students, 3% for nonresident undergraduate students, 2.5% for resident graduate students and 4% for nonresident graduate students.

The committee discussed and recommended percentages for allowable uses. It was determined that we would need approximately \$1 million for faculty promotional increases and \$1,642,250 to hold the GSSP harmless. The committee then discussed best scenarios for financial aid and came up with the following final recommendations: as previously stated, \$1,000,000 for Faculty Promotional Increases (14.1%), \$1,642,250 for GSSP (23.1%), \$2,393,517 for Financial Aid (33.7%) and \$2,069,350 for Quality & Accessibility (29.1%). The committee also recommended that any unused funds from the GSSP and faculty promotional increases be allocated to quality and accessibility.

At its October 4th meeting where the above was discussed, the Committee completed its work by voting and approving the recommended percent tuition increase for four student categories and the percent allocation for four expenditure categories. The vote was unanimous. The Committee includes 11 *voting members* and 6 *non-voting members*; 10 out of 11 *voting members* cast votes.

The Committee approved the following campus initiated tuition increase (CITI) recommendations: 2019-20

- Continue guaranteed 8/10 semester fixed tuition rate for undergraduate residents enrolled as of Fall 2016
- (\$ 163 CITI = 2.5%) Undergraduate Residents
- (\$800 CITI = 3%) Undergraduate Nonresidents
- (\$ 227 CITI = 2.5%) Graduate Residents
- (\$1057 CITI = 4%) Graduate Nonresidents

The Committee recommends that the additional tuition revenues be used to: 2019-20

- Contribution to need-based Financial Aid: recommended allocating \$2,393,517 million
 [which equals 33.7%]
- provide funding to the Graduate Student Support Plan: recommended allocating 1,642,250
 [23.1%]
- improve the quality and accessibility of the NC State educational experience: recommended allocating 2,069,350 [29.1%]
- provide funding for faculty promotional increases: recommended allocating \$1,000,000
 [which equals 14.1%]

If you have guestions or would like further information, please let us know.

WAA/kmw

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Final Tuition Recommendation for 2020-21									
	2020-21								
		Projected	Revenue						
Student Categories:	%	FTEs	Increase	Generated					
Undergraduate Residents (Guaranteed – All But									
New Cohort)		14,131	\$0	\$0					
Undergraduate Residents	2.50%	5,500	\$163	\$896,500					
Undergrad Nonresidents	3.00%	2,950	\$800	\$2,360,000					
Graduate Residents	2.50%	2,878	\$227	\$653,306					
Graduate Nonresidents	4.00%	3,023	\$1,057	\$3,195,311					
Total		28,482		\$7,105,117					
Proposed Use:			%	Allocation					
Need-based Financial Aid			33.7%	\$2,393,517					
Graduate Student Support Plan (GSSP)			23.1%	\$1,642,250					
Improve Quality & Accessibility			29.1%	\$2,069,350					
Faculty Promotional Increases			14.1%	\$1,000,000					
Total			100.0%	\$7,105,117					
Graduate Student Support Plan (GSSP)		Students	Rate	Increased Cost					
State Supported Graduate Students		1,750	\$227	\$397,250					
Non-State Supported Graduate Students		1,500	\$1,057	ψ557,250					
Differential in Tuition Remission		1,500	\$830	\$1,245,000					
Total		1,000	Ψοσο	\$1,642,250					
Summary		Increase	2	020-21					
Tuition 2019-20		Amounts	Percent	New Tuition					
\$6,535		\$163	2.5%	\$6,698					
\$26,654		\$800	3.0%	\$27,454					
\$9,095		\$227	2.5%	\$9,322					
\$26,421		\$1,057	4.0%	\$27,478					
Differential in graduate resident and non-		+ /		Ŧ ,					
resident tuition:									
\$17,326		\$830		\$18,156					

- FTEs include On-Campus Regular term and CVM portion of Vet Med students. DE and DVM not included here.
- Undergraduate Residents have a guaranteed rate, except for the 5,500 FTE assumed to be in the new freshmen (and new transfers-in) undergraduate cohort.
- 14,131 of Undergraduate Resident FTEs are assumed to be in the existing cohorts with guaranteed rates.

NCSU Tuition and Fee Proposals for 2020-21

Tuition Category
Undergraduate Resident
Undergraduate Nonresident
Graduate Resident
Graduate Nonresident

_	2020-21 Tuition									
2019-20	Proposed	%	Revenue	2020-21						
Tuition	Increase	Change	Generated	Rate						
\$6,535.00	\$163.00	2.5%	\$937,808	\$6,698.00						
26,654.00	\$800.00	3.0%	\$2,439,171	27,454.00						
9,095.00	\$227.00	2.5%	\$960,906	9,322.00						
26,421.00	\$1,057.00	4.0%	\$3,451,304	27,478.00						

Estimated Total Revenue Generated	\$7,789,189	
Proposed Expenditures:		
Inflationary Adjustments	0	0.0%
Insert concise justification		
Faculty and Staff Retention	1,000,000	12.8%
Faculty Promotional Increases		
Expanded Institutional Opportunities	2,466,112	31.7%
Improve Quality and Accessibility		
Student Services	0	0.0%
Insert concise justification		
Academic Support	287,310	3.7%
Course redesign and instructional redesign to support faculty		
Libraries	0	0.0%
Insert concise justification		
Technology Improvements	0	0.0%
Insert concise justification		
Other	4,035,767	51.8%
Need-based Financial Aid & Graduate Student Support Plan		
Total - Proposed Expenditures	7,789,189	100.0%

		2020-21 Fees					
	2019-20	Proposed	%	2020-21			
General Fees and Debt Service	Fees	Increase	Change	Fees			
Athletics	\$232.00	\$0.00	0.0%	\$232.00			
Health Services	407.00	0.00	0.0%	407.00			
Student Activities	679.32	9.35	1.4%	688.67			
Educational & Technology	439.28	0.00	0.0%	439.28			
Campus Security	30.00	10.40	34.7%	40.40			
Subtotal - General Fees	1,787.60	19.75	1.1%	1,807.35			
Debt Service *	572.00	0.00	0.0%	572.00			
ASG Fee	1.00	0.00	0.0%	1.00			
Total Proposed UG Fees	\$2,360.60	\$19.75	0.8%	\$2,380.35			
Proposed UG Resident Tuition & Fees	\$8,895.60			\$9,078.35			

^{*} The debt service line should capture the total debt service fee (all debt projects) and the total proposed increases.

TUITION REQUEST FORM

NCSII

Regular Campus-Initiated Tuition Increases for 2020-21

	2020-21	Tuition Increas	es
Requested Campus-Initiated	Reg. Term	DE Rate	
Tuition Increase	Annual Increment	(SCH)	Revenues
Undergraduate Residents	\$163.00	\$5.51	
Undergraduate Nonresidents	\$800.00	\$27.03	
Graduate Residents	\$227.00	\$11.13	
Graduate Nonresidents	\$1,057.00	\$51.81	
	FTE	SCH	
Undergraduate Residents (Fall 2020)	5,500	7,497.00	
Undergraduate Residents (Fall 2017, 2018, & 2019)	14,131	19,263.00	
Undergraduate Nonresidents	2,950	2,929.00	
UG Resident per G.S. 116-143.6			
Graduate Residents	2,878	27,637.00	
Graduate Nonresidents	3,023	4,941.00	
Projected Revenues			
Undergraduate Residents	896,500	41,308	937,808
Undergraduate Nonresidents	2,360,000	79,171	2,439,171
UG Resident per G.S. 116-143.6	-	-	-
Graduate Residents	653,306	307,600	960,906
Graduate Nonresidents	3,195,311	255,993	3,451,304
Total	7,105,117	684,072	7,789,189
Projected Expenditures			
Inflationary Adjustments	-	-	-
Other Critical Needs:			
1. Faculty & Staff Retention	1,000,000	-	1,000,000
2. Expanded Instit. Opportunities	2,069,350	396,762	2,466,112
3. Student Services	-	-	-
4. Academic Support	-	287,310	287,310
5. Libraries	-	-	-
6. Technology Improvements	-	-	-
7. Other (provide details below)	4,035,767	-	4,035,767
Total	7,105,117	684,072	7,789,189

"Other" Expenditure Explanation:

Need-based Financial Aid \$2,393,517; GSSP \$1,642,250

NC State University's CITI Request Justification

FY 2020-21

NC State's request for CITI will enable us to continue to provide expanded educational opportunities and innovative enhancements that promote the success of our students. Consistent with our on-going strategic planning, we will continue to invest in programs that promote a strong interdisciplinary and entrepreneurial culture to position students to be successful engaged leaders in society when they graduate.

Our regular term CITI request will generate approximately \$7.1M, about 33.7% will be allocated to need-based students via financial aid, 23.1.% will support the GSSP, a fund that provides stipend, tuition and health benefits to graduate students. About 14.1% will be allocated for faculty promotional increases that are awarded when faculty are promoted with tenure through NC State's Reappointment, Promotion, and Tenure process and assists with our faculty retention efforts. The remaining 29.1% will be allocated to improve quality and accessibility by supporting additional seats and sections in our general education courses, adding professional advisers to meet increased demand, and supporting expanded institutional opportunities for our students. In addition, the distance education CITI will generate approximately \$684K and it will be distributed to enhance student success through educational innovation; educational opportunities (58%) and increase academic support (42%). For example, these additional funds allow us to:

- 1) Continue to hire faculty in order to expand into new and emerging programs, to reduce class size and to increase the flexibility in the delivery of courses,
 - Through NC State's targeted interdisciplinary faculty hiring plan, we are bringing together the brightest minds in a range of academic disciplines. We will continue to fund faculty lines in targeted interdisciplinary programs, such as:
 - Carbon Electronics
 - Digital Transformation
 - o Data Science
 - o Human Health & the Environment
 - We continue to assess class size and its impact on student learning. Class size will be reduced by expanding course offerings which will enable students to take more courses in the sequence needed which decreases time to degree and reduces the financial burden on students and parents.
 - NC State strives to provide innovative and technology-driven modes of course delivery to students, which provides students more opportunities and schedule flexibility. This flexibility allows students to participate in a variety of other academic opportunities that they may not be afforded otherwise.
- 2) invest in developing and implementing innovative learning technologies,
 - NC State promotes a technology-rich education by investing in learning technologies. The rate of innovation in learning technologies is rapid. Advances in research on learning and teaching, coupled with advances in information and communications technology, have paved the way for the next generation of

- technology-rich education. We invest in high-end equipment to support instructional research and student learning experiences.
- We immerse ourselves in emerging technology research. We are continually exploring new learning technology tools and delivery mechanisms to lead educational innovation.
- Investments in DELTA's course redesign have resulted in significant reductions in drop/withdrawal/failure rates not only in the redesigned courses, but also in subsequent "downstream" courses. As a result, these redesigned courses are having a marked impact on student success and throughput.
- Our instructional tools enhance learning, streamline course administration, increase engagement, and support student achievement. For example, Moodle is a virtual course environment that offers a suite of teaching and learning tools. With this tool, an engaging learning space can be created for a particular course blending content, interaction and testing. There are other hardware/software platforms that allow video, audio and/or screen capture of any event to be streamed live and/or archived for future viewing. Lectures can be recorded and students can watch and review at their convenience. In addition, there is another learning technology tool that enables faculty to hold live sessions with their students for office hours and/or problem-solving sessions.
- Financial resources are being directed to enhance learning spaces for our students; such as the visualization and data spaces in the DH Hill Library. We'll continue to make investments in student learning spaces where opportunities present themselves.
- 3) increase the opportunities for advising and mentoring at both the graduate and undergraduate levels
 - NC State continues to assess the need for academic advisors and invests in positions
 to strengthen advising in high-demand areas. Professional advisors and faculty
 mentors play a pivotal role in the success of both our undergraduate and graduate
 students.
- 4) provide graduate and undergraduate internships and professional development experiences.
 - Investments are being made to provide educational opportunities for students to inspire them to lead and prepare them for life after graduation. For example, the Professional Experience Program (PEP) aims to create meaningful campus student employment opportunities with particular emphasis toward expanding undergraduate research opportunities and career development. Students are paid a wage while working with NC State entities to participate in undergraduate research and expand their professional development. Creating meaningful on-campus employment gives students a greater ability to focus on their studies and excel in the classroom. PEP connects university colleges, departments, and students seeking on-campus employment. Students receive hands-on work experience in the disciplines in which they are planning a career.
 - Student internship opportunities are numerous. Many of the colleges offer internships specific to the disciplines within their college. For example, the College

of Natural Resources requires all undergraduate students in the Department of Parks, Recreation, and Tourism Management to participate in a 10-week student internship. Participation in this internship provides students with relevant hands-on experiences in program development, administrative procedures, supervision, time-management, facility operation and maintenance.

- Internships are offered in a variety of businesses, non-profits, government agencies, or educational institutions in the Triangle and are matched to the students' career path.
- We're constantly evaluating professional development opportunities in graduate education. We work with our graduate students to help them think about how the knowledge and skills they develop while students at NC State are transferable out into the real world and excel in a career. For example, we are offering a Dissertation Institute to master's students and PhD students. We're also holding writing workshops to encourage our students to write more and in a timely manner. Workshops are offered on communication both speaking and writing; leadership and management; project management; academic development teaching and mentorship; and personal and professional development, including skills to help students in their job search.
- Networking events are held for our graduate students, discussion panels, presentations, company site visits, and team projects, to name a few of the opportunities provided to move our students from academics to industry.

NC State has a proven record of improving student success. The additional resources coming from CITI will continue to build on program quality, maintain affordability and help ensure a stable workforce.



Division of Academic and Student Affairs Office of the Vice Chancellor and Dean

dasa.ncsu.edu

Campus Box 739 11 NC State University Raleigh, NC 27695-7301 P: 919.515.2446

MEMORANDUM

TO:

W. Randolph Woodson, Chancellor

FROM:

Lisa Zapata, Interim Vice Chancellor, Co-Chair

Mitchell Moravec, Student Senate President, Co-Chair

SUBJECT:

2020-2021 Student Fee Review Committee Recommendations

DATE:

October 15, 2019

In accordance with your charge to the Student Fee Review Committee, the committee met to review all student fees and make recommendations regarding continuation of existing fees and consideration of proposed increases for 2020-2021.

The members of the Student Fee Review Committee members include:

Dr. Lisa Zapata, Co-Chair, Vice Chancellor, Academic and Student Affairs Mitchell Moravec, Co-Chair, Student Senate President James Withrow, Graduate Student Representative Emma Carter, Student Body President Adam Phillips, Undergraduate Student Representative Dr. Jerome Lavelle, Associate Dean, Academic Affairs, College of Engineering Barbara Moses, Associate Vice Chancellor, Budget and Resource Management Krista Ringler, Director, Scholarships and Financial Aid Dr. Paul Williams, Professor, Poole College of Management Chris Poteat, Ex. Officio Non-voting, Student Senate Tuition and Fees Committee Chair

The committee met on September 19, October 1, and October 8. At the initial meeting, the committee discussed the fee process for the upcoming weeks and reviewed budgets and narratives for each student fee. The committee decided to review each fee individually and requested that all units requesting an increase or decrease attend the next meeting to discuss their requests. Please see Table 1 for a list of all fee requests. Co-Chair Moravec informed the committee about the student Town Hall meeting scheduled for September 23 and the Student Senate meeting on October 2, where the students would vote on their fee recommendations.

The following units were invited to the October 1 committee meeting to present and answer questions about their fee: OIED, Transit, Student Legal, Student Media and Student Center Operations-Campus Enterprises. After presentations, Barbara Moses shared with the Committee that NC State had recently received instructions from the UNC System Office regarding tuition and fees. The instructions contained new information with respect to the Campus Security Fee. For the first time since this fee was implemented five years ago,

campuses are allowed to request an increase, which would go into effect 2020-2021. Ms. Moses shared with the committee that the Budget Office would work with DASA, OIED, and EH&S to develop NC State's request. The Budget Office would then forward the information to the fee committee for their review and discussion at the October 8th meeting. Completion of the request would require a quick turnaround in order for the information to be available for consideration by Student Senate. The meeting ended with an announcement that Student Senate would be meeting and voting on the fees on October 2.

Student Senate met on October 2, discussed legislation on each fee request, and voted on final recommendations. Student Senate supported all fee increases with the following three exceptions: 1) approved a \$5.50 increase for Transportation (versus the unit requested \$11.00), 2) approved a \$6.00 increase for Student Center Operations - Campus Enterprises (versus the unit requested \$8.00), and 3) voted not to consider the Campus Security Fee due to the late submission.

On October 8, the Fee Review Committee had their final meeting to vote on fees. Dr. Jerome Lavelle was the only member not in attendance for the full meeting and did not vote on Student Media, Student Government, or Student Legal. DASA, OIED, and EH&S were invited to present and answer questions about their requests as part of the Campus Security Fee. The committee then went through and voted on each unit asking for an increase or decrease. In the end, the Fee Review Committee voted in line with the Student Senate recommendations. Detail of the votes for individual fees is located in Appendix A.

The final recommendations for fees for 2020-2021 would result in an increase in fees of \$17.85 (Table 1). This would represent an increase of .70% on all fees. Total fees for undergraduates would be \$2,583.45 under this proposed fee schedule. A more detailed report, showing fees from the past three years, the original requests, the recommendations from Student Senate, and the final approved amounts is reflected in Appendix B.

Lisa P. Zapata, Co-Chair

Interim Vice Chancellor, DASA

Mitchell Moravec, Co-Chair Student Senate President

cc: Dr. Warwick Arden, Provost and Executive Vice Chancellor

Mr. Charlie Maimone, Vice Chancellor for Finance and Business

Student Fee Review Committee

Student Fee Area Contacts

Table 1. Fee Requests and Recommended Fees for 2020-21

Student Fee	2020-21 Requested	2020-21 Recommended	2020-21 Total Fees			
Student Media Fee	-1.00	-1.00	26.25			
Student Government Fee (Transfer to Student Center Programs-DASA)	-1.30	-1.30	14.20			
Student Legal Services	1.00	1.00	17.50			
Student Center Operations - Campus Enterprises	8.00	6.00	106.39			
Student Center Programs - DASA (Transferred from Student Government)	1.30	1.30	216.45			
Student Center Programs - OIED	6.35	6.35	32.60			
All Other Activities Fees (No Increases)	0.00	0.00	278.28			
Student Activities Fees Requested	14.35	12.35	691.67			
Campus Security Fee	10.40	0.00	30.00			
Transit	11.00	5.50	210.50			
All Other General Fees (No Increases)	0.00	0.00	1,079.28			
Total General Fees Requested	21.40	5.50	1,319.78			
Total Indebtedness Fees	0.00	0.00	572.00			
Total Fees for 2020-21	2,601.35	2,583.45	2,583.45			
Total \$ Increase	35.75	17.85				
Total % Increase	1.39%	0.70%				

Appendix A - Summary of Voting at the Final October 8, 2019 Committee Meeting Page 1 of 2

Student Media

Motion to review the -\$1.00 fee request (decrease)

Student Senate approved the fee request at -\$1.00

After discussion, the committee voted to approve the -\$1.00 request: 8 Yes, 0 No, 0 Abstain

Student Government

Motion to review the transfer of \$1.30 to Student Center Programs-DASA for Wolfpack Pick Up Student Senate approved the fee transfer at \$1.30

After discussion, the committee voted on the \$1.30 fee transfer: 8 Yes, 0 No, 0 Abstain, passed

Student Center Operations - Campus Enterprise

Fee request was for \$8.00

Student Senate approved the fee request at \$6.00

Motion to discuss the \$6.00 amendment to the fee request, discussion followed

The committee voted on the \$6.00 amendment to the fee request: 5 Yes, 3 No, 0 Abstain

After discussion, the committee voted on \$6.00 amended fee request: 4 Yes, 4 No, 0 Abstain

Given the tie vote, the floor was opened for recommendations

Motion to review the original \$8.00 fee increase, discussion followed

Jerome Lavelle entered the meeting and voted on fees from this point forward (total of 9 votes)

The committee voted on the \$8.00 fee request: 3 Yes, 6 No, 0 Abstain, motion failed

Motion to again consider a \$6.00 fee request, discussion followed

The committee voted on the \$6.00 fee request: 6 Yes, 3 No, 0 Abstain, passed

Student Center Programs - OIED

Motion to review the \$6.35 fee request

Student Senate approved the fee request at \$6.35

After discussion, the committee voted on the \$6.35 request: 9 Yes, 0 No, 0 Abstain, passed

Transit

Fee request was for \$11.00

Student Senate approved the fee request at \$5.50

Motion to discuss the \$5.50 amendment to the fee request, discussion followed

The committee voted on the \$5.50 amended fee request: 6 Yes, 3 No, 0 Abstain, passed

Campus Security Fee

Motion to review the \$10.40 fee increase

Student Senate provided no recommendation due to the late request, felt the request was made in an unreasonable time frame to expect them to review and vote.

University Budget Office, Barbara Moses, stated the information was received from the System Office very late in the cycle, yet emphasized the importance of safety initiatives on campus.

After discussion, the committee voted on \$10.40 increase: 3 Yes, 6 No, 0 Abstain, failed

Motion to discuss an amendment to the fee request at \$0.00, discussion followed

The committee voted on the amended \$0.00 request: 5 Yes, 4 No, 0 Abstain, passed

Appendix A - Summary of Voting at the Final October 8, 2019 Committee Meeting Page 2 of 2

Approval of Fees for which No Increase is Requested

University Activities Board

School (Student Association) Fee

Student Center Operations-DASA

Student Center Repair and Renovations-DASA

Student Center Repair and Renovations-Campus Enterprises

Student Center Programs-DASA

Sustainability Fee

Wellness and Recreation

Association of Student Governments

Athletics

Student Health

Education and Technology Fee

A motion was made to accept the fees listed above at a \$0 increase, discussion followed The committee voted to approve fees with a \$0 increase: 9 Yes, 0 No, 0 Abstain, passed

Meeting Adjourned

Appendix B - Recommended 2020/21 Student Fees with Fee History

	Approved	Approved		Requested 2020/21										Recommended		
Student Fees Summary	2017/18	2018/19	2019/20	Total Fee	Amount	Total Fee	Amount	vs Requested								
University Activities Bd	19.63	19.63	19.63	19.63		19.63										
Student Media	27.00	27.25	27.25	26.25	(1.00)	26.25	(1.00)									
Student Government	15,50	15.50	15.50	14.20	(1.30)	14.20	(1.30)									
Student Legal Services	16.50	16.50	16.50	17.50	1.00	17.50	1.00	-								
School (Student Assn) Fee	5.00	5.00	5.00	5.00	1.00	5.00	1,00									
Stud Ctr Operations-DASA	30.00	32.00	32.00	32.00		32.00										
Stud Ctr Operations-CE	97.39	100.39	100.39	108.39	8.00	106.39	6.00	(2.00)								
Stud Ctr Repair/Renov-DASA	11.00	13.00	13.00	13.00	- 0.00	13.00	0.00									
Stud Ctr Repair/Renov-CE	34.80	34.80	34.90	34.80		34.80										
Stud Ctr Programs-DASA	212.00	218.00	215.15	216.45	1.30	216.45	1.30									
Stud Ctr Programs-OIED	22.15	23.40	26.25	32,60	6.35	32.60	6.35									
Sustainability	5.00	5.00	5.00	5.00	0.53	5.00	0.33									
Wellness and Rec	167.35	168.85	168.85	168.85		168.85	<u> </u>									
Total Student Activity Fees	663.32	679.32	679.32	693,67	14.35	691.67	12.35	(0.00)								
1 ording principle March 1 dep		073.32	0/3.32	935.67	14.35		12.35	(2.00)								
Assn of Stud Govts	1.00	1.00	1.00	1.00	-	1.00		-								
Campus Security	30.00	30.00	30.00	40.40	10.40	30.00		(10.40)								
Athletics	232.00	232.00	232.00	232.00		232.00										
Student Health	392.00	407.00	407.00	407.00	-	407.00										
Educ and Tech Fee	439.28	439.28	439.28	439.28	-	439.28	nineminine.									
Transit	193.00	205.00	205.00	216.00	11.00	210.50	5.50	(5.50)								
Total Other Fees	1,287.28	1,314.28	1,314.28	1,335.68	21.40	1,319.78	5.50	(15.90)								
Thomspon Hail-Exp FY2021	38.00	38.00	38.00	38.00		20.00										
Student Health-Exp FY2022	35.00	35.00	35.00			38.00		*								
Carmichael Complex-Exp FY2023	23.00	23.00		35.00 23.00	-	35.00										
Intercoll Athletics-Exp FY2027	96.00	96.00	23.00			23.00		<u>.</u>								
Carmichael Expansion-Exp FY2027	27.50	27.50	96.00	96.00 27.50		96.00	·	<u>.</u>								
Student Center-Exp FY2036	260.00	260.00	260.00	260.00	<u> </u>	27.50	<u> </u>									
Carmichael Addn/Ren-Exp FY2046	92.50	92.50	92.50		·	260.00	-									
Total Indebtedness Fees	572.00	572.00	572.00	92.50 572.00		92.50	<u> </u>									
Total sideotecilless Foes	372.00	372.00	372.00	5/2.00		572.00										
Total Fees-Undergraduate	2,522.60	2,565.60	2,565.60	2,601.35	35.75	2,583.45	17.85	(17.90)								
% Change (Including Transit)					1.39%		0.70%									
% Change (Excluding Transit)					1.05%		0.52%									
Graduate Student Fee	12.00	12.00	12.00	12.00		12.00										
Total Fees-Graduate	2,534.60	2,577.60	2,577.60	2.613.35		2,595.45										

Student Involvement in Tuition and Fee Setting Process

Campus Name: North Carolina State University
Date: October 15, 2019
Campus Administrator Name:Dr. Lisa P. Zapata
Campus Administrator Title: Interim Vice Chancellor for Division of Academic and Student Affair
Campus Administrator Signature: KNA Rapada
Student Body President Name: Emma Carter
Student Body President Signature: Wmmah. Cathe 10/16/19

Collaboration

- x Tuition and fee committee(s) established.
- <u>x</u> Students were represented on the committee(s).
- <u>x</u> Student representatives were appointed by the Chancellor in consultation with the Student Body President.
- x Committees were co-chaired by the Chief Academic Officer and/or Chief Student Affairs Officer or their designee along with the Student Body President and/or Student Senate President.

Inclusiveness

- <u>x</u> Students on the tuition and fee committees were representative of student constituencies: (for example, in-state, out-of-state, undergraduate, graduate, professional school, distance education, etc.).
- <u>x</u> Student involvement throughout the entire tuition and fee setting process.
- x Student forums were conducted (at least two, one mid-day and one in the evening).

Transparency

- <u>x</u> Utilization of social media to reach out to students.
- x Utilization of university listserv(s) and website.

Timeliness

 \underline{x} Process initiated and completed consistent with the UNC Policy. (September 1_{st} through December 1_{st})

Accountability

x Inclusion of student involvement form in the institution's tuition and fee request packet submitted to the UNC System Office.

Additional Information:

North Carolina State University

Academic Year 2020-21 Proposed Student Fees

11/4/2019

Student Activity Fees	Stu	dent Fees Summary Description of Fee		2017-18	2018-19		2019-20		Inc./(Dcr.) FY20-21		Proposed 2020-21	
University Activity Board \$19.63	Stu	1		2017, 10		2010 17		2017 20		120 21		
University Activity Board \$19.63												
Student Publications/Media		·	Φ.	10.62	Ф	10.62	Ф	10.63	Ф		Ф.	10.62
Student Government	1 2	•	\$		\$		\$		\$		\$	19.63
Student Legal Services	2											
School (Student Association)* 5.00 5.0) 									× /		
Student Center Operations	-	S .										5.00
Student Center Repairs and Renovations	5											
Student Center Programming	7	•								0.00		
Sustainability	3	·								- 1.65		
) }											5.00
Total Student Activity Fees \$663.32 \$679.32 \$679.32 \$9.35 \$688 Association of Student Governments 1.00 1.00 1.00 1.00 1.00 1.00 1.00 1.00 Campus Security Fee 30.00 30.00 30.00 30.00 10.40 40 Intercollegiate Athletics 232.00 232.00 232.00 - 232 Student Health Service 392.00 407.00 407.00 - 407.00 Educational & Technology Fee 439.28 439.28 439.28 - 439 Transit Operations (Bus Service) 193.00 205.00 205.00 5.50 210 Indebtedness Fees** 7 Thompson Hall - Indebtedness (expires FY2021) 38.00 38.00 38.00 - 38 Student Health Service - Expansion (expires FY2022) 35.00 35.00 35.00 - 38 Student Health Service - Expansion (expires FY2027) 96.00 96.00 96.00 - 36 O Carmichael Complex - Indebtedness (expires FY2023) 23.00 23.00 23.00 - 23 Intercollegiate Athletics - Indebtedness (expires FY2023) 260.00 260.00 260.00 - 260 Carmichael Complex - Expansion (expires FY2027) 27.50 27.50 27.50 - 27 Student Center - Expansion (expires FY2027) 27.50 27.50 27.50 - 27 Carmichael Complex - Addition and Renovation (exp. FY2046) 92.50 92.50 92.50 - 92 Total Indebtedness Fees \$72.00		•								-		
Association of Student Governments	U	·	¢.		¢.		¢		Ŷ.	0.25	¢	
Campus Security Fee 30.00 30.00 30.00 10.40 40 40 30 30 30 30 30		Total Student Activity Fees	Ф	003.32	Ф	079.32	Ф	079.32	Ф	9.33	Ф	000.0
Intercollegiate Athletics	11	Association of Student Governments		1.00		1.00		1.00		-		1.0
Student Health Service 392.00 407.00 407.00 - 407.00 - 407.00 5 Educational & Technology Fee 439.28 439.28 439.28 439.28 - 439.28 6 Transit Operations (Bus Service) 193.00 205.00 205.00 5.50 210	2	Campus Security Fee		30.00		30.00		30.00		10.40		40.4
Educational & Technology Fee 439.28 439.28 439.28 - 439.	3	Intercollegiate Athletics		232.00		232.00		232.00		-		232.0
Transit Operations (Bus Service) 193.00 205.00 205.00 5.50 210	4	Student Health Service		392.00		407.00		407.00		-		407.0
Indebtedness Fees** Thompson Hall - Indebtedness (expires FY2021) 38.00 38.00 38.00 - 38.85 Student Health Service - Expansion (expires FY2022) 35.00 35.00 35.00 - 35.85 Intercollegiate Athletics - Indebtedness (expires FY2027) 96.00 96.00 96.00 - 96.00 - 96.00 Carmichael Complex - Indebtedness (expires FY2023) 23.00 23.00 23.00 - 23.00 - 23.00 Student Center - Expansion (expires FY2023) 260.00 260.00 260.00 - 260.00 Carmichael Complex - Expansion (expires FY2027) 27.50 27.50 27.50 - 27.00 Carmichael Complex - Expansion (expires FY2027) 27.50 27.50 27.50 - 27.00 Carmichael Complex - Expansion (expires FY2027) 27.50 27.50 27.50 - 92.00 Carmichael Complex - Addition and Renovation (exp. FY2046) 92.50 92.50 92.50 - 92.00 Total Indebtedness Fees \$572.00 \$572.00 \$572.00 \$ - \$572.00 Fees subject to the 3% Cap	5	Educational & Technology Fee		439.28		439.28		439.28		-		439.2
Thompson Hall - Indebtedness (expires FY2021) 38.00 38.00 38.00 - 38.88	6	Transit Operations (Bus Service)		193.00		205.00		205.00		5.50		210.5
Thompson Hall - Indebtedness (expires FY2021) 38.00 38.00 38.00 - 38.88		Indebtedness Fees**										
Intercollegiate Athletics - Indebtedness (expires FY2027) 96.00 96.00 96.00 - 96.00 96.00 96.00 - 96.00 96.00 - 96.00 96.00 - 96.00 96.00 - 96.00 96.00 - 96.00 96.00 96.00 - 96.00 96.00 96.00 96.00 96.00 - 96.00 96.00	17	Thompson Hall - Indebtedness (expires FY2021)		38.00		38.00		38.00		_		38.0
Carmichael Complex - Indebtedness (expires FY2023) 23.00 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 - 23.00 23.00 - 23.00 23.00 - 23.00 - 23.00 23.00 - 23.	18	Student Health Service - Expansion (expires FY2022)		35.00		35.00		35.00		_		35.0
Student Center - Expansion (expires FY2036) 260.00 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 260.00 260.00 - 260.00 275.00 275.00 525.00 275.00 525.20 52	19	Intercollegiate Athletics - Indebtedness (expires FY2027)		96.00		96.00		96.00		_		96.0
Carmichael Complex - Expansion (expires FY2027) 27.50 27.50 27.50 - 27.50 27.50 27.50 - 27.50 27.50 27.50 - 27.50 27.50 27.50 27.50 - 27.50 27.50 27.50 27.50 - 27.50 27.50 27.50 27.50 - 27.50 27.50 27.50 27.50 - 27.50 27.50 27.50 27.50 27.50 - 27.50 27	20	Carmichael Complex - Indebtedness (expires FY2023)		23.00		23.00		23.00		_		23.0
Carmichael Complex - Addition and Renovation (exp. FY2046) 92.50 92.50 92.50 - 92.50 - 92.50 572.00 572.	21	Student Center - Expansion (expires FY2036)		260.00		260.00		260.00		_		260.0
Carmichael Complex - Addition and Renovation (exp. FY2046) 92.50 92.50 92.50 - 92.50 - 92.50 572.00 572.	22	Carmichael Complex - Expansion (expires FY2027)		27.50		27.50		27.50		_		27.5
Fees subject to the 3% Cap \$ 2,329.60 \$ 2,360.60 \$ 2,360.60 \$ 19.75 \$ 2,380	23			92.50		92.50		92.50		-		92.5
Increase Requests as % of prior year base 3% of base year fees \$70.82 \$70.82 \$70.82 \$71		Total Indebtedness Fees	\$	572.00	\$	572.00	\$	572.00	\$	-	\$	572.0
Increase Requests as % of prior year base 3% of base year fees \$70.82 \$70.82 \$70.82 \$71		Fees subject to the 3% Can	S	2.329 60	\$	2.360 60	S	2.360 60	\$	19 75	S	2,380.3
3% of base year fees \$ 70.82 \$ 70.82 \$ 71.82 \$ 71.				_,=	•	_,	•	_,	•		•	_,= 0 0 0 0 0
Requested amount under/(over) cap \$ 51.07					\$	70.82	s	70.82		*****	s	71.4
Percent Increase 0.98% 4 Graduate Student Fee* 12.00 12.00 12.00 - 12 Fotal Student Fees - Graduate \$ 2,534.60 \$ 2,577.60 \$ 2,577.60 \$ 25.25 \$ 2,602		•							\$	51.07		
Percent Increase 0.98% 4 Graduate Student Fee* 12.00 12.00 12.00 - 12 Fotal Student Fees - Graduate \$ 2,534.60 \$ 2,577.60 \$ 2,577.60 \$ 25.25 \$ 2,602	F-4	J. Chr. Jank France, Vir. January Janks	¢	2.522.60	¢	2565 (0	¢	256560	¢	25.25	ø	2 500 0
4 Graduate Student Fee* 12.00 12.00 12.00 - 12 12 Total Student Fees - Graduate \$ 2,534.60 \$ 2,577.60 \$ 2,577.60 \$ 25.25 \$ 2,602	ota	9	<u>></u>	2,322.00	Þ	2,303.60	Þ	2,303.60	ð		Þ	2,390.8.
Fotal Student Fees - Graduate \$ 2,534.60 \$ 2,577.60 \$ 2,577.60 \$ 25.25 \$ 2,602		Percent Increase								0.98%		
	24	Graduate Student Fee*		12.00		12.00		12.00		-		12.0
Percent Increase 0.98%	Tota	l Student Fees - Graduate	\$	2,534.60	\$	2,577.60	\$	2,577.60	\$	25.25	\$	2,602.8
		Percent Increase								0.98%		

North Carolina State University

Academic Year 2020-21 Proposed Student Fees

11/4/2019

Student Fees Summary							In	nc./(Dcr.)		Proposed
Description of Fee	2017-18		2018-19		2019-20		FY20-21		2020-21	
Engineering Major										
25 COE Program Graduate and Undergraduate Enhancement Fee	\$	1,500.00	\$	1,500.00	\$	1,500.00	\$	-	\$	1,500.00
Total Undergraduate Fees - Engineering Major	\$	4,022.60	\$	4,065.60	\$	4,065.60	\$	25.25	\$	4,090.85
Total Graduate Fees - Engineering Major	\$	4,034.60	\$	4,077.60	\$	4,077.60	\$	25.25	\$	4,102.85
Professional Golf Management Major										
26 Professional Golf Management Fee	\$	700.00	\$	700.00	\$	700.00	\$	-	\$	700.00
Total Undergraduate Fees - PGM Major	\$	3,222.60	\$	3,265.60	\$	3,265.60	\$	25.25	\$	3,290.85
Application Fees for Admission to NC State										
27 Undergraduate Student Application Fee - Domestic	\$	85.00	\$	85.00	\$	85.00	\$	_	\$	85.00
28 Undergraduate Student Application Fee - International	\$	100.00	\$	100.00	\$	100.00	\$	-	\$	100.00
29 Graduate Student Application Fee - Domestic	\$	85.00	\$	85.00	\$	85.00	\$	_	\$	85.00
30 Graduate Student Application Fee - International	\$	95.00	\$	95.00	\$	95.00	\$	-	\$	95.00
31 Non Degree Studies Application Fee	\$	35.00	\$	40.00	\$	40.00	\$	-	\$	40.00

^{*} The Graduate Student Fee represents both the Graduate Student Fee and the School Fee for a total of \$17.00

Chancellor Chair, Board of Trustees

^{**} Debt Service fees are project-based, changes will be evaluated annually

North Carolina State University

Academic Year 2020-21 Proposed Student Fees

Academic Year 2020-21 Proposed Student Fees			•
		FY20-21	
Mandatory Fee		Requested Amount	Description of Fee
University Activity Board	\$	19.63	The Union Activities Board is the main programming body for the campus which is responsible for acquiring, scheduling, publicizing, and presenting films, speakers, and special events.
Student Publications/Media	\$	26.25	This fee is used to defray the cost of the various campus-wide student publications. At present these include two student newspapers, a yearbook, a radio station, and "The Windover" (a literary magazine).
Student Government	\$	14.20	This fee is allocated to the Student Government for distribution to Campus organizations for activities, they deem, are in the best interest of the student body.
Student Legal Services	\$	17.50	This fee funds the student legal services program which offers legal services to all students.
School (Student Association)*			
Undergraduates Graduates	\$ \$		This fee is used by the student body to support student activities of each of the various schools. This fee is used by the graduate student association to support graduate student activities.
Student Center Operations	\$	138.39	This fee supports the maintenance and operations of the Student Center facilities,
Student Center Programming	\$	246.05	This fee supports programming for the Student Centers and the Office of Institutional Equity and Diversity.
Student Center Repairs and Renovations	\$	47.80	This fee is used to maintain and upgrade the Talley Student Center, Price Music Center, Witherspoon Student Center and Thompson Theater.
Sustainability	\$	5.00	This fee will be used to promote sustainability and green ambassador efforts
University Wellness and Recreation	\$	168.85	This fee is used to defray the cost of operating and maintaining the intramural recreational sports program and other physical education programs.
Association of Student Governments	\$	1.00	This UNC Board of Governors approved fee for all UNC institutions to help finance the Association of Student Governments, a coalition of student leaders from across the state. To be used for administrative staff, travel and small stipends for student leaders.
Campus Security Fee	\$	40.40	Fee initiated by UNC Board of Governors for all campuses to help meet security initiatives.
Intercollegiate Athletics	\$	232.00	This fee is used in partial support of intercollegiate athletic programs.
Student Health Service	\$	407.00	This fee is used by the Univ. Health Center to offer medical and counseling services to students.
Educational & Technology Fee	\$	439.28	This academic fee is used by colleges and schools to equip and operate computing and scientific laboratories which supplement classroom instruction.
Transit Operations (Bus Service)	\$	210.50	This fee partially funds the campus transit system.
Indebtedness Fees			
Thompson Hall - Indebtedness (expires FY2021)	\$		This fee is pledged to support renovation for the Thompson Theatre Building.
Student Health Service - Expansion (expires FY2022) Intercollegiate Athletics - Indebtedness (expires FY2027)	\$ \$	35.00 96.00	This fee is pledged to support an addition for the Student Health Services Building. This fee is pledged to the Department of Athletics in support of facility improvements, renovations,
,			repairs and maintenance.
Carmichael Complex - Indebtedness (expires FY2023)	\$	23.00	This fee is pledged to support repairs and renovations of outdated equipment and facilities at Carmichael Complex
Student Center - Expansion (expires FY2036)	\$		This fee is pledged to support the renovation of the Student Center Buildings.
Carmichael Complex - Expansion (expires FY2027) Carmichael Complex - Addition and Renovation (exp. FY2046)	\$ \$	27.50 92.50	This fee is pledged to support the Locker Room Renovation and Fitness Center Expansion This fee is pledged to support an addition of space with a renovation to the Carmichael Complex
	Ψ	72.30	This ree is preaged to support an addition of space with a renovation to the earnitement complex
Total Required Student Fees Undergraduate	\$	2,590.85	
Graduate	\$	2,602.85	
M. C. C.			
Major Specific Fees COE Program Graduate and Undergraduate Enhancement Fee	\$	1,500.00	This fee is used for program and infrastructure improvements in the College of Engineering to ensure our engineering students are provided career-ready skills that continue to advantage them in the marketplace.
Professional Golf Management Fee	\$	700.00	This fee ensures access for students in the PGM program to partnering golf facilities for the use of their golf course and golf practice facilities.
Application Fees Undergraduate Student Application Fee - Domestic Undergraduate Student Application Fee - International	\$ \$		This fee is used to support costs necessary in the application process. This fee is used to support costs necessary in the application process.
Graduate Student Application Fee - Domestic Graduate Student Application Fee - International	\$ \$	85.00	This fee is used to support costs necessary in the application process. This fee is used to support costs necessary in the application process.
Non Degree Studies Application Fee	\$		This fee is used to support costs necessary in the application process. This fee is used to support costs necessary in the application process.
100 20gree studies application rec	Φ	+0.00	This 100 to support costs necessary in the appropriate process.

Student Publications 2020-21

D!	W	2020					
		_	Proposed				
			2020-21 Fee				
\$27.25	\$27.25	-\$1.00	\$26.25				
		Proje	acted				
		,					
Actual	Drojected		Without				
	,	-					
			Change				
295,164	428,163	402,398	402,398				
004006	000.000	550 000	004.400				
•			801,409				
,	,	,	205,500				
996,506	1,010,500	977,500	1,006,909				
611,247	638,100	650,200	650,200				
58,461	20,200	20,200	20,200				
167,084	266,820	255,720	255,720				
19,443	27,145	27,645	27,645				
7,272	84,000	31,000	31,000				
-	-	-	-				
es, change in AP to	convert balance to	cash basis					
863,507	1,036,265	984,765	984,765				
428,163	402,398	395,133	424,542				
5.03	5.03	5.03	5.03				
	2018-19 Fee \$27.25 Actual 2018-19 295,164 804,286 192,220 996,506 611,247 58,461 167,084 19,443 7,272 es, change in AP to 863,507 428,163 5.03	Fee Fee \$27.25 \$27.25 Actual 2018-19 2019-20 295,164 428,163 804,286 800,000 192,220 210,500 996,506 1,010,500 611,247 638,100 58,461 20,200 167,084 266,820 19,443 27,145 7,272 84,000 es, change in AP to convert balance to 863,507 1,036,265 428,163 402,398 5.03 5.03	2018-19 Fee Requested Change \$27.25 \$27.25 -\$1.00 Projected 2020 Actual 2018-19 Projected 2019-20 With Change 295,164 428,163 402,398 804,286 800,000 772,000 192,220 210,500 205,500 996,506 1,010,500 977,500 611,247 638,100 650,200 58,461 20,200 20,200 19,443 27,145 27,645 7,272 84,000 31,000 - - - es, change in AP to convert balance to cash basis 863,507 1,036,265 984,765 428,163 402,398 395,133				

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

Based on favorable fee receipts and other revenue generation, in addition to careful expense management, Student Media projects a \$1.00 (3.7%) decrease in its student fee effective FY 20/21 from \$27.25 to \$26.25.

Please discuss the effect on the overall student experience if the fee increase request is denied
Not applicable.

Student Government 2020-21

	D-d	V	2020	0.24
r ' · lc· l · rmr	Prior		2020	
Estimated Student FTE	2018-19	2019-20	Requested	Proposed
Applicable to Fee - 2020-21	Fee	Fee	Change	2020-21 Fee
29,577.49	\$15.50	\$15.50	-\$1.30	\$14.20
			Proje	actad
			2020	
	A -t1	Descionate d		
	Actual	Projected	With	Without
	2018-19	2019-20	Change	Change
Beginning Fund Balance	81,470	146,729	150,029	150,029
Revenues:				
Fee Revenues	457,420	457,400	420,000	458,451
Other Revenues	4,229	-	-	-
Total Revenues	461,649	457,400	420,000	458,451
Expenditures:				
Personnel	147,188	139,600	107,500	142,500
Supplies & Materials	25,383	25,000	25,300	25,300
Current Services	220,181	281,500	283,100	285,100
Fixed Charges	3,613	8,000	8,100	8,100
Capital Outlay	-	-	-	-
Other*	25	-	=	-
Transfer to fund student group activities, change in AP to convert balance to cash basis				
Total Expenditures	396,390	454,100	424,000	461,000
Ending Fund Balance	146,729	150,029	146,029	147,480
-			·	·
FTE (associated with fee)	1.25	1.00	1.00	1.00

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

Based on healthy cash reserves and relatively stable spending with increases of 1-2% annually, Student Government projects no fee increases over the five year planning horizon. Note that the fee approved for Wolfpack Pick Up (\$1.30 of the \$15.50 Student Government Fee) will be moved from Student Government to the Student Center Programs Fee effective 2020-21. The Student Government fee increase to establish and fund the Wolfpack Pick Up Program was implemented over two years in 2015-16 (\$0.65) and 2016-17 (\$0.65).

Please discuss the effect on the overall student expe	erience if the fee increase request is denied
N C :	

No fee increase requested for 2020-21.

Student Legal 2020-21

i	D. 1	T 7	202	0.04
n 10. 1 . mm	Prior		202	
Estimated Student FTE	2018-19	2019-20	Requested	Proposed
Applicable to Fee - 2020-21	Fee	Fee	Change	2020-21 Fee
29,457.12	\$16.50	\$16.50	\$1.00	\$17.50
			Proje	ected
				0-21
	Actual	Dualagtad	With	Without
		Projected		
n n n	2018-19	2019-20	Change	Change
Beginning Fund Balance	155,909	165,087	149,037	149,037
Revenues:				
Fee Revenues	487,180	487,000	515,500	486,042
Other Revenues	127	100	100	100
Total Revenues	487,307	487,100	515,600	486,142
Expenditures:				
Personnel	982	1,000	1,000	1,000
Supplies & Materials	5,870	5,200	5,400	5,400
Current Services	463,438	490,550	505,600	505,600
Fixed Charges	7,839	6,400	6,600	6,600
Capital Outlay	-	-	-	-
Other*	-	-	-	-
Transfer to fund student group activities, change in AP to convert balance to cash basis				
Total Expenditures	478,129	503,150	518,600	518,600
Ending Fund Balance	165,087	149,037	146,037	116,579
-				
FTE (associated with fee)	0.00	0.00	0.00	0.00

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

Student Legal Services (SLS) began at NC State in 1986 and became an incorporated non-profit (501c3) in 1992. The office is staffed by four full-time attorneys, a full-time administrative assistant, and part-time student staff. To avoid conflicts of interest, the attorneys are not employed by the University. SLS maintains their own account, audited annually by an outside accounting firm, and is reimbursed monthly by the university from student fees. Annual cases total 3,000 with growth in caseload annually. The fee increase is needed to maintain the current staffing level and support annual increases in compensation, benefits, and other operating costs. Projected annual cost increases for the five-year planning period are 2-3% per year. The last approved fee increase was a \$0.50, 3% increase in FY 2016/17.

Please discuss the effect on the overall student experience if the fee increase request is denied

Without the fee increase, Student Legal Services would have to consider cost reductions. As staffing is the primary component of the budget (at 97% of total costs), reductions in staffing would impact services to students and ability to meet demand/caseload.

Student Center Operations 2020-21

	Prior Years		2020-21	
Estimated Student FTE	2018-19	2019-20	Requested	Proposed
Applicable to Fee - 2020-21	Fee	Fee	Change	2020-21 Fee
29,000.00	\$100.39	\$100.39	\$6.00	\$106.39
			Proje	ected
			2020-21	
	Actual	Projected	With	Without
	2018-19	2019-20	Change	Change
Beginning Fund Balance	1,404,313	1,306,076	1,118,666	1,118,666
Revenues:				
Fee Revenues	2,962,904	2,990,000	3,085,310	2,911,310
Other Revenues	750,667	656,609	656,609	656,609
Total Revenues	3,713,571	3,646,609	3,741,919	3,567,919
B 11:				
Expenditures:		0.070.010	2211221	2211711
Personnel	2,028,942	2,258,319	2,311,894	2,311,566
Supplies & Materials	374,715	209,000	210,000	210,000
Current Services	853,307	825,050	836,515	836,515
Fixed Charges	152,027	141,650	146,250	146,250
Capital Outlay	-	-	-	-
Other*	402,817	400,000	400,000	400,000
Transfers, change in AP to convert bald	ance to cash basis			
Total Expenditures	3,811,808	3,834,019	3,904,659	3,904,331
Ending Fund Balance	1,306,076	1,118,666	955,926	782,254
			•	
FTE (associated with fee)	34.00	34.00	34.00	34.00

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

The Talley Student Union is increasingly viewed by our colleagues on campus as the center of campus life at NC State. It is where the Visitor Center currently hosts their daily visitor information sessions and begins tours for prospective students (and where the Visitor Center wants to locate permanently), where current students feel comfortable in a clean, safe and welcoming environment, and where the Chancellor hosts critical University events. Talley Student Union continues to exceed expectations as a critical asset to the University.

In regards to the Campus Enterprise portion of the Student Center Operations fee, our fee requests have been underfunded during the last three fee request cycles. Our expenditures have increased dramatically during that same time frame. Below is a list of the primary increases.

- Annual increases in staff salaries and benefits is approximately \$244,754 (\$8.16).
- Increases for utilities, supplies, and materials is approximately \$256,780 (\$8.56)
- Implementation of outdoor reservations and special event review process for campus using temp staffing is approximately \$20,000 (\$.69)
- Increases in current services is approximately \$242,938 (\$8.09)

These expenditures add up to approximately \$28.08 in needed fee increases. In this same time period (FY1617 - FY1920) we have received a \$3.00 increase. We have been able to manage the increase in expenditures thus far by spending down our cash balance and by increasing non student fee revenue. As our cash balance is reduced below the university recommended reserve, we will need to increase our fee requests in the future to maintain our current level of service to students, faculty, staff, and visitors.

We plan to continue modest increases to non-student fee revenues and to maintain an ending cash balance that matches our recommended cash reserve.

Please discuss the effect on the overall student experience if the fee increase request is denied

Since we have been approved for a \$6.00 increase rather than the requested \$8.00, we will have to reduce services to campus. We will finalize the actual implication to the campus community during this academic year. The likely scenarios include increasing room rental, and staffing fees to event clients (student organizations, University departments, and non-university groups). Additionally, we will need to consider reducing hours of operations within the Student Centers to reduce expenses (personnel, supplies & materials, and current services would all be reduced if we reduced hours of operations). A reduction in hours of operation will negatively impact our partners in OIED, DASA, University Dining, NC State Stores and others.

Student Center Programming 2020-21

	Prior Years		2020-21	
Estimated Student FTE	2018-19	2019-20	Requested	Proposed
Applicable to Fee - 2020-21	Fee	Fee	Change	2020-21 Fee
29,315.85	\$241.40	\$241.40	\$4.65	\$246.05
			Proje	ected
			,	0-21
	Actual	Projected	With	Without
	2018-19	2019-20	Change	Change
Beginning Fund Balance	2,232,588	3,168,965	2,743,750	2,743,750
Revenues:				
Fee Revenues	7,124,601	7,086,395	7,213,166	7,080,554
Other Revenues	923,756	900,000	900,000	900,000
Total Revenues	8,048,357	7,986,395	8,113,166	7,980,554
Expenditures:				
Personnel	4,946,089	5,283,310	5,569,656	5,534,656
Supplies & Materials	418,402	418,000	433,000	433,000
Current Services	1,590,138	1,772,000	1,966,000	1,966,000
Fixed Charges	70,319	80,800	85,500	85,500
Capital Outlay	42,292	850,000	-	-
Other*	44,740	7,500	7,700	7,700
Transfer to fund student group activities, change in AP to convert balance to cash basis				
Total Expenditures	7,111,980	8,411,610	8,061,856	8,026,856
Ending Fund Balance	3,168,965	2,743,750	2,795,060	2,697,448
FTE (associated with fee)	59.66	60.66	62.66	62.66

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

A) Division of Academic and Student Affairs (DASA) \$1.30

Based on favorable fee receipts and expense reduction due to reorganization of shared services in the Division of Academic and Student Affairs, the student programs supported by the Student Center Programs fee will be able to again forego a fee request for the 2020/21 academic year. The fee has been increased by \$1.30 to account for movement of the Wolfpack Pick Up Program from the Student Government Fee to the Student Center Programs Fee. The Student Government fee increase to establish and fund the Wolfpack Pick Up Program was implemented over two years in 2015-16 (\$0.65) and 2016-17 (\$0.65).

B) Office for Institutional Equity and Diversity (OIED) \$3.35

This portion of the Student Centers Programming fee supports offices within the Campus Community Centers which includes Multicultural Student Affairs, the GLBT Center, and the Women's Center. The increase requested would support the addition of a new Assistant Director in Multicultural Student Affairs and a Graduate Assistant in the GLBT Center. Since the founding of Multicultural Student Affairs, NC State has never provided dedicated staffing to formally advise and support an ever-growing Asian/Pacific Islander/Desi American population (APIDA). Although NC State experienced almost 6% in overall enrollment growth between 2016 and 2018, we saw an almost 28% growth in the enrollment of Asian students alone (from 1 404 in Fall 2016 to 1 795 in Fall 2018)

Please discuss the effect on the overall student experience if the fee increase request is denied

A) Division of Academic and Student Affairs (DASA) \$1.30

No fee increase requested. The +\$1.30 increase is offset by a -\$1.30 decrease in the Student Gov't fee.

B) Office for Institutional Equity and Diversity (OIED) \$3.35

If this increase is not approved, it will mean a marginalized community will remain under-served on campus. It will mean that the APIDA students will continue to grow on campus without any dedicated support or services provided to them. For the GLBT Center, the fee not being approved will continue to put strain and demand on the current staff in the center. They are working with a small staff, but have had a large percentage of increase in the last several years. In order to make sure that there are enough staff to provide support to the growing number of LGBTQ students, this fee increase is needed. It also provides a great opportunity for graduate students who are interested in pursuing this work full time.

Additional Justification for Proposed Fee Increase NCSU

Student Center Programming 2020-21

Explanation of Fee/Justification for Proposed Fee Increase

B) Office for Institutional Equity and Diversity (OIED) \$3.35 - (justification continued)

That number does not include international students and those who chose not to disclose their ethnic identity (146% increase from 686 in Fall 2016 to 1,685 in Fall 2018). Between 2016 and 2018, APIDA students also became the largest underrepresented (non-white) ethnic population at NC State. Institutionally, we have traditionally defined URM's as having less representation across most academic disciplines. We also include "underachieving" as part of that definition. In many colleges at NC State, APIDA students are neither underrepresented nor underachieving. But unfortunately, many assume they are a "model minority" group who only needs limited support.

We propose the creation of a new student-fee funded Assistant Director position to focus on programs and student success initiatives that help to support APIDA students at NC State. This will be a new EHRA position added to the Multicultural Student Affairs (MSA) staff. MSA designs and implements institutional initiatives that promote the pursuit of academic success, retention and graduation of students, with an emphasis on culturally underrepresented and historically marginalized students. Their programs and services expand students' cultural horizons while honoring their respective cultural experiences. They work to bring academic enhancement opportunities, cultural awareness activities and student leadership development to all students on campus. This position would enable MSA to expand on its current services and have a greater impact on its growing, diverse student population.

A Graduate Assistant at the GLBT Center will bring this center in alignment with the other 3 Campus Community Centers who all have paid graduate assistant positions. Since 2015, the GLBT Center has had a 36% increase in students who have participated in programs offered by the Center. This position will help to support issues related to the GLBT community and work to ensure student success and retention, with a focus on providing support for student identity development as well as academic preparation and enhancement. The need for additional services in the center has increased, so program support that this position provides helps the center have a broader reach in the student community. This Graduate Assistant position will assist with providing guidance and support to Student Group Executive Boards and attend executive board meeting. The Graduate Assistant will assist in the coordination of heritage programs and events, and engage with students in GLBT Center and provide support and assistance with partners such as Student Health, TRIO, Ombuds, and Tutoring Center.

Campus Security Fee 2020-21

	Prior Years		2020-21	
Estimated Student FTE	2018-19	2019-20	Requested	Proposed
Applicable to Fee - 2020-21	Fee	Fee	Change	2020-21 Fee
31,100.00	\$30.00	\$30.00	\$10.40	\$40.40
			Proje	ected
			2020	
	Actual	Projected	With	Without
	2018-19	2019-20	Change	Change
Beginning Fund Balance	212,221	296,533	206,203	206,203
Revenues:	,	·	·	·
Fee Revenues	934,745	933,000	1,256,440	933,000
Other Revenues	-	-	-	-
Total Revenues	934,745	933,000	1,256,440	933,000
Expenditures:				
Personnel	577,344	656,299	998,004	674,489
Supplies & Materials	26,869	9,577	7,676	7,676
Current Services	147,027	123,012	123,014	123,014
Fixed Charges	125,980	134,442	126,000	126,000
Capital Outlay	(26,174)	100,000	-	-
Other*	(613)	-	-	-
Transfers, change in AP to convert balance to cash basis				
Total Expenditures	850,433	1,023,330	1,254,694	931,179
Ending Fund Balance	296,533	206,203	207,949	208,024
FTE (associated with fee)	8.05	8.05	12.05	8.05

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

A) Division of Academic and Student Affairs \$2.80 for 1 new Case Manager position

Nationally, Counseling Centers and Prevention Services are experiencing record demand. This is also true for NC State. In the past six years alone, there has been a 56% increase in students seeking services at the counseling center (from 3,833 students to 5,979 students seen). This increase is staggering since enrollment has remained flat as graduation rates have increased. A similar experience has occurred within the CARES reporting. This is a mechanism for faculty and staff to notify a case manager when they are concerned about a student. In the past four years, there has been a 114% increase in CARES reports submitted (from 592 reports to 1,270 reports). So far this academic year, compared to this time last year, there has been an additional 83% increase in reporting. Students are reported to CARES for a variety of reasons.

There has also been a significant change in the risk level for reason of referral. Some areas reporting significant increases in the past four years are as follows:

Students reporting suicidal thoughts: up 60% Students reporting a suicide attempt: up 93%

Students reporting depression and extreme sadness: up 129%

There were 63 students just last year who were hospitalized for mental health reasons.

The demand for services throughout campus is making it extremely challenging to assure follow up and appropriate referral for mental health assistance. Having another case manager to check in with students, connect them with a variety of appropriate services and monitor treatment will help to assure their academic success at NC State.

Please discuss the effect on the overall student experience if the fee increase request is denied

Without additional staffing, we will continue be challenged in appropriately following up and providing referrals for students needing mental health assistance. Demand for services has continued to exceed available resources which directly impacts the health, safety, and overall success of our students.

Additional Justification for Proposed Fee Increase North Carolina State University

Campus Security Fee 2020-21

Explanation of Fee/Justification for Proposed Fee Increase

B) Environmental Health and Public Safety \$4.60 for 1 new Police Officer + 1 new Security Applicant. & Tech. Field Technician

This fee increase is critical to meet the security needs of this dynamic, changing campus community. This increase will fully fund 1 new Police Officer and 1 new Security Applications & Technologies Field Technician. (1) The Police Officer position will be assigned to the Behavior Assessment Team (BAT). BAT is committed to improving the NC State community's safety through a proactive, multidisciplinary, coordinated and objective approach to the prevention, identification, assessment, intervention and management of situations that pose, or may reasonably pose a threat to the safety and well-being of our campus community (i.e. students, faculty, staff and visitors). The team analyzes potentially threatening situations, develops, implements, and/or advises administrators (as needed) to recommended courses of action. Currently, University Police has an officer trained to participate as part of the BAT, but this officer's primary job function is to serve as an Investigator. The addition of an Investigator position, whose primary focus will be meeting the day-to-day needs of BAT, will assure that Law Enforcement is able to continue to fully support BAT, despite the documented increase in BAT activity. Note that when comparing August of 2018 to August of 2019, the total number of Concerning Behavior Reports submitted increased 87% and the total number of BAT cases increased 45%. (2) Security Applications & Technologies is responsible for CCTV, door access, security stanchions & duress/intrusion alarms. This technology is heavily utilized in both academic and residential buildings and the numbers of these devices have increased exponentially in the last several years. Consider that wireless doors increased 42% between 2017-18 and 2018-19. Security technologies are not only used to mitigate existing security risks and deter criminal behavior, but they are also regularly used in forensic criminal investigations. The campus is committed to continued security technology installations and departmental demand for security installations far exceeds current staffing capabilities. An additional field technician position provides an additional 2,080 labor hours that can be committed to security installations.

Please discuss the effect on the overall student experience if the fee increase request is denied

The addition of these positions facilitates a proactive rather than reactive campus security experience by enabling timely risk assessment reviews and quick fix of disabled security infrastructure.

C) Office of Institutional Equity and Diversity \$3.00 for 1 new Interpersonal Violence Prevention Coordinator. NC State has seen significant increases in the demand for student services required to support both complainants and respondents of Title IX/Sexual Assault/Interpersonal and Domestic Violence cases. Survivor support alone has grown by 200% in just the last two years, and in addition we are seeing increased demand for respondent support services. We have only two staff members for these rapidly growing needs, who not only respond to the parties but are also tasked with attempting to execute prevention and education programs. However, due to continuously responding to actual scenarios, they are less effective at delivering programs. We seek to fund an additional Interpersonal Violence Prevention (IPV) position that could help meet these needs. This position may potentially address growing IPV respondent support services, as well as deliver education on prevention, and would be in addition to the IPV position we have already requested. There is definitely a demonstrated need for both positions to meet the demand and address the growing need for respondents.

Please discuss the effect on the overall student experience if the fee increase request is denied

If this position is not approved, our prevention and support programs will continue to not meet the already over-extended need in these areas, and prevention efforts will decline.

FEE REQUEST FORM NCSU Transit 2020-21

	Prior Years		2020-21	
Estimated Student FTE	2018-19	2019-20	Requested	Proposed
Applicable to Fee - 2020-21	Fee	Fee	Change	2020-21 Fee
28,694.80	\$205.00	\$205.00	\$5.50	\$210.50
			Proje	ected
			2020	
	Actual	Projected	With	Without
	2018-19	2019-20	Change	Change
Beginning Fund Balance	(467,108)	420,782	748,133	748,133
Revenues:				
Fee Revenues	6,050,140	5,887,631	6,040,255	5,882,434
Other Revenues	2,508,775	3,106,500	2,676,500	2,826,500
Total Revenues	8,558,915	8,994,131	8,716,755	8,708,934
n				
Expenditures:				
Personnel	-	-	-	-
Supplies & Materials	418,977	522,900	552,800	552,800
Current Services	7,252,048	8,143,880	8,178,159	8,178,159
Fixed Charges	-	-	-	-
Capital Outlay	-	-	-	-
Other*	-	-	-	-
Transfer to fund student group activities, change in AP to convert balance to cash ba		o cash basis		
Total Expenditures	7,671,025	8,666,780	8,730,959	8,730,959
Ending Fund Balance	420,782	748,133	733,929	726,108
FTE (associated with fee)	0.00	0.00	0.00	0.00

If new positions are being created, please document the specific positions and related responsibilities.

Justification for Proposed Fee Increase (include additional information on Form C tab if needed)

Historically the student transit fee has covered anywhere from 73% - 85% of Wolfline operations. Transportation has set the baseline that the student fee should cover at least 75% of the total transit costs. The City of Raleigh provides some grant funding, which covers around 5%. This leaves the subsidy from parking revenues at 20% of the total transit costs.

A new vendor for Wolfline service began in FY17/18. This substantially raised transit costs from \$6 million per year to \$7.6 million per year. Starting in FY19/20, 5 new buses are coming to the Wolfline fleet, which increase annual costs to \$8.6 million. At the same time as transit costs increased, Transportation was not allowed to submit a student fee increase for FY19/20. This results in the student fee only covering 68% of the total transit costs in FY19/20. Transportation would like to get the percentage of Wolfline expenses covered back to the 75% range.

Transportation requested an \$11 student transit fee increase for FY20/21. This would create an additional \$305k of student fee revenue and get the percentage up to 72% covered. However, at the Student Senate Fee Review Meeting, Transportation's request was amended by the Senate to only be for \$5.50. This would create an additional \$152k of revenue as opposed to \$305k. It would get the percentage of Transit costs covered by Wolfline to 70%. Transportation will still plan to get the amount funded by Student Transit Fees back to 75%, but would likely take longer than FY23/24 if Student Senate determines the increase amounts going forward.

Wolfline costs should not be heavily subsidized by parking revenues. With the student fee increase of \$5.50, the subsidy to Transit is forecasted to be \$2.65 million; compared to a subsidy of \$2.5 million with the full \$11 increase. Without a student fee increase at all, the subsidy to transit is forecasted to be \$2.8 million. An increased subsidy from parking to transit uses funds that could be used for additional parking expansion

Please discuss the effect on the overall student experience if the fee increase request is denied. If the fee request is denied, a greater portion of parking revenues will have to be used to subsidize Wolf

If the fee request is denied, a greater portion of parking revenues will have to be used to subsidize Wolfline service. This means that students, staff and departments will be impacted by parking permit increases as a greater rate. Transportation only has 2 main sources of funding: student transit fee and parking permits. Students should be the primary source of funding for the Wolfline; it is estimated that 99% of Wolfline ridership is from students.

Another potential effect if the student fee request is denied is a cut back on Wolfline service. A reduction in the number of service hours would decrease the Wolfline contract's variable cost. This would save money, but at an operational cost of less Wolfline service hours. With the Coliseum Deck demolition on the horizon, parking will become a premium. Students could have less parking spaces with less Wolfline service if student fee is not approved. A combination of less parking availability and less Wolfline service hours would not be favored by students.

PROPOSED REVISION TO:

POL 05.20.01 Appointment, Reappointment, Promotion and Permanent Tenure (BOT is authority)

Rationale: Edits to align the regulation with recent revisions to *The Code* (Chapter IV Section 603, 604) and related UNC regulations that 1) names the Board of Trustees as the final level of appeal for non-reappointment and imposition of discharge or serious sanctions and 2) clarifies faculty pay shall cease upon issuance of a discharge decision.

Additional edits remove definitions of realms of faculty responsibility, which are found in the Statements of Faculty Responsibilities regulation, and clarifies voting procedures and DVF makeup for initial faculty appointments.

Consultat	Consultation Process:			
10/15/19	Faculty Senate Executive Committee and VP Faculty Affairs Review			
10/22/19	General Counsel review			
11/05/19	Cabinet review and approval			
01/13/20	University Council (notification), if applicable (PRR Administrator will complete)			
11/22/19	Board of Trustees (approval/notification), if applicable (PRR Administrator will complete)			



Authority	
	Board of Trustees
Title	
Appointment, R	eappointment, Promotion and
	Permanent Tenure
Classification	
	POL05.20.01
PRR Subject	
	Faculty Employment
Contact Info	
Vice Provost for 1	Faculty Affairs (919-513-7741)

History: First Issued: November 27, 1973. Last Revised: November 27, 2017.

Related Policies:

UNC Code Chapter VI - Academic Freedom and Tenure

NCSU POL01.05.08 - Faculty and EPA Non-Faculty Appeals to Board of Trustees

NCSU POL05.15.01 - Employees Exempt from the State Personnel Act (EPA) Policy

NCSU POL05.25.01 – Faculty Grievance and Non-Reappointment Review Policy

NCSU POL05.30.01 - Medical and Parental Leave for 9-Month Faculty

NCSU REG01.20.01 - Delegations of Authority for Appointments, Compensation and

Other Personnel Actions for Employees Exempt from the State Personnel Act (EPA)

NCSU REG05.20.03 - Annual Reviews of Faculty Members

NCSU REG05.20.04 - Post Tenure Review of Faculty

NCSU REG05.20.05 - Consultation and Written Assessments, Recommendations and

Responses in RPT Review

NCSU REG05.20.10 - Evaluation of Teaching

NCSU REG05.20.20 – Reappointment, Promotion and Tenure Dossier Format

Requirements

NCSU REG05.20.27 - Statements of Mutual Expectation Faculty Responsibilities

NCSU REG05.20.31 - Tenure Clock

NCSU REG05.20.34 – Non-Tenure Track Faculty Ranks and Appointments

NCSU REG05.25.05 - Faculty Discharge Hearing Procedures

NCSU REG05.57.01 - Phased Retirement Program for Tenured Faculty

Additional References:

RPT Information Site

College and Department RPT Rules

Standard Operating Procedure to Award or Remove Associate Faculty Status

N.C.G.S. §135 - Retirement System for Teachers and State Employees

Documenting Compliance with SACS and University Qualifications for Teaching

Assignments

1. INTRODUCTION

This policy is adopted pursuant to <u>UNC Code Chapter VI - Academic Freedom and Tenure</u> (The Code). It addresses the following:

- 2. <u>DEFINITIONS OF ACADEMIC TENURE AND PERMANENT TENURE</u>
- 3. CHANCELLOR'S AUTHORITY
- 4. TENURE TRACK FACULTY QUALIFICATIONS FOR RANK AND INITIAL APPOINTMENTS
- 5. <u>STANDARDS FOR APPOINTMENT, REAPPOINTMENT, PROMOTION AND CONFERRAL OF TENURE</u>
- 6. PROCEDURES FOR INITIAL APPOINTMENT
- 7. PROCEDURES FOR REAPPOINTMENT, PROMOTION AND CONFERRAL OF TENURE
- 8. IMPOSITION OF SERIOUS SANCTIONS
- 9. SEPARATION OF FACULTY EMPLOYMENT

This policy and provisions of <u>UNC Code Chapter VI - Academic Freedom and Tenure</u>, as amended from time to time, constitute the tenure policies and regulations of North Carolina State University (hereinafter referred to as the university or NC State). This policy shall be cited in appointment and reappointment letters sent to individual faculty members.

- 1.1 The university incorporates as part of this policy the principles of freedom and responsibility in the university community as set forth in <u>Section 600 of The Code</u>.
- 1.2 The university incorporates as part of this policy the rights and responsibilities of the faculty under the principles of academic freedom as set forth in **Section 601 of The Code**.
- 1.3 The university may designate non-tenure track faculty as set forth in <u>Section 610 of The Code</u>. Non-tenure track ranks, contracts and subsequent contracts are addressed in <u>NCSU REG05.20.34 Non-Tenure Track Faculty Ranks and Appointments</u>.

2. DEFINITIONS OF ACADEMIC TENURE AND PERMANENT TENURE

2.1 Academic Tenure

The purpose of academic tenure is to promote and protect the academic freedom of the faculty. It also assists the university in attracting and retaining faculty members of high quality. Academic tenure refers to the conditions and guarantees that apply to a faculty member's employment, in particular the protection from discharge from, or termination of, employment, and from imposition of serious sanctions on a faculty member, except upon grounds and in accordance with sections 8 and 9 of this policy. Academic tenure applies exclusively to faculty with permanent tenure, faculty on probationary (tenure-track) appointments and faculty with fixed term appointments as further described in NCSU REG05.20.34 – Non-Tenure Track Faculty Ranks and Appointments.

2.2 Permanent Tenure

Permanent tenure ("appointment with tenure" or "tenured appointment") is academic tenure conferred upon faculty with appointments that are continuous until retirement, resignation or death. Permanent tenure may be conferred only pursuant to this policy and by action of the President and the Board of Governors of the University of North Carolina, or by such other agencies or officers as may be delegated such authority by the Board of Governors. Hereinafter, all references to tenure shall mean permanent tenure.

2.3 In all instances, the tenure conferred on a faculty member is held with reference to employment by NC State, rather than to employment by the University of North Carolina (<u>UNC Code Section 602</u>).

3. CHANCELLOR'S AUTHORITY

- 3.1 The Chancellor or Chancellor's designee shall have approval authority for faculty appointments, reappointments, promotions, and conferrals of tenure, consistent with NCSU
 REG01.20.01 Delegations of Authority for Appointments, Compensation and Other
 Personnel Act (EPA) and subject to further approvals as required by Section 602(5) of The Code and UNC Policy Manual Section 600.3.4.
- 3.2 Upon receiving recommendations for the conferral of tenure from the Provost, the Chancellor will forward his recommendations to the University Affairs Committee of the Board of Trustees for final approval.
- 3.3 Upon receiving recommendations for promotions from the Provost, the Chancellor has responsibility for final approval of promotions.

4. TENURE TRACK FACULTY QUALIFICATIONS FOR RANK AND INITIAL APPOINTMENTS

Qualifications for academic rank shall be determined by the Chancellor upon recommendations from the Faculty Senate and the University Council and are set forth here.

Tenure-track faculty appointments are those to which consideration for permanent tenure applies and for which there is an established time frame during which a decision to reappoint or confer tenure must be made, as provided in the following sub-sections.

In addition to qualifications required for academic rank, NC State has published guidelines for the credentials required for faculty teaching at all course levels. For faculty who teach, departments must have documentation that each faculty member is qualified to teach the course, either based on degrees attained or alternative credentials. For these guidelines and instructions on how to document credentials, see "Documenting Compliance with SACS and University Qualifications for Teaching Assignments" on the Office of the Provost's website.

4.1 Instructors

Appointment to the rank of Instructor is appropriate for an individual who has been selected for a tenure-track appointment at NC State but has not yet completed the required terminal degree. An Instructor shall be appointed for an initial probationary term of one (1) year and may be reappointed to one (1) additional probationary one (1) year term.

4.1.1 Upon award of the required degree or prior to the end of the second probationary one (1) year term, whichever comes first, the Department Head, after consultation according to the procedures set forth in section 6.2 of this policy, shall review the Instructor's performance and recommend to the Dean either 1) that the Instructor be appointed to an appropriate term as Assistant Professor in accordance with section 4.2 or 2) that the instructor's appointment end on the current contract end date.

- 4.1.2 Minimum Required Qualifications
- 4.1.2.1 Ability or potential in the mutually agreed upon realms of responsibility.
- 4.1.2.2 A master's degree, an equivalent degree, or equivalent professional experience.
- 4.2 Assistant Professors

Appointment to the rank of Assistant Professor is the entry-level professorial appointment. An Assistant Professor shall be appointed for an initial probationary term of four (4) years. In cases where the appointment occurs prior to or subsequent to the start of the regular academic or fiscal year, the initial probationary term may be adjusted to coincide with the appropriate reappointment, promotion and tenure cycle, but shall not result in an initial term of fewer than three (3) years or greater than four (4) years and six (6) months, with an end date of May 15 for academic year contracts or June 30 for fiscal year contracts.

- 4.2.1 Before the end of the third year of the initial term (in order to allow at least 12 months notice should the decision be not to reappoint), the Department Head (or equivalent designee), after consultation with the Departmental Voting Faculty (DVF) as set forth in section 7.2.1 of this policy, shall review the Assistant Professor's performance and recommend to the Dean either 1) that the Assistant Professor be reappointed for a second term of three (3) years which is appended to the initial four (4) year term, or 2) that the Assistant Professor not be reappointed.
- 4.2.2 If the Assistant Professor is appointed to a second term under section 7 then before the end of the second year of that appointment (in order to allow for 12 months notice in case of termination), the Department Head and Dean (or equivalent designees), after consultation according to the procedures set forth in section 7 of this policy, shall each review the Assistant Professor's performance and recommend to the Provost either 1) that the Assistant Professor be promoted with tenure or 2) that upon the expiration of the term of appointment the Assistant Professor not be reappointed. In the case of a decision not to promote and confer tenure, the Dean may recommend, and the Provost may approve, a recommendation and decision during the remaining term of the appointment, to promote and confer tenure based upon new information documenting required performance representing a significant change in the status of information included in the dossier that was the basis for the denial of promotion and non-conferral of tenure.
- 4.2.3 The decision and notification regarding conferral of tenure shall not be postponed beyond the eighth year of service (including any approved extensions) from the first appointment as Assistant Professor. In extraordinary circumstances, the Chancellor or Chancellor's designee (the Provost) may approve postponing the decision and notification regarding conferral of tenure beyond the eighth year of service.
- 4.2.4 Minimum Required Qualifications
- 4.2.4.1 Ability or definite promise in the mutually agreed upon realms of responsibility.
- 4.2.4.2 Potential for directing activities in the mutually agreed upon realms of responsibility.
- 4.2.4.3 Ability and willingness to participate in department, college and university affairs.
- 4.2.4.4 A doctor's degree, an equivalent degree, or equivalent professional experience.
- 4.3 Associate Professors

An Associate Professor promoted to that rank by the university shall have tenure.

4.3.1 An Associate Professor coming to that rank from outside the university may either be appointed with tenure or for one (1) probationary appointment not to exceed five (5) years. In cases where the appointment occurs prior to or subsequent to the start of the normal academic or fiscal year, the initial probationary term must be adjusted to coincide with the appropriate reappointment, promotion and tenure cycle, but shall not result in an initial term of fewer than three (3) years and six (6) months or greater than five (5) years, with an end date of May 15 or June 30.

In the case of a probationary appointment, before the end of the next-to-last year of the appointment, the Department Head and Dean (or equivalent designees), after consultation according to the procedures set forth in section 7, shall each review the associate professor's performance and recommend to the Provost either 1) that the Associate Professor be reappointed with tenure at the same or higher rank or 2) that upon the expiration of the term of appointment the Associate Professor not be reappointed. If the review and decision for conferral of tenure is carried out prior to the next-to-last year of the appointment, it will not be considered an early action (i.e. before the mandatory review date).

4.3.2 In the case of a decision not to promote and confer tenure, the Dean may recommend and the Provost may approve a recommendation and decision during the remaining term of the appointment to confer tenure based upon new information documenting required performance representing a significant change in the status of information included in the dossier that was the basis for the denial of promotion and non-conferral of tenure.

4.3.3 Minimum Required Qualifications

- 4.3.3.1 Recognized ability and potential for distinction in the mutually agreed upon realms of responsibility.
- 4.3.3.2 Demonstrated ability to direct activities in the mutually agreed upon realms of responsibility.
- 4.3.3.3 Demonstrated ability and willingness to participate in department, college and university affairs.
- 4.3.3.4 A doctoral degree, an equivalent degree, or equivalent professional experience.

4.4 Professors

A professor shall have tenure, whether promoted to that rank by the university or hired from outside the university.

- 4.4.1 Minimum Required Qualifications
- 4.4.1.1 Distinguished achievement in the mutually agreed upon realms of responsibility.
- 4.4.1.2 Demonstrated ability to direct activities in the mutually agreed upon realms of responsibility.
- 4.4.1.3 Established reputation in the individual's profession or field of scholarly or germane creative activity.

- 4.4.1.4 Demonstrated ability and willingness to participate in department, college and university affairs.
- 4.4.1.5 A doctoral degree, an equivalent degree, or equivalent professional experience.
- 4.5 Exceptions to Terms
- 4.5.1 If exceptional circumstances warrant, this policy shall not preclude promotion or the conferral of tenure according to a time frame different from that set forth in sections 4.2 and 4.3.
- 4.5.2 The Chancellor or Chancellor's designee (the Provost) may grant an untenured faculty member an extension of the time period during which the institutional decision must be made regarding reappointment or conferral of tenure in cases of compelling circumstances. The normal extension is one (1) year; however, other extensions may be approved in exceptional cases. Approval of an extension must be in writing and will extend the term of the current appointment.
- 4.5.3 A faculty member will be awarded an automatic extension in cases of a new child in the family by birth, adoption or placement of a foster child or of approved family medical leave of sixty (60) calendar days or longer.
- 4.5.4 If not an automatic extension, a faculty member must request an extension in accordance with procedures established by the Chancellor or Chancellor's designee (the Provost) in NCSU REG05.20.31 Tenure Clock.
- 4.5.5 For a faculty member who does not hold permanent U.S. residency status, tenure shall be contingent upon the individual having filed for permanent U.S. residency, and either being granted permanent U.S. residency or remaining in a valid immigration status continuously until permanent U.S. residency is granted.

5. STANDARDS FOR APPOINTMENT, REAPPOINTMENT, PROMOTION, AND CONFERRAL OF TENURE

NC State values, above all, excellence and distinction in creative scholarship that facilitates the increase and diffusion of knowledge, wisdom, and the moral dimensions of intelligence.

5.1 General Standards

The general standards upon which appointment, reappointment, promotion, and conferral of tenure are to be recommended include an assessment of at least the following: demonstrated professional competence in the appropriate mix of the realms of responsibility (see 5.2) as defined by each academic unit's Reappointment, Promotion and Tenure Standards and Procedures rule and agreed upon in each faculty member's Statement of Mutual ExpectationsFaculty Responsibilities; potential for future contributions; and service to the university, the academic community, and society. The individual's contributions shall be considered in a manner that is appropriate to each individual appointment, and recommendations shall be consistent with the needs and resources of the university.

5.2 Faculty Goals and Realms of Responsibility

Creative scholarship in all of the following six (6) realms of faculty responsibility, as defined in REG05.20.27 Statements of Faculty Responsibility, is valued and rewarded by NC State. Scholarly contributions in an appropriate mix of these six (6) realms must be -- both in fact and in faculty perceptions -- the principal criteria for decisions about faculty reappointment, promotion, and tenure. The nature of the "appropriate" mix is defined by each academic unit's

Reappointment, Promotion and Tenure rule and agreed upon in each faculty member's Statement of Mutual Expectations Faculty Responsibilities.

5.2.1 Teaching and Mentoring of Undergraduate and Graduate Students

Transmission of knowledge to students and the development of wisdom are two primary reasons universities exist. The goal is to develop students who can play effective and socially constructive roles in a wide variety of institutions and endeavors and who can understand their service in a global and societal context. Knowledge, insights, and understanding are transmitted through disciplinary, interdisciplinary, and multidisciplinary learning.

5.2.2 Discovery of Knowledge through Discipline-Guided Inquiry

Such inquiry involves inductive and deductive reasoning; qualitative and quantitative methodologies; hypotheses and propositions; measurements; accumulation of evidence; analysis and argument; interpretation and evaluation; and communication/publication of findings, concepts, and conclusions. Basic research is inquiry aimed at understanding the world around us. Applied research is inquiry aimed at enhancing the arts of teaching and learning, management of nature and human institutions, and developing practices and technologies useful to society. Discovery of knowledge can be achieved by working either as an individual or as part of a collaborative team.

5.2.3 Creative Artistry and Literature

Creative artistry involves the creation, production, interpretation, and evaluation of cultural artifacts that generate new insights and interpretations with the potential to inspire and advance the quality of life in society. Creative artistry can be expressed through literary, performing, fine, and applied arts.

5.2.4 Technological and Managerial Innovation

Technological innovation provides the means by which knowledge and imagination in the sciences, humanities, and creative arts can be harnessed to drive the economic and social systems of the state, nation, and world, and ultimately, provide new products, processes, and services.

5.2.5 Extension and Engagement with Constituencies outside the University

Engagement with people and organizational constituencies outside the university are the principal means by which NC State and other land-grant universities fulfill their unique mission. Accomplishments in extension and engagement represent an ongoing two way interchange of knowledge, information, understanding, and services between the university and the state, nation, and world.

5.2.6 Service in Professional Societies and Service and Engagement within the University itself.

Complex research extensive universities and discipline-focused scientific and professional societies simply do not work effectively, efficiently, or for long, without the dedicated and continuing investment of university faculty time and creative energy in the programs and governance of these organizations. Thus, service to and engagement within all parts of the university and its affiliated organizations, including professional scientific and literary associations is valued, appreciated, and rewarded by NC State.

6. PROCEDURES FOR INITIAL APPOINTMENT

6.1 Documentation

For initial appointments, the Department Head shall assemble documentation as appropriate.

6.2 Consultation

Decisions for appointment are dependent on substantive consultation with tenured faculty by Department Heads and Deans who are responsible for these decisions.

6.2.1 Departmental Consultation - It is the responsibility of the DVF to participate in this consultation at the departmental level. The DVF (defined in sections 6.2.2 and 6.2.3) shall have full access to the documentation prepared for each candidate and the opportunity and responsibility to meet as a group with the Department Head to consider the matter confidentially. In each case of initial appointment, a vote shall be taken either by secret ballot or open ballot, with the method of voting to be decided by a majority vote of the departmental voting faculty. Faculty members who cannot attend the meeting shall be permitted to vote in accordance with departmental rules.

6.2.2

- a. For initial appointments, two votes are taken and recorded. The first vote is for the DVF to indicate support for the appointment of a particular candidate. The second vote is for the DVF to award rank, which may also include tenure, to a particular candidate. Each college shall define if and how university and college administrators with tenure in one of its departments can participate on DVFs for initial appointments.
- b. Tthe DVF for the first vote, to support appointment of a particular candidate, shall consist of tenured faculty of any rank. The DVF may consult with other departmental faculty prior to voting.
- cb. The membership of the DVF in departments that appoint faculty only on non-tenure track contracts may vary from the definition in section <u>ba</u>. For these exceptions, see section 8.1.3 of REG05.20.34 Non-Tenure Track Ranks and Appointments.
- 6.2.3<u>d</u>. -The second vote must be specific to a rank and, in the case of Associate Professors, must also define tenure status. The rank of Professor always includes tenure. The rank of Assistant Professor never includes tenure. If tenure is to be awarded with an initial appointment, a second vote is taken and recorded. The DVF for awarding ranks of Assistant Professor, Associate Professor without tenure, or Associate Professor with tenure includes all tenured Associate Professors and Professors. The DVF for Associate Professors being hired with tenure includes all tenured Associate Professors and Professors and Professors. The DVF for The DVF for awarding rank of Professors that must be hired with tenure includes all tenured Professors. If tenure is to be awarded with an initial joint or interdisciplinary appointment, approval will be based on input from appropriate faculty members outside the department as described in Sections 7.2.1.3 and 7.2.1.4.
- 6.3 Joint and Interdisciplinary Appointments
- 6.3.1 Joint Appointments
- a. In the case of joint appointments, in which portions of the faculty member's salary come from more than one department, one department will be designated by the college dean(s) as the home department, usually the department with the higher percentage than the other appointing department(s), and will be responsible for appointment procedures. Based on agreement among

the department heads and dean(s), the appointment will be approved by the home department with input from the DVF of the other appointing department(s).

- b. At the time of the appointment and in consultation with the faculty member, the Department Heads shall develop a written plan for how annual reviews and reappointment, promotion, and tenure reviews will be conducted to ensure input from the appointing department(s). The plan will be shared with the DVF of each department and will be approved by the Dean(s) of the appointing departments.
- c. The faculty member will be a DVF member in the home department when required rank and tenure are achieved. The DVF of other department(s) in the joint appointment will stipulate at appointment whether the faculty member will be a DVF member when required rank and tenure are achieved and whether he or she will have voting rights in other department affairs.

6.3.2. Interdisciplinary Appointments

- a. In the case of an appointment in which the work of the appointee is expected to be substantively interdisciplinary, the head of the faculty member's home department shall receive recommendations from tenured faculty of appropriate rank outside the department who are familiar with the interdisciplinary focus and activities of the faculty member, including the coordinator(s) of interdisciplinary program(s) to which the faculty member will be assigned. This may be achieved in either or two ways: (1) with input by appropriate interdisciplinary faculty members in the deliberations of the DVF or (2) by the Dean's creation of an interdisciplinary search committee made up of faculty from the home department and appropriate interdisciplinary faculty members to recommend the appointment.
- b. At the time of the appointment and in consultation with the faculty member and the coordinator(s) of interdisciplinary program(s) to which the faculty member will be assigned, the Department Head shall develop a written plan for conducting annual reviews and reappointment, promotion, and tenure reviews that include input from faculty outside the department who are familiar with the interdisciplinary focus and activities of the faculty member. This plan will be shared with the DVF and approved by the Dean and, if the appointment is in more than one college, by the Provost.

6.4 Recommendations and Decisions

6.4.1 Recommendation of Department Head

In the case of an initial appointment at any rank and tenure status, the Department Head shall make a recommendation to the Dean and report the vote of the DVF as described in section 6.2.1. Joint appointees can only vote on initial appointments in their home department.

6.4.2 Dean's Decision and Recommendation

In the case of an initial appointment of a tenure-track faculty member, the Dean's decision is final.

If tenure is to be conferred with initial appointment, the Dean shall provide to the Provost the vote of the DVF for appointment, the vote of the DVF for tenure (including input from outside the home department for joint and interdisciplinary appointments), the Department Head's recommendation and Dean's recommendation.

6.5 Provost's Recommendation

If tenure is to be conferred with initial appointment, the Provost shall make a recommendation to the Chancellor for all positive decisions.

6.6 Communication

- 6.6.1 The terms and conditions of each faculty appointment shall be stated in a letter from the Chancellor or Chancellor's designee (the Provost) to the faculty member. The letter shall provide specification of the beginning and ending dates of the appointment or reappointment consistent with Sections 4.2 and 4.3 and any limitation of tenure due to source of funds consistent with 6.4.2.
- 6.6.2 The appointment of a faculty member to a position funded in whole or in substantial part from sources other than continuing state budget funds or permanent trust funds, whether for a specified term or with tenure, shall be contingent upon the continuing availability of such funds. This contingency shall not apply to the federal funds provided to the North Carolina Agricultural Research Service through the Hatch Act and the McIntire-Stennis Act and to the North Carolina Cooperative Extension Service through the Smith-Lever Act. These funds shall be considered as permanent trust funds, and faculty who are funded in whole or in substantial part from these sources shall not be subject to the contingency provisions of this section.
- 6.6.3 Joint Appointments: The terms and conditions of each joint faculty appointment shall be stated, including the home department, the proportion of the faculty member's responsibilities and effort in each department, and the salary distribution across the departments.
- 6.6.4 Interdisciplinary Appointments: The terms and conditions of each interdisciplinary appointment shall be stated, including the proportion of the faculty member's responsibilities and effort assigned to departmental and extra-departmental activities.

6.7 Periodic Performance Reviews

There shall be regular reviews of individual faculty performance conducted by academic administration with peer involvement where appropriate. These reviews shall be conducted pursuant to regulations issued by the Chancellor or Chancellor's designee and shall include annual reviews of all faculty and periodic post-tenure reviews of tenured faculty.

7. PROCEDURES FOR REAPPOINTMENT, PROMOTION, AND CONFERRAL OF TENURE

7.1 Documentation

The candidate shall assemble documentation in consultation with the Department Head in accordance with <u>NCSU REG05.20.20 – Reappointment, Promotion and Tenure Dossier Format Requirements</u>.

7.2 Consultation

Decisions are dependent on substantive consultation with tenured faculty by Department Heads, Deans and the Provost who are responsible for the reviews that inform these decisions.

7.2.1 Departmental Consultation

It is the responsibility of the DVF to participate in this consultation at the departmental level. The DVF (see section 7.2.1.1) shall have full access to the documentation prepared for each candidate and the opportunity and responsibility to meet as a group with the Department Head to consider the matter confidentially. In each case, the DVF shall provide a written assessment.

In each case, a vote shall be taken either by secret ballot or open ballot, with the method of voting to be decided by a majority vote of the DVF. Faculty members who cannot attend the meeting shall be expected to vote in accordance with the department's Reappointment, Promotion and Tenure Rule.

7.2.1.1

- a. The DVF shall consist of tenured faculty with equal or greater rank than the position for which the individual is being considered. <u>Each college shall define if and how university and college administrators with tenure in one of its departments can participate on DVFs for reappointment, promotion, and conferral of tenure.</u>
- b. The membership of the DVF in departments that appoint faculty only on non-tenure track contracts may vary from the definition in section a. For these exceptions, see section 9.2.2 of REG05.20.34 Non-Tenure Track Ranks and Appointments.
- 7.2.1.2 If the number of departmental voting faculty members is fewer than three (3), the Department Head, in consultation with the existing DVF, the individual being reviewed, and appropriate other Department Heads, shall request that the Dean appoint faculty members from allied departments in such number as to provide a group of three (3) members to serve as the DVF for the case.
- 7.2.1.3 In the case of joint appointments, the DVF of the home department shall make the recommendation for promotion and tenure based on written input from the DVF(s) of the other appointing department(s) or may conduct a single review by a DVF made up of representatives from each department.
- 7.2.1.4 In the case of an appointment in which the area of work of the candidates is substantively interdisciplinary, the head of the faculty member's home department shall receive recommendations from tenured faculty of appropriate rank outside the department who are familiar with the interdisciplinary focus and activities of the faculty member. This may be achieved in either of two ways: (1) through written input by appropriate interdisciplinary faculty members in the deliberations of the DVF or (2) through the Dean's creation of an interdisciplinary review committee made up of faculty from the home department and appropriate interdisciplinary faculty members; interdisciplinary review committees shall be appointed in consultation with the candidate, the Head of the home department and other faculty familiar with the faculty member's interdisciplinary area and approved by the Provost.

7.2.2 Dean Consultation

a. The Dean, prior to making the reappointment decision or a recommendation to the Provost for promotion and/or conferral of tenure, shall consult with a duly constituted College Reappointment, Promotion, and Tenure Committee, appointed and charged by the Dean in accordance with college rules approved by the Provost. The committee shall have full access to the documentation and an opportunity to confidentially discuss the case among themselves and shall provide a written assessment. A vote shall be taken either by secret ballot or open ballot, with the method of voting to be decided by a majority vote of the group. For joint or interdisciplinary appointments which involve programs or departments in more than one college, the CRPTC where the home department is located will discuss the case and provide a written assessment and vote.

b. In the Division of Academic and Student Affairs (DASA), which appoints only non-tenure track faculty, the Vice Chancellor and Dean shall consult with a duly constituted DASA Promotion Committee. See section 9.3.2 of REG05.20.34 Non-Tenure Track Ranks and Appointments.

7.2.3 Provost Consultation

A University Reappointment, Promotion, and Tenure Committee, appointed by the Provost in consultation with the Chair of the Faculty, shall advise the Provost in matters relating to the policy and processes involved in reappointment, promotion, and conferral of tenure. The committee may confidentially examine cases in the context of its process review. The Provost may consult the committee on individual cases, and in such cases the Committee shall provide a written assessment. Unless requested by the Provost, however, the Committee does not advise on specific cases.

7.3 Recommendations and Decisions

7.3.1 Recommendation of Department Head

In the case of a reappointment, promotion, or conferral of tenure for an appointed faculty member, the Department Head shall provide to the Dean or equivalent academic officer the written assessment and vote of the DVF, a written recommendation by the Department Head, and any written response provided by the faculty member in accordance with section 7.4.

In the case of joint or interdisciplinary appointments, the head of the home department will provide the assessment including the results of consultation with the head(s) of other departments in the appointment. The head of the home department will provide the Dean with the written assessment and vote of the department faculty or joint DVF and any written response provided by the faculty member.

7.3.2 Dean's Decision and Recommendation

- 7.3.2.1 In the case of reappointment of a tenure-track faculty member, the Dean's decision is final except when recommending non-reappointment. In the case of joint appointments, the Dean of the college where the home department is located will make a recommendation on reappointment including the results of consultation with the Dean of the other participating college(s).
- 7.3.2.2 In the case of non-reappointment, promotion and conferral of tenure, the Dean shall provide to the Provost the written assessments, votes, and written recommendations provided from the DVF, Department Head, and College Reappointment, Promotion, and Tenure Committee, the Dean's a written recommendation, and any responses provided by the faculty member in accordance with section 7.4.

7.3.3 Provost's Decision and Recommendation

In the case of promotion and conferral of tenure, the Provost shall make a recommendation to the Chancellor for all positive decisions after consideration of the faculty member's dossier, vote and assessment of the DVF, recommendation of the Department Head, vote and assessment of the College RPT Committee and recommendation of the Dean. The Provost may consult appropriate university-level academic officers in making these decisions. In the case of negative decisions, the Provost's decision is final.

7.4 Opportunity for Faculty Response to Written Assessments and Recommendations

The faculty member shall be provided the written assessment and recommendation at both the department and college levels and shall be given an opportunity to provide a timely written response at both levels. These responses shall become part of the documentation of the review for succeeding levels.

7.5 Sharing of Written Assessments, Recommendations, and Responses in the Reappointment, Promotion and Tenure Review

Upon completion of the department review, the Department Head shall provide to the DVF his or her written assessment and recommendation, and any responses provided by the faculty member to the Department.

Upon completion of the college review, the Dean shall provide to the DVF, the Department Head, and the College RPT Committee an opportunity to review the following documents: the written assessment and vote of the College RPT Committee, the written assessment and recommendation of the Dean, and any responses provided by the faculty member to the College assessments and recommendations.

7.6 Communication

7.6.1 Timely Notification

For tenure-track faculty, notice of reappointment or non-reappointment shall be in accordance with the notice requirements of <u>Section 604 A of The Code</u> for full-time faculty at the rank of instructor, assistant professor, or associate professor, which are as follows.

- a. during the first year of continuous service at the institution, the faculty member shall be given not less than 90 days' notice before the employment contract expires;
- b. during the second year of continuous service at the institution, the faculty member shall be given not less than 180 days' notice before the employment contract expires;
- c. after two or more years of continuous service at the institution, the faculty member shall be given not less than 12 months' notice before the employment contract expires.

Failure to give timely notice of non-reappointment will oblige the Provost thereafter to offer a terminal appointment of one academic year. Notice of non-reappointment shall be given in a letter from the Provost containing the decision not to reappoint.

7.7 Review of Non-reappointment Decisions

A probationary faculty member who is notified of a terminal appointment or a non-reappointment, pursuant to section 4.2 or 4.3, shall be granted upon request an interview with the Department Head to discuss the decision. The faculty member shall also upon request be granted a subsequent interview with the Dean to discuss the decision. After these interviews, if the faculty member believes the decision not to reappoint was based upon his or her exercise of rights guaranteed by either the First Amendment to the United States Constitution or Article I of the North Carolina Constitution; discrimination as defined and prohibited by NCSU POL 04.25.05 Equal Opportunity and Non-Discrimination Policy, personal malice; or due to a material procedural irregularity that casts substantial doubt on the integrity of the decision not to reappoint, he or she may request a review pursuant to NCSU POL05.25.01 – Faculty Grievance and Non-Reappointment Review Policy. This review shall be limited solely to determining whether the decision not to reappoint was based upon any of the above-listed grounds.

8. DISCHARGE OR IMPOSITION OF SERIOUS SANCTIONS

During any probationary or tenured appointment, a faculty member may be discharged or subject to the imposition of <u>a</u> serious sanction (defined as demotion in rank or suspension without pay) s in accordance with the procedures prescribed in <u>Section 603 of The Code</u>.

- 8.1 Regulations issued by the Chancellor or Chancellor's designee shall address the composition and appointment of a hearing committee.
- 8.2 As specified in <u>Section 603 of The Code</u>, discharge or imposition of <u>a</u> serious sanctions may be based only upon <u>one</u> or <u>more</u> of the following <u>permissible reasons</u>:
- 8.2.1 Incompetence, including significant, sustained unsatisfactory performance after the faculty member has been given an opportunity to remedy such performance and fails to do so within a reasonable time;
- 8.2.2 nNeglect of duty, including sustained failure to meet assigned classes or to perform other significant faculty professional obligations; or

8.2.3 m

Misconduct of such a nature as to indicate that the individual is unfit to servcontinue as a member of the faculty, including violations of professional ethics, mistreatment of students or other employees, research misconduct, financial fraud, criminal, or other illegal, unethical, or inappropriate conduct. To justify imposition of serious sanction for misconduct, the misconduct should be either (a) sufficiently related to a faculty member's academic responsibilities as to disqualify the individual from effective performance of university duties, or (b) sufficiently serious as to adversely reflect on the individual's honesty, trustworthiness or fitness to be a faculty member. A serious sanction less severe than discharge may be imposed depending on the nature and circumstances of the misconduct.

- 8.3 The reasons for discharge or imposition of serious sanction (incompetence, neglect of duty, misconduct) are not mutually exclusive. Certain facts presented to support a discharge or serious sanction may fall under one or more of the reasons listed above. So long as there is sufficient evidence of the underlying facts to support a discharge or serious sanction, an action shall not be invalid because the reason provided mislabeled or miscategorized the underlying facts.
- 8.4 The Chancellor has the discretion to place the faculty member on administrative leave with pay at any time if the Chancellor determines in his or her discretion that exceptional circumstances warrant it, and may choose to do so regardless of whether notice of intent to discharge or impose a serious sanction has been issued.

9. SEPARATION OF FACULTY EMPLOYMENT

9.1 Resignation

A faculty member who intends to resign from employment has the obligation to give timely written notice of that intention to the head of the department in which the faculty member is appointed. Tenure ceases on the date of the resignation.

- 9.2 Termination Due to Financial Exigency or Program Elimination
- 9.2.1 During a probationary or tenured appointment, termination of faculty employment shall occur in accordance with the provisions of **Section 605 of The Code** only because of 1) a

demonstrable, bona fide institutional financial exigency, or 2) the major curtailment or elimination of a teaching, research, or public service program.

9.2.2 Financial exigency is defined as a significant decline in the financial resources of the institution that is brought about by decline in institutional enrollment or by other action or events that compel a reduction in the institution's current operations budget. The determination of whether a condition of financial exigency exists or whether there shall be a major curtailment or elimination of a teaching, research, or public service program shall be made by the Chancellor, after consulting with the faculties of the departments or other units that might be affected, the academic administrative officers, the Faculty Senate, and the Board of Trustees.

In this or any subsequent consultation process, a faculty appointment may be terminated only after it is determined by the Chancellor, following careful review of alternatives, that the condition of financial exigency cannot be alleviated by less drastic means. The determination of financial exigency or a major curtailment or elimination of a teaching, research, or public service program is subject to concurrence by the President and approval by the Board of Governors.

- 9.2.3 If there must be termination of faculty appointments, the Chancellor shall give consideration to tenure status, years of service at the university, and other factors deemed relevant in determining whose employment is to be terminated. The primary consideration, however, shall be the maintenance of a sound and balanced educational program that is consistent with the functions and responsibilities of the university.
- 9.2.4 A faculty member whose employment is to be terminated shall be notified of this fact in writing. This notice shall include a statement of the conditions requiring termination of employment, a disclosure of pertinent financial or other data upon which the termination decision was based, and a general description of the procedures followed in making that decision.
- 9.2.5 If a faculty member whose employment is to be terminated alleges that the decision to terminate was arbitrary or capricious, a hearing committee of the Faculty Hearings Panel shall afford the faculty member a fair hearing, according to the procedures of <u>Section 605 of The Code</u>. This hearing shall be limited to the question of whether the decision to terminate was arbitrary or capricious. The Chancellor's final decision may be appealed in the manner provided for by <u>Section 605C (6) of The Code</u>.
- 9.2.6 The university, when requested by a faculty member whose employment has been terminated, shall give reasonable assistance in finding other employment. For a period of two (2) years after the effective date of termination the university shall not replace the faculty member without first offering the position to the person whose employment was terminated.

9.3 Retirement

Faculty members may apply for retirement when eligible in accordance with the provisions of the North Carolina Statutes (Chapter 135). Tenure ceases on the effective date of retirement. Upon entering the UNC Phased Retirement Program, a faculty member relinquishes his or her permanent tenure in exchange for a three (3) year fixed-term contract.

PROPOSED REVISION TO:

POL 05.25.01 Faculty Grievance and Non-Reappointment Review Policy (BOT is authority)

Rationale: Edits to align the regulation with recent revisions to *The Code* (Chapter IV Section 603, 604) and related UNC regulations that 1) names the Board of Trustees as the final level of appeal for non-reappointment and imposition of discharge or serious sanctions and 2) clarifies faculty pay shall cease upon issuance of a discharge decision.

Consultation Process:		
10/15/19	Faculty Senate Executive Committee and VP Faculty Affairs Review	
10/22/19	General Counsel review	
11/05/19	Cabinet review and approval	
01/13/20	University Council (notification), if applicable (PRR Administrator will complete)	
11/22/19	Board of Trustees (approval/notification), if applicable (PRR Administrator will complete)	



Authority	
	Board of Trustees
Title	
Faculty G	rievance and Non-Reappointment Review Policy
Classification	
	POL05.25.01
PRR Subject	
	Grievance
Contact Info	
Vice	Chancellor and General Counsel (919-515-3071);
	Vice Provost for Faculty Affairs (919-513-7741)

History: First Issued: September 20, 1996. Last Revised: July 19, 2018.

Related Policies:

<u>UNC Code Section 604 - Appointment, Non-reappointment and Requirements of Notice and Review</u>

UNC Code Section 607 - Faculty Grievance Committee for Constituent Institutions

UNC Code Section 610 – Rights of Special Faculty Members

<u>UNC Policy Manual 101.3.1 – Review of Non-reappointment Decisions under Section 604 of The Code</u>

UNC Policy Manual 101.3.2 - Grievances Filed Pursuant to Section 607 of the Code

NCSU POL01.05.08 - Faculty and EHRA Non-Faculty Appeals to Board of Trustees

NCSU POL04.25.05 - Equal Opportunity and Non-Discrimination Policy

NCSU REG 04.25.02 - Discrimination, Harassment and Retaliation Complaint Procedure

NCSU POL05.35.01 - Mediation Policy and Procedure

NCSU REG 05.25.04 – Faculty Grievance and Non-reappointment Review Procedures

Additional References:

Report of the 604 Non-Reappointment Review Panel

Report of the 607 Grievance Panel

1. INTRODUCTION

The purpose of this policy is to provide an internal university process for the good faith resolution of employment-related faculty issues. Employment related faculty issues covered by the Code of the Board of Governors of the University of North Carolina (*The Code*) include (a) grievances in which a faculty member seeks redress concerning general employment-related actions including post-tenure review and denial of promotion (Section 607 of *The Code*) and (b) reviews of non-reappointment decisions of tenure-track faculty members (Section 604 of *The Code*). This policy implements the general requirements set forth in *The Code*, while NCSU REG 04.25.04 (Faculty Grievance and Non-Reappointment Review Procedures) establishes the procedures to be followed for a grievance or non-

reappointment review including but not limited to the specific steps involved for the entire grievance or review process.

2. FACULTY GRIEVANCE/REVIEW COMMITTEE

2.1 Faculty Grievance/Review Committee Membership

There shall be a standing university committee elected by the General Faculty and designated as the Faculty Grievance/Review Committee. Each college shall elect two (2) members of the General Faculty to serve. At least one member from each college must be a tenured associate or full professor; the membership from colleges that appoint faculty only on non-tenure track contracts may vary from this requirement. The General Constituency shall elect two (2) members to serve. The Faculty Grievance/Review Committee may not include anyone with an administrative appointment. Committee members will be elected to an initial two-year term and may be eligible for re-election to an additional two-year term. After serving two consecutive terms, a faculty member can become eligible for re-election after a break of one year. Elections shall be held annually and the terms of the members shall be staggered. The timing of and procedures for elections shall coincide with the elections for the Faculty Senate. Members of the Faculty Grievance/Review Committee must complete annual training from the Office of General Counsel before serving on a grievance or review panel.

2.2 Faculty Grievance/Review Committee Chair

The Chancellor shall appoint a chair of the Faculty Grievance/Review Committee from the elected members after conferring with the Chair of the Faculty. The Faculty Grievance/Review Committee Chair shall be appointed for a two-year term; if the Chair is unable to complete the two-year term, the Chancellor shall confer with the Chair of the Faculty and appoint a new Faculty Grievance/Review Committee Chair from the Faculty Grievance/Review Committee to finish the term. The Faculty Grievance/Review Committee Chair shall be responsible for appointing members from the Grievance/Review Committee to serve on panels to hear faculty grievances or reviews. The Faculty Grievance/Review Committee Chair shall also be responsible for making an annual report to the Faculty Senate and the Chancellor concerning the review and grievance process. As necessary, the Faculty Grievance/Review Committee Chair may, in collaboration with the Faculty Senate Governance and Personnel Policy Committee, conduct a periodic review of the grievance and review process for the purpose of considering any proposed revisions to this policy or any accompanying regulations.

3. REVIEWS OF NON-REAPPOINTMENT DECISIONS (SECTION 604)

Within the University, important faculty personnel decisions are based on evaluations of performance rendered by a candidate's colleagues and supervisors, who are in the best position to make such judgments. These assessments are not the product of mechanically applied checklists, criteria, or formulas; there is no simple litmus test for outstanding job performance. Rather, these decisions must reflect careful exercises of discretion, in which the faculty colleagues draw on their own academic knowledge, experience, and perceptions to evaluate the candidate's qualifications and performance. The academic review process seeks to obtain the collective good faith professional academic judgment of the candidate's colleagues and administrators as the basis for personnel decisions. These decisions

are entitled to great deference and weight, and, as such, must be based on considerations that are relevant to the candidate's performance and potential to contribute to the good of the institution.

Reviews of non-reappointment decisions (including a denial of tenure) pursuant to Section 604 of *The Code* may be sought by tenure-track faculty members ("Non-Reappointment Review"). The faculty member who seeks a Non-Reappointment Review is the petitioner.

3.1 Grounds for Non-reappointment Review

A Non-Reappointment Review may proceed only on the grounds that the non-reappointment decision was based on one or more of the following:

- The procedures followed to reach the decision materially deviated from prescribed procedures such that doubt is cast on the integrity of the decision not to reappoint;
- The exercise by the faculty member of rights guaranteed by the First Amendment to the United States Constitution, or by Article I of the North Carolina Constitution;
- Discrimination as defined and prohibited by NCSU POL 04.25.05 (Equal Opportunity and Non-Discrimination Policy); or
- Personal malice, which is dislike, animosity, ill-will, or hatred based on personal characteristics, traits or circumstances of an individual that are not relevant to valid university decision making.
 Additional information regarding personal malice and non-reappointment decisions is provided in UNC 101.3.1.2[R] Regulation on Review of Nonreappointment Decisions Under Section 604 of The Code, Section II.A.

3.2 Filing a Petition for a Non-Reappointment Review

A petition for a Non-Reappointment Review must be filed within sixty (60) calendar days of notice of the non-reappointment decision and must state the grounds for the petition—specifically, a statement of the facts to support a claim that the non-reappointment decision was based on one or more of the above-listed grounds in section 3.1. The petition must include the grounds for the petition, specifically, a statement of facts to support a claim that the non-reappointment decision was based on one or more of the above-listed grounds in section 3.1.

3.3 Purpose of the Non-Reappointment Review.

The purpose of reviewing non-reappointment decisions is to determine if the decision <u>was materially flawed</u>, in violation of applicable laws, policies, standards, or procedures. resulted from one of the impermissible grounds set forth in section 3.1.—The purpose is not to second guess professional academic judgments based on permissible considerations. <u>Rather, the purpose of a non-reappointment review is to determine whether the decision was based on one or more of the considerations set forth in section 3.1. A non-reappointment decision cannot be reversed as the result of a review, rather where the petitioner shows by a preponderance of the evidence that the non-reappointment decision was based</u>

on one or more of the impermissible grounds listed in section 3.1, the non-reappointment decision may be subject to reassessment.

4. EMPLOYMENT RELATED GRIEVANCES (SECTION 607)

Any faculty member may seek redress through an employment-related grievance pursuant to Section 607 of *The Code* during the faculty member's employment at NC State ("Grievance"). The faculty member who seeks review of a decision that adversely affected his/her employment is the grievant. If the grievant is separated from employment while the grievance is pending, the grievance must be dismissed as being administratively closed.

4.1 General Grievances

General Grievances are limited to matters directly related to a faculty member's employment status and institutional relationship within NC State, provided that:

- The faculty member has been adversely affected in professional or academic capacity, and
- The adverse action is due to an administrator's decision that is alleged to violate the law, or a university policy, regulation, or rule (PRR).

The administrator who made the decision that adversely affected the faculty member shall be the respondent.

4.2 Post-Tenure Review Grievances

A tenured faculty member may file a Grievance for a post-tenure review decision with an overall finding of "does not meet expectations" based on one or more of the same grounds as for a non-reappointment review (see above-listed bulleted items in section 3.1).

The administrator who made the determination of an overall "does not meet expectations" for a faculty member's post-tenure review will be the respondent in a post-tenure review grievance. Members of the post-tenure review committee may be called as witnesses by either party.

4.3 Filing a Grievance

A Grievance must be filed within sixty (60) calendar days of the alleged adverse action that is the basis of the Grievance. The Grievance must include the grounds for the Grievance, meaning a statement of facts to support a claim that an administrator's decision:

- <u>For General Grievances</u>: violated the law, or a university policy, regulation, or rule (PRR) and how the decision adversely affected the grievant; or
- <u>For Post-Tenure Review Grievances</u>: was based on one or more of the same grounds as for a non-reappointment review (see above-listed bulleted items in section 3.1)

The Grievance must also include name of the administrator responsible for the alleged improper decision (the respondent). Persons may be named as respondents only if they were active and substantial participants in the decision being grieved. Lastly, the form must include the redress or relief sought.

4.4 Purpose of the Grievance

The purpose of a Grievance is to determine whether the grievant has been adversely affected by an administrative decision under section 4.1 or 4.2. For post-tenure review Grievances, the purpose is not to second guess professional academic judgments based on permissible considerations.

4.5 Non-Grievable Matters.

Issues or actions that are not grievable under this policy include:

- Dissatisfaction with the general application of a university, college or departmental policy, regulation, or rule (PRR);
- Non-renewal or non-extension of an existing fixed term appointment for non-tenure track faculty upon expiration of the term; and
- Complaints, grievances or appeals that are subject to another university procedure or within the jurisdiction of another university committee, (e.g., research misconduct complaints, intellectual property determinations, campus police trespass appeals, Section 603 discharge or sanction cases, etc.).

4.6 Grievances and Discharge/Imposition of Serious Sanctions (Section 603) of *The Code*

If a grievant is named in a proceeding pursuant to Section 603 of *The Code* for discharge for cause or imposition of other serious sanction, the Grievance will be dismissed if it relates to the Section 603 proceeding. If the Grievance is unrelated to the Section 603 proceeding, it will be held in abeyance until the conclusion of the Section 603 proceeding. If the Section 603 proceeding results in discharge, the Grievance will be dismissed. If the Section 603 proceeding does not result in discharge, Grievance may proceed.

5. PROCEDURES FOR GRIEVANCES AND NON-REAPPOINTMENT REVIEWS

The procedures for Grievances and Non-Reappointment Reviews—including the specific steps in those processes—are contained in this policy's companion regulation, NCSU REG 04.25.04 (Faculty Grievance and Non-Reappointment Review Procedures). Faculty members interested in pursuing a petition for a Non-Reappointment Review or a Grievance should refer to that regulation.

6. CHANCELLOR'S DECISION

The Chancellor shall issue the final decision for any Non-Reappointment Review or Grievance if the matter proceeds to a Non-Reappointment Review or Grievance Panel Hearing. The Chancellor must [N0032673.1]

base his or her decision on a thorough review of (1) the record evidence from the hearing, and (2) the recommendation of the Panel. If the Chancellor is considering taking an action that is inconsistent with the recommendation of the Panel, the Chancellor should consult with the Panel—either in person or in writing—before making a decision. While the Chancellor should give appropriate deference to the Panel's findings and recommendations, the final campus-based decision is the Chancellor's. The Chancellor shall notify the faculty member and relevant administrators of the Chancellor's decision in writing; the notice of the decision to the faculty member must be by a method which produces adequate evidence of delivery.

7. REPORT OF THE FACULTY GRIEVANCE/REVIEW COMMITTEE CHAIR

The Faculty Grievance/Review Committee Chair, together with the Chair of the Faculty, shall make an annual report to the Faculty Senate and the Chancellor concerning the Non-Reappointment Review and Grievance process. This report shall summarize, without disclosing specific details, the types of grievances considered, findings by categories and final administrative decisions. As necessary, the Faculty Grievance/Review Committee Chair shall convene a meeting of the Faculty Senate Governance and Personnel Policy Committee and the Faculty Grievance/Review Committee for the purpose of considering any proposed revisions to the Non-Reappointment Review and Grievance procedure for faculty.

8. DELEGATION TO CHANCELLOR

- 8.1 The Chancellor is authorized to establish regulations to implement this policy, provided the regulations are consistent with the UNC Code or this policy. The Faculty Senate shall be consulted in the review of regulations associated with this policy.
- 8.2 The Chancellor may grant exceptions to this policy in any case where following the policy would result in substantial unfairness (e.g., if the Chancellor has a conflict of interest, another decision-maker may be designated). Any such exception should be reported to the Faculty Grievance/Review Committee Chair, the Non-Reappointment Review/Grievance Panel Chair, and the parties.

REPORTS



Board of Trustees Report

October 25, 2019

Honorable Trustees,

NC State Staff Senate has gotten off to a running start—both literally and figuratively—in the 2019-2020 academic year.

Staff Senate supported the student body with representation in the Moonlight Howl and Run during Wolfpack Welcome Week, showing students that there is still fun to be had after graduation. We continued our interaction with the students during Packapalooza. The Staff Senate booth with our NC State trivia wheel—and the opportunity to represent your college on the leader board—had a long line for the duration of the event (even in the rain!)

We offered a number of activities to support staff, including:

- employee appreciation events at the women's volleyball match against Austin Peay, the men's soccer match against Elon, and the women's soccer match against Syracuse—all coordinated with Athletics;
- two offerings of a Learn at Lunch that addressed lawn care and equipment maintenance, presented by NC State Facilities Division Grounds Services; and
- a non-traditional parent chat for NC State employees who identify as non-traditional parents (foster, adoptive, single, grandparents raising grandchildren, LGBT, parents of children with special needs, etc.)

In our support of the greater NC State community and our commitment to give back, Staff Senate members volunteered with the following activities sponsored by university entities:

- Packapalooza on August 25, with volunteers reporting as early as 5:30 a.m. and staying as late as 11 p.m.;
- student move-in on August 16, organized by NC State University Housing, helping families unload cars and carry students' belongings to their dorm rooms;
- International Housekeeping Week, organized by Facilities Housekeeping, serving meals to housekeeping staff at appreciation events on September 9 and 13;
- debris clean-up at the Betsy-Jeff Penn 4-H Educational Center, organized by CALS/4-H, on September 16;
- Good Neighbor Initiative neighborhood canvassing on October 7, organized by DASA, walking
 the neighborhoods north of Hillsborough Street, knocking on doors, and making residents aware
 of the goal for everyone to be a good neighbor and promoting a positive living experience where
 students and non-students live in close proximity; and

 Zero Waste Wolfpack--a partnership among Waste Industries, University Athletics, Wolfpack Sports Properties and Waste Reduction and Recycling, distributing recycling bags before the football game against Syracuse on October 10.

In addition, we currently have two collection drives going on—one for Toys for Tots and another for Bountiful Harvest, collecting food items for Feed the Pack Food Pantry and the Food Bank of Central and Eastern North Carolina.

In order to ensure that staff senators stay informed and engaged with the university, we had presentations from the following individuals at our monthly general body meetings:

- In August, Erin Amari, WolfTime program manager, provided a WolfTime discussion, including reasons for implementation, system enhancements, answers to frequently asked questions, future enhancements, and focus group findings.
- In September, Lani St. Hill, outreach coordinator for Waste Reduction and Recycling, provided eye-opening insights into NC State's efforts at zero waste.
- In October, Dr. Richard Bonanno, associate dean, CALS and director, North Carolina Cooperative Extension Service, provided an informative overview of extension in North Carolina, including its history, organization, issues, and impact.

Dr. Bonanno's presentation was one effort in this year's objective for Staff Senate to be more inclusive of extension--to make other staff members aware of the scope of extension's efforts, but also to make extension staff feel more included. To accomplish that goal further, we launched a new initiative--inclusion of a section, *NC Cooperative Extension Happenings*, in the Staff Senate newsletter, *Staff Senate Connections*. Stories have promoted the 4-H Pumpkin-Palooza, annual Art in the Arboretum, and the 3rd Annual Pickin' in the Pines, benefiting scholarships for campers at the 4-H camp in Ellerbe.

We are also working towards our goal of establishing protocols for the Staff Senate committee structure. While the Staff Senate bylaws specify the duties of the committees, they do not outline the processes needed to fulfill their charges. This gap is being filled with the determination and documentation of policies and procedures, and the creation of templates, tools, and resources for the seven committees to follow and use in the execution of their duties. These protocols involve activities such as committee leadership orientation, budget creation, event coordination and communication, and training (i.e., university branding, accessibility, etc.) Creation and adoption of these protocols will enable the committees, and Staff Senate overall, to operate more efficiently and effectively.

Staff Senate looks forward to continuing our efforts and finding innovative ways to inform and engage NC State staffers, so that their professional and personal lives are more enriching and rewarding.

Respectfully Submitted,

Sitzes

Janice Sitzes, Ed.D.

Associate Director, Marketing Services, Continuing and Professional Education

Chair, NC State Staff Senate, 2019-2020

TOPIC OF INTEREST

Tab 7.4A

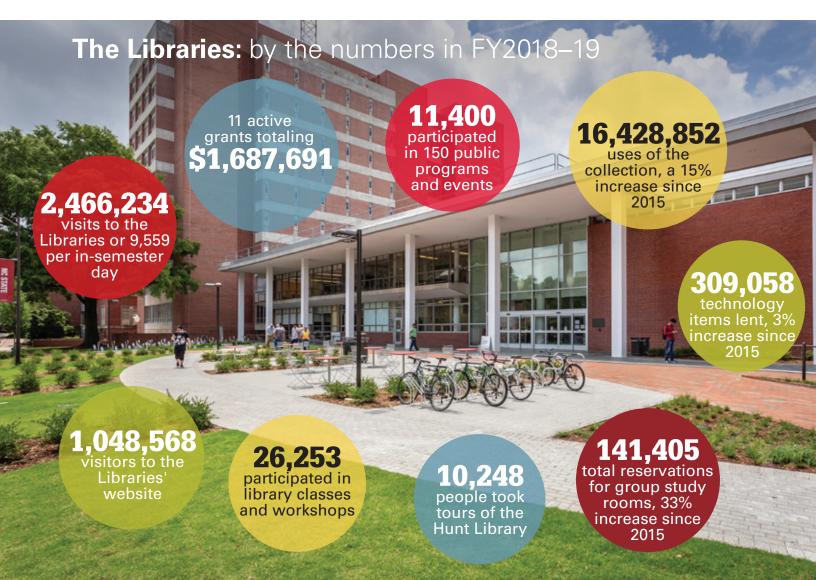
Annual Report

FY2018-19

YEAR IN REVIEW

This year, the Libraries advanced many strategic initiatives in support of all five of the university's overarching goals. We launched a new Dataspace in the Hunt Library, providing new services in support of data-informed research and scholarship; embarked on numerous and multifaceted student success initiatives; and expanded the content of and participation in our diverse programs, events, and instructional offerings. The transformation of the D. H. Hill Jr. Library continued with a number of reconfigured study spaces, a large book move, and extensive preparations for the construction of the Academic Success Center (ASC) and other new library spaces that began in May.

Greg Raschke was appointed as Senior Vice Provost and Director of Libraries effective December 1, 2018, following a national search for that position. Raschke's leadership approach emphasizes excellence and innovation in all of our traditional areas of strength—collections; services; learning spaces; and student, faculty, and researcher success, along with many active partnerships and an organizational culture of openness, creativity, and well-being that is welcoming to all. Raschke replaced Susan K. Nutter, who retired in October 2017 after serving NC State for 30 years. Nutter passed away in March 2019, and a memorial service celebrating her life and accomplishments was held in May in the Hunt Library—a building that symbolizes her visionary leadership.



Supporting the University's Strategic Plan

GOAL 1: ENHANCE THE SUCCESS OF OUR STUDENTS THROUGH EDUCATIONAL INNOVATION



In partnership with DELTA and the Bookstores, the Libraries was accepted into the prestigious **OpenStax Institutional Partnership Program**, which will support campus Open Educational Resources (OER) engagement in 2019-20. This program complements the Libraries'

successful Alt-Textbook initiative, which supports faculty members in enhancing access to affordable, high-quality educational materials for NC State students.

The Libraries hired 27
Provost's Professional
Experience Program
(PEP) Students who
gained experience in
the areas of technology
support, digital media,
making, data analysis,
public speaking, and
giving tours.







The Libraries provided valuable professional development experience to 11 graduate students hired to serve as **Data Science**Consultants in the Hunt Library Dataspace and at the Hill Library Data Point. Students in these positions were mentored by experienced librarians to consult and teach workshops on programming, machine learning, statistics, data analysis, visualization, and other data-related topics.

GOAL 2: ENHANCE SCHOLARSHIP AND RESEARCH BY INVESTING IN FACULTY AND INFRASTRUCTURE



Connecting Research and Researchers

Member Organization

To enhance researcher productivity and improve research infrastructure, the Libraries expanded partnerships with the Office of Research & Innovation, resulting in an ORCID institutional membership, a slate of new workshops, and more research activities in the Libraries' technology-rich spaces.

In partnership with the Office of Information Technology (OIT), the Libraries hired a **Research Data and Infrastructure Librarian** to work closely with librarians and other colleagues across the university to evaluate and enhance research data services for faculty and researchers and to contribute to NC State's success in securing grant funding, recruiting and retaining top researchers, and leading in interdisciplinary, data-intensive research.



The Libraries' collections are core research infrastructure that serves the entire university community across all disciplines. Significant and increasing use of the collections underscores that they are integral to NC State's success. In 2019, there were

16,428,852

measurable uses of the collection, a 15% increase since 2015.

GOAL 3. ENHANCE INTERDISCIPLINARY SCHOLARSHIP TO ADDRESS THE GRAND CHALLENGES OF SOCIETY



The Libraries hosted numerous events focused on university research and scholarship, bringing together students, faculty, and others from all disciplines for collaboration, knowledge sharing, and making new connections. We continued the popular **Coffee & Viz** series, a forum for NC State and nationally recognized guests to present their work in a large-scale, immersive environment and to discuss topics of interest with colleagues, students, and the public.

Research librarians collaborated with the Chancellor's Faculty Excellence Program (CFEP) clusters and with faculty, students, and researchers in all disciplines, offering information, expertise, and support in areas such as data analysis and management, demonstration of "broader impacts," visualization, in-depth literature searching, and research impact analysis.

GOAL 4: ENHANCE ORGANIZATIONAL EXCELLENCE BY CREATING A CULTURE OF CONSTANT IMPROVEMENT

The Libraries initiated an organizational reexamination and engagement process that included a "Strategic Advance" retreat involving 50 staff members and the development of a Strategic Priorities document for 2019-20 that aligns with and complements the Libraries' formal Strategic Plan. This inclusive process aims to "nurture a diverse, equitable, and inclusive organization that enables welcoming services and spaces, experimentation, aspiration, creativity, and success."

In partnership with OIT and others, the Libraries implemented and promoted the

Lynda.com/LinkedIn Learning online

training resource, to provide self-paced



learning and professional development and certification opportunities for all NC State faculty, staff, and students. Offering more than 7,400 high-quality, online courses, this resource vastly supplements what faculty can cover in the classroom and what the Libraries can offer through workshops, consultations, and drop-in help.

DIVERSITY INITIATIVES AND PROGRAMS

The Libraries is deeply committed to creating and enhancing a diverse and inclusive organizational culture and service environment. In recognition of the university's emphasis on communicating the importance of these values, our public communications this year were designed with care to

emphasize the inclusive and welcoming philosophy that permeates all of our spaces, programs, and services. We reexamined our signage, publications, and all forms of messaging and implemented changes to language and imagery based on insights gained from university partners



such as the GLBT Center, from what was learned through ongoing staff training and development in cultural competency and inclusivity, from supporting students with disabilities, and from national conversations on these issues.

IN ADDITION:

- All Libraries supervisors have attended or are scheduled to attend the Racial Equity Institute (Phase 1), a two-day workshop that provides historical factors, talking points, and a deeper understanding of racism.
- The Libraries created two accessible, gender-inclusive restrooms adjacent to the first-floor Ask Us lobby at the D. H. Hill Jr. Library, one of the highest-traffic areas on campus.

The Libraries continues to be a leader in advancing and improving our spaces, including a new **38-seat quiet reading room in the Hill Library's West Wing** and the creation of **13 new group study rooms** on the fifth, sixth, and eighth floors.



GOAL 5: ENHANCE LOCAL AND GLOBAL ENGAGEMENT THROUGH FOCUSED STRATEGIC PARTNERSHIPS

- The Libraries' diverse public programs showcase the research and work of faculty and students, highlight the contributions of alumni, and engage the public in innovative ways. The Hunt Library offers an accessible and compelling venue in which to hold many of these events. In 2018-19, we hosted ~150 programs and events with an attendance of more than 11,000.
- The Libraries hosted the Global Film Series in partnership with the University Scholars Program, African American Cultural Center, and the Office of Global Engagement. Each event featured an introduction by an NC State faculty member and a post-film reflection, during which

both individual community members and faculty and student organizations shared their global experiences and expertise with a wide campus and community audience.



Honors, Grants, and Fundraising

HONORS:

- The Libraries won the LITA/Library Hi Tech Award for Outstanding Communication for Continuing Education in Library and Information Science for the Data Science and Visualization Institute for Librarians.
- Research Librarian for Design Pete Schreiner became the Libraries' eleventh Library Journal "Mover and Shaker."
- Director of Talent Management Jennifer Garrett was chosen to participate in the Association of Research Libraries' Leadership and Career Development Program.

 Associate Head of Information Technology Mike Kastellec was chosen to attend the Center for Creative Leadership's Leadership Development Program.

Department Head of Information Technology Emily Lynema was selected to attend the Council on Library and Information Resources' Leading Change Institute.

GRANTS:

 NC LIVE completed the first year of a two-year \$149,451 Library Services and Technology Act (LSTA) grant to address the high costs of textbooks by coordinating the expertise and resources of all higher education communities in North Carolina to curate and encourage the adoption of a collection of electronic textbooks.

Pete Schreiner

- Continued execution of a \$115,318 USDA Forest Service grant for InsideWood, an Internet-accessible wood anatomy information resource used extensively worldwide.
- The Libraries completed work on an Institute of Museum and Library Services (IMLS) grant of \$49,958 to explore the need for, and determine the ideal components of, a subject-specific, flexible, and scalable "toolkit" for the creation and adoption of open textbooks. Also completed an IMLS grant of \$49,808 to explore the need for and the ideal components of an open educational resource (OER) for teaching library science students and professionals about scholarly communication.

FUNDRAISING:

Following two years of record fundraising totals in 2016-17 and 2017-18 (\$3.27 million and \$3.58 million, respectively), we identified a need to rebuild our major gifts pipeline and focus on building stronger annual giving and leadership annual giving strategies, also priority areas for University Advancement. To expand our audience, we partnered with the Alumni Association on various events across the state to highlight the Libraries' student success initiatives and to introduce Greg Raschke as our new Senior Vice Provost and Director of Libraries. With these initiatives, and with two large gifts expected to close, we are in a strong position for 2019-20. We anticipate meeting our initial \$22 million campaign goal in fall 2019 and are working with Central Advancement to determine a new goal for the remainder of the campaign.

NC STATE UNIVERSITY

BOARD OF VISITORS

Friday, October 11, 2019 10:00 a.m. – 2:30 p.m.

Hood Board Room, Dorothy and Roy Park Alumni Center

10:00 a.m. Welcome, Approval of Minutes, and Introductions

Judi Grainger, Chair of the Board of Visitors

Board of Trustees Update

Tom Cabiniss, Chair of the Board of Trustees

Chancellors Update

Randy Woodson, Chancellor

Academic Affairs Update

Jon Westover, Director of Undergraduate Admissions and Associate Vice Provost

12:00 p.m. Lunch – Chancellor's Reception Room

Legislative Update

Jim Perry, Senator

1:00 p.m. Campaign Update

Brian Sischo, Vice Chancellor for University Advancement

Athletics Update

Boo Corrigan, Director of Athletics

Deans Highlights and Wrap Up

Judi Grainger, Chair of the Board of Visitors

The next Board of Visitors Meeting will be Friday, March 6, 2020 at 10:00 a.m.

THE OFFICE OF THE STUDENT BODY PRESIDENT NC STATE UNIVERSITY STUDENT GOVERNMENT 99TH SESSION BOARD OF TRUSTEES REPORT NO. 2

AS OF OCTOBER 28, 2019

Association of Student Governments/ BOT Workshop

As of today, we have held two ASG meetings and have gained knowledge of how other schools are dealing with issues of campus climate. This year there has been greater collaboration of the UNC System schools. I specifically was able to attend the Board of Trustees Workshop in where I was able to learn about and share knowledge with other university's Trustees about programs that we have been working on.

Mental Health Mondays

Co-Directors of Wellness LouLou Batta and Michael Bowen created a social media campaign that will take place on our social media platforms. This campaign will allow students to access resources that NC State has that they previously had not known about. Sharing these resources with students will help to bring mental health awareness to the forefront of our university and allow us to improve students on-campus experience while they are here at NC State.

Mental Health Town Hall

Striving to understand the growing need for mental health awareness on our campus. Our Co-Directors of Wellness have created a space where students can come and discuss their needs at the Mental Health Town Hall. This outlet for students will help Student Government address any issues that students are experiencing in order to be able to be more aware of what our classmates are experiencing with their own Mental Health. This will be taking place November 13 from 5-6pm.

Night Walk

Director of University Affairs, Lee Daniel is partnering with University Police to host the annual Night Walk. Students will be able to walk around with University Police and highlight parts of campus where they do not feel safe. Safety could pertain to lighting or even things as simple as being able to cross the street.

Respect the Pack: The Series

Director of Diversity Outreach Zakiya Covington created a program for Spring Connect Students and is currently working on a program that these students can attend that discusses diversity. The fall admitted students have an opportunity to attend symposiums to connect with other students but we want to extend this program to spring admitted students. These programs will address all aspects of diversity across the university.

THE OFFICE OF THE STUDENT BODY PRESIDENT NC STATE UNIVERSITY STUDENT GOVERNMENT 99TH SESSION

60 Second Student Government Recap of the Week

In an effort to increase transparency of Student Government to the students, myself and our other branch leaders are creating a 60 Second Recap of the things that student government has been working on that month. This will allow for a more engaging platform for students to understand the legislation and initiatives that Student Government is currently working on.

Executive Branch Goals

As a branch with 10 Directors, we want to work together this year to build community and relationships here at NC State. Our directors have been working this summer to create and curate ideas and programs that relate to We promise to serve as **allies** to all students, **connect** the Student Body to Student Government, **collaborate** with students, administration, faculty, and staff through **inclusive**, **sustainable** efforts that can be continued beyond just this year.

Updates as of 11/15

Insert Updates here

Student Body President Emma Carter 99th Session



The Faculty Senate, in its 66th year, has met four times to date (31 October 2019) and has planned and moderated the fall meeting of the General Faculty. The Chair of the Faculty has also been involved in many matters, particularly the Faculty Assembly of the UNC System. This report will describe these activities.

In the second meeting of the Senate (10 September), the first being largely organizational and hortatory, the Student Ombuds, Mike Giancola, spoke on his work with the students and about Pack Essentials, a program of emergency relief for those who may have the kind of food and housing needs studied by Psychology Professor Mary Haskett and a matter of concern for this and other campuses. He was followed by David Howard, who presented OpenStax, a service that permits faculty to search for and use free on-line textbooks in selected fields.

The following meeting (24 September) had two parts. First, an informative session on "How Policy Works," by Vice Provost Katharine Stewart and Associate Vice Provost Courtney Thornton, in which the structure of policies, regulations, and rules was laid out and charted. After this academic Information, the Senate heard from a representative of the Faculty Athletic Council and from the new Athletic Director, Boo Corrigan.

The next regular meeting of the Senate came a month later, on October 4, after the intervening General Faculty meeting. This meeting began a series of "Senate Discussions" of the Strategic Planning activity that is under way. This first discussion addressed the procedure followed in the last plan, and asked whether it was an effective use of faculty time and resources. After this discussion, Nancy Whelchel and Sheri Schwab presented the results of the Employment Engagement Survey, which was a system-wide look at faculty and staff satisfaction. Although the the overall outcome was positive, several sub-categories were distinctly less pleased, an issue of concern.

The General Faculty Meeting takes place once each semester, and after remarks by the Chancellor and Provost, typically involves an exploration of an important topic. The topic of this

meeting was Community Engagement, and four faculty (with one administrator) discussed engagement projects and the central role of engagement in the history of the university (since 1909). Obviously, agricultural extension was and remains a key part of NC State's service to the state, but in the past, outreach has been part of many academic areas, including the Humanities, my own realm. We hope to see a revitalization of engagement across the curriculum.

The Faculty Assembly meets three times a semester, and gathers elected representatives from all of the UNC institutions as well as their Faculty Chairs. It is an extraordinary opportunity to interact with faculty from schools very different from State in their mission, size, and history. In his third year as Chair of the Assembly is Professor David Green, a law professor at NC Central. The meetings usually involve remarks and Q&A with Interim System President Bill Roper, as well as with financial, political, and faculty affairs staff. Roper has persistently stressed that we must stop talking about the "campuses" of the university, but rather speak of the "institutions" - independent, different, and self-governing - that make up the System (which is not to be called General Administration). This recognition of the diversity of the institutions is a welcome message to NC State and a warning against any excessive attempt to deal with different campuses in a uniform way. He also warned against mission creep (not his language) by the institutions that may aspire to exceed their role in the system.

Harry Smith, then Chair of the Board of Governor's, spoke to the Assembly and made the same point, citing as example the success of the variable tuition policy. Among the many points addressed by Mr Smith, I must mention his response to the question of Faculty Chair membership on Boards of Trustees, a matter that the Assembly is currently studying. At the moment, four institutions have such membership (non-voting). Smith said he supported this, saying that he had thought it was already the case throughout the system.

Much of last Friday's meeting (25 October 2019) dealt with the number of interim leaders and the form of selection of new chancellors. Boards of Trustees, it was observed, do not always understand "intuitively" the crucial played by the faculty in obtaining "buy-in" for new leadership. We anticipate good relations with the new Chair of the Board of Governors, whose presence on this Board of Trustees I remember well from my previous term as Chair of the

Faculty.

Interim President Roper spoke about the budget and the movement on faculty salaries. The legislature, they tell him, doesn't get the factual information that is available. He spoke about the new approach to Chancellor's compensation, and noted that administrators have mental health issues just as students and faculty do. He encouraged faculty to get together with their institutions's lobbyists and when appropriate visit legislators, trustees, and governors. Finally, one piece of memorable advice: "It helps to be nice."

BOARD OF TRUSTEES NORTH CAROLINA STATE UNIVERSITY

Executive Committee
November 22, 2019, 7:30 a.m.
Eury Room, Park Alumni Center
Tom Cabaniss, Chair

Members: Clark, Goodnight, Kelly, Weisiger, Jr.

AGENDA

CALL TO ORDER Reading of Conflicts of Interest Tom Cabaniss, Chair ROLL CALL APPROVAL OF MINUTES — September 13 Open and Closed Session Meeting of the Executive Committee CLOSED SESSION RECONVENE IN OPEN SESSION

ADJOURN

OPEN SESSION MINUTES

North Carolina State University Board of Trustees Executive Committee Friday, September 13, 2019

Members present: Thomas E. Cabaniss, Chair; Jimmy D. Clark; Ann B. Goodnight; Stanhope A. Kelly and Edward I. Weisiger, Jr.

Others present: Randy Woodson, Chancellor; Allison Newhart, Vice Chancellor and General Counsel; and PJ Teal, Assistant Secretary

Chair Cabaniss called the meeting to order at 7:30 a.m. He reminded all members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and inquired as to whether there were any known conflicts of interest or appearances of conflict with respect to any matters coming before the board at this meeting. There being none, Chair Cabaniss then called on Assistant Secretary PJ Teal for the roll call.

ROLL CALL

Assistant Secretary PJ Teal called roll and certified that a quorum was present.

MINUTES

Mr. Clark made the motion, seconded by Mrs. Goodnight, to approve the open session minutes of the April 19, 2019, meeting of the Executive Committee. The motion passed.

CLOSED SESSION

A motion was made by Mrs. Goodnight to consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee. Mr. Clark seconded the motion. The motion carried.

RECONVENE IN OPEN SESSION

After coming out of closed session, Chair Cabaniss announced that the meeting is in open session.

A motion was made by Mr. Kelly, seconded by Mr. Weisiger, to approve the appointment of Charles Maimone as Vice Chancellor for Finance and Administration.

With no further business for the Executive Committee, Chair Cabaniss adjourned the meeting at 8:00 a.m.

Respectfully submitted:	
Assistant Secretary	Secretary
Chair	